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# Including supramaximal verification reduced uncertainty in $VO_{2peak}$ response rate.

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1 **Including supramaximal verification reduced uncertainty in  $\text{VO}_{2\text{peak}}$  response rate**

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**24 ABSTRACT**

25 **Background:** Many reports describe using a supramaximal verification phase - exercising at a  
26 power output higher than the highest power output recorded during an incremental  
27 cardiopulmonary test - to validate  $VO_{2max}$ . The impact of verification phases on estimating the  
28 proportion of individuals who increased  $VO_{2peak}$  in response to high-intensity interval training  
29 (HIIT) remains an underexplored area in the individual response literature.

30 **Methods:** This analysis investigated the influence of same-day and separate-day verification  
31 phases during repeated measurements (incremental tests – INCR1 and INCR2; incremental tests  
32 + supramaximal verification phases – INCR1+ and INCR2+) of  $VO_{2peak}$  on typical error (TE)  
33 and the proportion of individuals classified as responders (i.e. the response rate) following four  
34 weeks of HIIT (n=25) or a no-exercise control period (n=9).

35 **Results:** Incorporation of supramaximal verification consistently reduced the standard deviation  
36 of individual response, typical error, and confidence interval widths. However, variances were  
37 statistically similar across all groups ( $p>0.05$ ). Response rates increased when incorporating  
38 either one (INCR1 to INCR1+; 24% to 48%,  $p=0.07$ ) or two (INCR2 to INCR2+; 28% to 48%,  
39  $p=0.063$ ) supramaximal verification phase(s). However, response rates remained unchanged  
40 when either zero-based thresholds or smallest worthwhile difference response thresholds were  
41 used (50% and 90% confidence intervals, all  $p>0.05$ ).

42 **Conclusion:** Supramaximal verification phases reduced random variability in  $VO_{2peak}$  response  
43 to HIIT. Compared with separate-day testing (INCR2 and INCR2+), the incorporation of a same-  
44 day verification (INCR1+) reduced CI widths the most. Researchers should consider using a  
45 same-day verification phase to reduce uncertainty and better estimate  $VO_{2peak}$  response rate to  
46 HIIT.

47 *Keywords:* supramaximal verification phase, response classification, maximal oxygen uptake,  
48 incremental testing, responder, typical error, individual response to exercise, response rate.

49

## 50 **INTRODUCTION**

51 Although research examining individual variability in exercise training-induced maximal  
52 oxygen uptake ( $VO_{2max}$ ) response has become common in recent years (Bonafiglia et al. 2021b),  
53 the ideal method for determining changes in  $VO_{2max}$  in response to a given exercise intervention  
54 – herein referred to as ‘ $VO_{2peak}$  response’ – remains unclear. Concurrently, exercise researchers  
55 have debated the need to validate  $VO_{2max}$  using a supramaximal verification phase - exercise at a  
56 power output higher than the highest power output recorded during an incremental  
57 cardiopulmonary test (Rossiter et al. 2006; Astorino et al. 2009; Midgley and Carroll 2009;  
58 Bowen et al. 2012; Poole and Jones 2017). To date, seemingly few studies have incorporated  
59 supramaximal verification when classifying  $VO_{2peak}$  responses to exercise training. While some  
60 researchers argue supramaximal verification provides limited additional insight for the added  
61 financial cost and participant burden (Murias et al. 2018; Iannetta et al. 2020; Wagner et al.  
62 2021), the impact of including supramaximal verification phases on estimating  $VO_{2peak}$  response  
63 rate remains an underexplored area of the individual response literature.

64 Estimating response rate can be achieved by modelling endurance or high-intensity  
65 interval training (HIIT) responses for each individual and calculating the proportion that exceed  
66 a threshold. This modelling depends on observed changes in outcomes before and after an  
67 intervention (Scharhag-Rosenberger et al. 2012; Astorino and Schubert 2014). Observed  
68 changes during an intervention incorporate variability attributable to measurement error  
69 (instrumentation error and day-to-day biological variability), within-subject variability (chronic

70 changes attributable to behavioral/environmental factors external to the intervention), and  
71 variability attributable to exercise training (interindividual differences in trainability) (Hopkins  
72 2000; Hecksteden et al. 2015; Swinton et al. 2018; Bonafiglia et al. 2019). Measurement error  
73 can be quantified by calculating typical error (TE) from the variability in a measure when an  
74 individual performs repeated tests in the absence of an intervention (Hopkins 2000; Swinton et  
75 al. 2018). Additionally, repeat testing and use of the mean of observed values reduces TE by  
76 reducing the influence of measurement error (Hopkins 2000; Monach 2012). Of note, using  
77 verification phases following an incremental cardiopulmonary test increases the number of  
78 repeated  $\text{VO}_{2\text{peak}}$  measurements pre- and post-intervention. It therefore seems reasonable that  
79 adding verification phases to  $\text{VO}_{2\text{peak}}$  tests will reduce the influence of measurement error on  
80 observed change scores and thereby reduce uncertainty in modelling individual responses and the  
81 overall response rate (Swinton et al. 2023).

82         The purpose of the current analysis was to investigate the influence of verification phases  
83 during repeat measurement of  $\text{VO}_{2\text{peak}}$  on TE and the response rate following exercise training.  
84 This research provides insight into whether clinicians and researchers should utilize  
85 supramaximal verification phases to improve classification of individual response following  
86 exercise training.

87

## 88 **MATERIALS AND METHODS**

### 89 *Subjects*

90         Thirty-four recreationally active (self-reported < 3 hours of physical activity per week),  
91 healthy young males (n=18; 13 of whom were from Del Giudice et al. 2020 and females (n=16)  
92 were included in the current study (age,  $21.8 \pm 2.1$  yrs; height,  $172.6 \pm 9.9$  cm; weight,  $71.7 \pm 4.4$  kg,

93 Table 1 and Figure 1). Inclusion criteria were as follows: between 18-30 years of age, < 3 hours  
94 of physical activity per week, no concurrent involvement in exercise training, body mass index <  
95 30 kg/m<sup>2</sup>. Exclusion criteria were as follows: cardiovascular or metabolic disease, current oral  
96 medication user, and current smoker. Participants were asked to maintain their habitual physical  
97 activity levels throughout the study. All participants provided written informed consent before  
98 participation, and all experimental procedures were approved by the Health Sciences Human  
99 Research Ethics Board at Queen's University (#6021938).

100

### 101 *Experimental Design*

102 The current study combined data from one previously published single-group, exercise  
103 training study (Del Giudice et al. 2020) and one unpublished randomized controlled trial. Data  
104 collection took place between June 20<sup>th</sup>, 2017 and November 19<sup>th</sup>, 2017 in the Queen's Muscle  
105 Physiology Lab in Kingston, Ontario. All participants completed a familiarization incremental  
106 ramp test (i.e. VO<sub>2peak</sub> test) with a same-day supramaximal verification prior to the start of the  
107 experimental protocol to mitigate potential learning effects (Edgett et al. 2018). The term  
108 VO<sub>2peak</sub> is used because attainment of VO<sub>2max</sub> on an individual basis was not statistically  
109 confirmed (Midgley et al. 2008; Midgley and Carroll 2009; Poole and Jones 2017). Following  
110 familiarization, participants underwent two incremental ramp tests with supramaximal  
111 verification before and after the four-week training period (Figure 2). Participants consumed a  
112 standardized meal the night before each VO<sub>2peak</sub> test (Stauffer's Sauté Sensations [520 kcal; 74 g  
113 carbohydrate, 10 g fat, 32 g protein]) and arrived at the laboratory in the morning following a 12-  
114 h overnight fast. Upon arrival, participants were fed a standardized breakfast (bagel [181 kcal]  
115 with 15 g of cream cheese [44 kcal]). Thirty minutes after consuming breakfast, participants

116 completed a  $VO_{2peak}$  test on a motorized treadmill following an incremental test protocol with a  
117 supramaximal verification phase. Following baseline testing, participants were randomly  
118 allocated using random computer-generated numbers to a 3-day high-intensity interval training  
119 (HIIT) group (n=14) or a no-exercise control (n=11). Allocation was not concealed. We also  
120 included a non-randomized 4-day HIIT group (n=17; all males), individual  $VO_{2peak}$  data from  
121 these participants have been published previously (Del Giudice et al. 2020). Experimental  
122 testing procedures were the same for all three groups, but a skeletal muscle biopsy was added for  
123 all 4-day HIIT group (data not used in the present study), and it was performed 24 hours prior to  
124 their first incremental ramp test.

125         Gas exchange and heart rate were collected throughout the incremental and verification  
126 phase testing using the same metabolic cart (Moxus AEI Technologies, Pittsburgh, PA) and heart  
127 rate monitor (Polar Team2 Pro, Kempele, Finland), respectively. The highest 30-second average  
128  $VO_2$  was calculated for each test. The incremental test protocol consisted of three minutes of  
129 resting data collection (participants were asked to stand on the treadmill and breathe normally)  
130 followed by a five-minute warm-up with the treadmill set to 2.5 mph at an incline of 2 and  
131 subsequent increases of either incline or speed every two minutes until volitional fatigue (see  
132 Supplementary Table 1 for details – also published in Del Giudice et al. 2020). Following the  
133 incremental test protocol, participants were provided with a minimum 10 minutes of rest prior to  
134 commencing a supramaximal verification phase. The metabolic cart was not re-calibrated in  
135 between phases. During the supramaximal verification phase, participants ran until volitional  
136 fatigue at a speed that was 0.5 mph faster than the final stage attempted during the incremental  
137 test protocol. These protocols were used at pre- and post-testing. Time to fatigue (TTF) was  
138 recorded as the duration (seconds) of the incremental test. All exercise was supervised and was

139 performed on the same motorized treadmill (SportsArt, City, USA). Participants were not taking  
140 any nutritional supplements during the study. They were also asked to refrain from exercising  
141 for 24 hours before, and from alcohol and caffeine for 12 hours before all experimental sessions.

142

### 143 *Training Protocol*

144 Participants trained on the same motorized treadmill either three or four times per week  
145 for four weeks by the same group of trainer(s). Each training session consisted of four, four-  
146 minute intervals at 90–95% HR<sub>max</sub> with three minutes of active recovery at 70–75% HR<sub>max</sub>  
147 between intervals. If the target HR was not attained two minutes into each four-minute interval,  
148 speed or incline were adjusted based on participant preference. Each session began with a 10-  
149 minute warm-up at 70–75% HR<sub>max</sub> and ended with a five-minute cool down at 70–75% HR<sub>max</sub>  
150 (40 minutes total). HR, speed and incline were recorded 30-s before the end of each interval  
151 during all training sessions. Speed and incline were adjusted by a trained volunteer during  
152 training sessions to ensure appropriate training intensity. Participants nor trainers were blinded.

153

### 154 *Statistical Analysis*

155 Modelled responses for all outcomes were calculated by subtracting post-intervention  
156 values from pre-intervention values. Final analysis included VO<sub>2</sub> data only from participants  
157 who completed a familiarization incremental test with a same-day supramaximal verification,  
158 two PRE and two POST incremental tests that each had a same-day supramaximal verification  
159 phase.

160 Two-way mixed ANOVAs (time x group) were used to examine group-level changes in  
161 relative VO<sub>2peak</sub> (data for INCR2+ presented in Figure 3D) and time to fatigue (average of both



162 incremental tests; see INCR2 in Figure 3C) following training. A two-way mixed ANOVA  
163 (group x method) was also used to compare change scores for  $VO_{2peak}$  and TTF between CTL  
164 and Exercise (3-day and 4-day HIIT groups) and across different methods used to determine  
165  $VO_{2peak}$  (INCR1; INCR1+, etc.). Any significant interaction or main effects were subsequently  
166 analyzed using Bonferroni post-hoc analyses. Corresponding effect sizes were calculated and  
167 interpreted using partial eta squared ( $\eta_p^2$ ) values (small <0.01; medium=0.059; large >0.14)  
168 (Cohen 1988). Within-group effect sizes were calculated using Cohen's  $d_{av}$  (small=0.2;  
169 medium=0.5; large=0.8) (Cohen 1988; Lakens 2013). Pooled (CTL, 3-day and 4-day HIIT) SDs  
170 of change scores were used for  $VO_{2peak}$  and TTF Cohen's  $d_{av}$  calculations.

171 Within-subject coefficients of variation (CV) were used to indicate reproducibility  
172 (Hopkins 2000). Two-way mixed effects models with absolute agreement were used to examine  
173 test-retest reliability (e.g. intraclass correlation coefficients [ICC] with 95% confidence intervals  
174 [CI]). ICCs with 95% CIs <0.5, between 0.5 and 0.75, between 0.75 and 0.9, and >0.9 indicated  
175 poor, moderate, good, and excellent reliability, respectively (Koo and Li 2016).

176 Individual response classification was calculated using typical errors (TE) calculated using the  
177 standard deviations (SD) of  $\Delta VO_{2peak}$  from the no-exercise control group (n=11):

$$178 \quad (1) TE = \frac{SD_{CTL}}{\sqrt{2}}$$

179 We used Swinton et al.'s (see supplemental file from Swinton et al. 2018) method to model  
180  $VO_{2peak}$  responses using 50% and 95% CIs based on the typical error (TE) of averaged  $VO_{2peak}$   
181 from individual change (POST-PRE) in the: 1) first incremental test ("INCR1"; 1.99  
182 mL/kg/min), 2) first incremental test and associated verification phase ("INCR1+"; 1.41  
183 mL/kg/min), 3) average of the two incremental tests ("INCR2"; 1.72 mL/kg/min), and 4) average  
184 of the two incremental tests and two verification phases ("INCR2+"; 1.37 mL/kg/min) (see

185 Figure 3). This approach was chosen as we believe it can help answer a question raised by  
186 exercise researchers and practitioners: Does the burden associated with additional tests (addition  
187 of second incremental and/or a supramaximal verification phase [SupraV]) improve ability to  
188 classify individual response? Responders were identified as participants with 50% or 95% CIs  
189 that lay above a zero-based (0 mL/kg/min) or clinically-based response threshold (1.75  
190 mL/kg/min) (Bonafiglia et al. 2018). CIs were calculated using the following equations  
191 (Swinton et al. 2023):

192 (2)  $50\% \text{ CI Limits} = (\Delta VO_{2max}) \pm (0.67 \times \sqrt{2} \times TE)$

193 (3)  $95\% \text{ CI Limits} = (\Delta VO_{2max}) \pm (1.96 \times \sqrt{2} \times TE)$

194 Following previous work (Montero and Lundby 2017; Bonafiglia et al. 2018; Swinton et al.  
195 2018; Pickering and Kiely 2019; Ross et al. 2019; Bonafiglia et al. 2021b), we have opted  
196 against labelling individuals as ‘non-responders’ when classifying individual response. Instead,  
197 we use the term ‘uncertain’ to reflect individuals who are less likely to have experienced benefit  
198 following intervention. A McNemar’s test was used to determine whether each method (INCR1,  
199 INCR1+, INCR2, INCR2+) elicited similar response rates for group-level changes in  $VO_{2peak}$ .

200 The SD of individual response ( $SD_{IR}$ ) and the standard error (SE) for each  $SD_{IR}$  value  
201 was calculated to construct 90% CI’s in Microsoft Excel using the methods forwarded by  
202 Atkinson and Batterham (Atkinson and Batterham 2015) and Hecksteden et al. (Hecksteden et al.  
203 2018) as we have done previously (Bonafiglia et al. 2019a, 2021a, 2021b). Because participants  
204 in the 4-day HIIT group were not randomized (Figure 1), analysis of the 4-day HIIT group  
205 violates the assumptions of independence required for the  $SD_{IR}$  analysis (Atkinson and  
206 Batterham 2015). Therefore,  $SD_{IR}$  analyses were performed for participants from the 3-day HIIT

207 and no-exercise control group (see Figure 1). Levene's tests were used to compare  
208 interindividual variability (i.e., standard deviation of  $\text{VO}_{2\text{peak}}$  change scores) between groups.  
209 ANOVAs, corresponding effect sizes, and ICCs were performed using SPSS version 25  
210 (IBM Corp., Armonk, N.Y., USA). All other analyses were performed in GraphPad Prism  
211 Version 8.0. Outcome assessors were not blinded. Statistical significance was set at  $p < 0.05$ , and  
212 all data are presented as mean  $\pm$  SD.

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## 214 RESULTS

215 Of the 86 participants screened, 25 and 17 met inclusion criteria for the 3-day/CTL arm  
216 and the 4-day training arm, respectively (Figure 1). Eight participants were excluded from final  
217 analyses due to incomplete data, and 34 participants completed all physiological testing (CTL:  
218  $n=12$ , 3-day HIIT  $n=9$ , 4-day HIIT:  $n=13$ ) (Figure. 1). Each result represents data from these 34  
219 participants. Table 1 presents baseline participant characteristics for all groups.

220 A significant effect of time ( $p=0.0003$ ,  $\eta^2=0.31$ ), group ( $p < 0.0001$ ,  $\eta^2=0.56$ ) and  
221 interaction (group x time) ( $p=0.0001$ ,  $\eta^2=0.44$ ) for relative  $\text{VO}_{2\text{peak}}$  (mL/kg/min) was observed  
222 when using an average of all incremental and supramaximal verification (i.e. INCR2+) test data.  
223 Post-hoc analyses revealed  $\text{VO}_{2\text{peak}}$  increased significantly following 4-day HIIT ( $+4.12 \pm 2.65$   
224 mL/kg/min;  $p < 0.001$ ,  $d_{av}=0.63$ ), but not following 3-day HIIT ( $+1.12 \pm 1.89$ ;  $p > 0.05$ ,  $d_{av}=0.12$ )  
225 nor CTL ( $-0.78 \pm 2.37$ ;  $p > 0.05$ ,  $d_{av}=-0.12$ ). Significant ( $p < 0.001$ ) effects of time ( $\eta^2_p=0.53$ ), group  
226 ( $\eta^2=0.47$ ) and interaction ( $\eta^2_p=0.46$ ) were observed for time to fatigue (TTF). Post-hoc analyses  
227 revealed that TTF increased following 3-day ( $+69.5 \pm 52.8$  s;  $p < 0.001$ ,  $d_{av}=0.35$ ) and 4-day  
228 ( $+72.7 \pm 33.3$ ;  $p < 0.001$ ,  $d_{av}=0.71$ ) but not CTL ( $-12.1 \pm 36.6$ ;  $p > 0.05$ ,  $d_{av}=-0.09$ ). Mean changes in  
229  $\text{VO}_{2\text{peak}}$  are reported for CTL, 3-day and 4-day HIIT for each protocol method (INCR1, INCR1+,

230 etc.) in Table 2. A significant ( $p=0.0003$ ) main effect of group ( $\eta_p^2=0.26$ ) was found for mean  
231 change in  $VO_{2peak}$ . However, no significant ( $p>0.05$ ) effect of condition (i.e. INCR, INCR+,  
232 etc.) or interaction effect (method x group) was observed. Post-hoc analyses revealed that the 4-  
233 day HIIT group exhibited significantly greater improvements in  $VO_{2peak}$  compared to the 3-day  
234 HIIT group across all conditions except one (INCR1) and in all conditions when compared to the  
235 CTL group (Table 2).

236 CVs for incremental test and supramaximal verification  $VO_{2peak}$  values were 4.5% and  
237 3.1%, respectively. As presented in Table 3, all ICCs demonstrated good or excellent reliability.  
238 Incorporation of supramaximal verification consistently reduced the SD of change and TE in the  
239 CTL group and shortened confidence interval widths (see Table 2, Figures 4 and 5). However,  
240 Levene's tests revealed variance across all groups was statistically similar ( $p>0.05$ ). Figure 5  
241 depicts how adding a supramaximal verification (i.e., groups INCR1+ and INCR2+) reduced the  
242 95% confidence intervals around an observed change in  $VO_{2peak}$  for a representative subject.

243 The addition of the supramaximal verification either had no impact or increased the  
244 number of participants classified as responders using both ZBT and SWC response thresholds  
245 and 50% and 95% CIs (Table 2). Although response rates were increased when incorporating  
246 one (24% to 48%,  $p=0.07$ ) or two (28% to 48%,  $p=0.063$ ) supramaximal verification phases (see  
247 Table 2 [ZBT-95]; Figure 4). McNemar tests revealed that these changes failed to reach  
248 statistical significance for either ZBT or SWC response thresholds using 50% and 95% CIs (all  
249  $p>0.05$ ). Table 2 also presents  $SD_{IR}$  for each method. Interestingly, only INCR1+ had a positive  
250  $SD_{IR}$ , indicating a lack of evidence for interindividual differences in trainability.

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252

253 **DISCUSSION**

254 This study investigated the influence of supramaximal verification phases during repeat  
255 measurement of  $VO_{2peak}$  on TE, individual confidence interval widths, and the response rate  
256 following HIIT. We tested the hypotheses that incorporating supramaximal verification phases  
257 to  $VO_{2peak}$  testing would minimize the influence of measurement error on observed change  
258 scores, and thus, reduce uncertainty in modelling individual response. Although we failed to  
259 observe statistically significant impact of verification phases on SD of change or response rates –  
260 likely owing to sample size limitations – our results are generally consistent with our hypotheses.  
261 Specifically, our results suggest the addition of supramaximal verification phases narrow  
262 confidence interval widths, decrease uncertainty in modelling individual response, and increase  
263 the response rate.

264263

265 *Supramaximal verification phase reduces the influence of measurement error*

266 Quantifying measurement error – comprised of instrumentation and biological ‘noise’ –  
267 helps contextualize data from interventions. If measurement error is random, the variability  
268 generated over repeated measurements results in observed values are normally distributed around  
269 an individual’s true value (Hopkins 2000; Swinton et al. 2023). Thus, taking the mean of several  
270 measurements at a single time point minimizes measurement error and improves measurement  
271 accuracy (Hopkins 2000; Hecksteden et al. 2015; Swinton et al. 2023).

272 In this study, we incorporated supramaximal verification phases following an incremental  
273 test. The increased number of repeated  $VO_{2peak}$  measurements pre- and post-intervention reduced  
274 the SD of change in the non-exercise control group (Table 2). Although reductions in SD failed  
275 to yield statistically significant Levene’s tests, our results suggest that verification phases can  
276 improve measurement accuracy of  $VO_{2peak}$  change scores by reducing measurement error.

276 Interestingly, the addition of verification phases reduced the SD of change in the non-  
277 exercise control group (INCR1=1.99; INCR1+=1.41; INCR2+=1.37) to a greater extent than a  
278 separate day incremental test (INCR2=1.72). This is likely due to greater variation in observed  
279 values across separate testing sessions (Swinton et al. 2023). Our data appear to suggest that  
280 adding same-day supramaximal verification improves measurement accuracy of changes in  
281  $VO_{2peak}$  to a greater extent than separate-day testing. However, these results should be confirmed  
282 in additional studies utilizing different patient populations and larger samples.

283

#### 284 *Supramaximal verification reduces uncertainty in individual response classification*

285 ‘Precision medicine’ is a concept gaining popularity throughout various scientific  
286 disciplines (König et al. 2017). Precision exercise medicine involves personalizing exercise  
287 prescription – including initial prescription and subsequent modification - to maximize  
288 individual response (Ross et al. 2019). Although initial prescription should likely be based on  
289 protocols known to elicit the largest mean changes for the outcome(s) of interest (Atkinson et al.  
290 2019; Bonafiglia et al. 2021c), subsequent modifications to exercise prescriptions will benefit  
291 from more accurate estimates of response. In the current data set, verification phase-associated  
292 reductions in the control group’s SD reduced CI widths because our CIs were constructed by  
293 adding and subtracting a multiple of TE to each observed score (see equation 2 and 3) (Swinton  
294 et al. 2023). Smaller CIs reduced the magnitude of observed change required for an individual to  
295 be classified as a responder and thus reduced the likelihood of classifying an individual’s  
296 response as “uncertain”. This effect is illustrated for a representative participant in Figure 5.  
297 Reducing uncertainty in individual response classification would allow practitioners to make  
298 prescription modifications with increased confidence, especially when participants fail to

299 demonstrate a meaningful response in their outcome(s) of interest. Because INCR1+ reduced CI  
300 width the most, practitioners monitoring an individual's  $VO_{2peak}$  response should consider  
301 incorporating a same-day supramaximal verification phase following an incremental test.

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### 303 *Impact of supramaximal verification phase and CI width on group response rate*

304 Mean change and interindividual variability in observed response influence response  
305 rates to an exercise intervention (Bonafiglia et al. 2021c). When utilizing individual confidence  
306 intervals, the SD of change in the control group also contributes to response rates via its impact  
307 on CI width (Schulhauser et al. 2021). Thus, in the current study, response rates were  
308 determined by three factors: i) mean change in the exercise group, ii) interindividual variability  
309 (SD of change) in the exercise group, and/or iii) SD of change (and TE/CI width) in the control  
310 group. Although previous studies have primarily attributed increased response rates in CRF,  
311 body composition, exercise performance, and strength outcomes (Walsh et al. 2020; Islam et al.  
312 2020; Bonafiglia et al. 2022b) to changes in mean response, we failed to observed a statistically  
313 significant change for mean changes in  $VO_{2peak}$  across conditions (Table 2). Despite this, and  
314 despite non-significant differences between conditions, incorporating verification phase data  
315 increased response rates in all but one condition (ZBT-50, INCR2 to INCR 2+). Response rates  
316 doubled (24 to 48%,  $p=0.07$ ) and nearly doubled (28 to 48%,  $p=0.063$ ) in the INCR1+ and  
317 INCR2+ conditions for ZBT-95, respectively (Figure 4). Because the SD of change in the  
318 exercise group was only reduced with the addition of a second day of testing (INCR1=2.92;  
319 INCR1+=2.85; INCR2=2.42; INCR2+=2.38) (Table 2) verification phases appear to improve  
320 response rate estimates by a combination factors ii and iii above. However, response rates across

321 methods failed to reach statistical significance. The impact of verification phases on response  
322 rate certainty will be better understood with larger sample sizes.

323         Although mean changes in  $VO_{2peak}$  were not impacted by determination method (INCR1,  
324 INCR1+, etc.), the reduction in variability associated with additional measurements resulted in a  
325 progressively larger interaction effect size (INCR1,  $\eta_p^2=0.185$ ; INCR1+,  $\eta_p^2=0.228$ ; INCR2,  $\eta_p^2$   
326  $=0.257$ ; INCR2+,  $\eta_p^2=0.300$ ). This result highlights the ability of repeat tests in general – and  
327 verification phases specifically – to improve the sensitivity of studies to detect group level  
328 differences in  $VO_{2peak}$ .

329         Interestingly, despite reducing the SD of change in both exercise and control groups  
330 (albeit to a lesser degree than verification phases), a second incremental test had less robust  
331 effects on CI width and response rates. This suggests that researchers and practitioners  
332 interested in estimates of response rate would be better served by incorporating a same-day  
333 verification phase than a separate day incremental-test.

334         This study demonstrated the largest response rates (see Table 2) when using a ZBT with  
335 50% CIs. This result corroborates recent findings that classification method heavily influences  
336 response rates (Schulhauser et al. 2021). While large response rates may seem desirable,  
337 thresholds failing to consider error will inflate response rates compared with more conservative  
338 thresholds considering both error and a smallest worthwhile change/minimal clinically important  
339 difference (Hecksteden et al. 2018; Schulhauser et al. 2021; Bonafiglia et al. 2021b). While the  
340 utility of using more conservative thresholds has been argued elsewhere (Swinton et al. 2018),  
341 there is currently no agreement in the literature on the best method(s) for response rate  
342 estimation.



343           In summary, our data demonstrate the ability of supramaximal verification phases to  
344 uncertainty and variation in both control (TE/CI width) and exercise comparator arms,  
345 suggesting they may be a valuable addition for future studies designed to examine  $VO_{2peak}$   
346 response rates. Although interpretation of these results should be tempered by the lack of  
347 statistical significance for between-group response rates, we believe verification phases can  
348 improve precision of estimates of response rates and should be considered in future work.

349349

### 350   **LIMITATIONS**

351           Because this is a secondary analysis, we did not appropriately power this study to detect  
352 differences between groups or methods. That being said, we did not observe statistically  
353 significant differences in SD of change in the control group, and response rates across groups.  
354 The studies contributing data to our analyses were also neither designed nor adequately powered  
355 to test for any sex-based differences in response to HIIT. Consequently, conducting sex-based  
356 analyses in the present study would not yield valid results. The potential influence of sex on  
357 training responsiveness to HIIT remains an important area for future research.

358           Given the relatively small sample size of the current study, future studies with larger  
359 sample sizes, a priori power calculations, and risk of bias mitigating practices should test  
360 whether incorporating supramaximal verification phases reduce uncertainty in individual  
361 response classification (Preobrazenski et al. 2020; Bonafiglia et al. 2022a). Although we used a  
362 group-based approach to classify responses, we acknowledge individualized approaches may  
363 have greater utility in studies using different populations and/or sample sizes (Swinton et al.  
364 2018; Hecksteden et al. 2018; Bonafiglia et al. 2019b). We also acknowledge different  
365 incremental test protocols and populations can influence  $VO_{2peak}$  data (Gordon et al. 2012; Beltz

366 et al. 2016). Although we incorporated robust outlier-detection protocols to improve data  
367 accuracy (Del Giudice et al. 2020), individual  $VO_{2peak}$  response classifications may differ based  
368 on testing protocol (e.g., intensity prescribed for verification phase, duration of recovery between  
369 phases), modality (ergometer vs. treadmill), and population. Thus, it remains unclear whether  
370 verification phases (including their prescribed mode and intensity) impact  $VO_{2peak}$  response  
371 classifications in athletic, older, unmotivated, or clinical populations following HIIT.  
372 Interrogating whether verification phase  $VO_{2peak}$  data can reduce uncertainty in response  
373 classification across a range of populations is currently unknown, but represents an important  
374 future direction.

375         The additional financial cost and participant burden are drawbacks to incorporating  
376 supramaximal testing and repeat testing. Both were not quantified in the current study. However,  
377 a supramaximal verification phase can be completed in at least 13 minutes (when including the  
378 break in between phases) (Scharhag-Rosenberger et al. 2011; Astorino 2020) and can reduce TE  
379 and CI width. These observations may persuade researchers and practitioners to justify adding at  
380 least a same-day supramaximal verification test (i.e., INCR1+). Additionally, we suspect  
381 researchers employ familiarization tests more frequently than practitioners. Participants in the  
382 current study underwent familiarization, which presumably reduced test-retest variability to an  
383 unmeasured degree.

384

## 385 **CONCLUSION**

386         This report showcases the impact of repeat incremental tests and supramaximal  
387 verification phases on measurement error, individual response classification and group response  
388 rates for  $VO_{2peak}$ . Including a same-day verification phase minimized the impact of random

389 variability (attributable to measurement error and within-subject variability) in the control group,  
390 reducing TE and CI width. Although any repeated measurement of  $VO_{2peak}$  reduced CI width,  
391 adding a same-day verification (INCR1+) reduced CI width the most. We therefore recommend  
392 using a same-day verification phase to reduce uncertainty in individual  $VO_{2peak}$  response  
393 classifications.

394394

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396396

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399399

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401 corresponding author upon reasonable request. As per APNM requirements, a post-recruitment  
402 registration of this project has been uploaded to Open Science Framework (<https://osf.io/br5us>).

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**582 FIGURE CAPTIONS**

- 583 1. Participant flow diagram. CTL, no-exercise control group; HIIT, high-intensity interval  
584 training.
- 585 2. Study protocols. Participants completed two incremental tests before (PRE) and after  
586 (POST) four weeks of HIIT or a no-exercise control period. See manuscript text for  
587 details. HIIT, high-intensity interval training.
- 588 3. Illustration of the four methods **(A-D)** used to calculate mean changes in peak oxygen  
589 uptake ( $VO_{2peak}$ ) values from before (PRE) and after (POST) 4 weeks of HIIT in 34  
590 participants. Solid black lines in a step-like formation represent incremental ramp tests,  
591 and shaded grey boxes represent supramaximal verifications. **(A)**: INCR1 - Calculated  
592 difference ( $\Delta$ ) between the 1<sup>st</sup> incremental test at PRE and the 1<sup>st</sup> incremental test at  
593 POST. **(B)**: INCR1+ - Calculated difference between the averaged  $VO_{2peak}$  from the 1st  
594 incremental test and its supramaximal verification at PRE and the averaged  $VO_{2peak}$  from  
595 the 1st incremental test and its supramaximal verification at POST. **(C)**: INCR2 -  
596 Calculated difference between the averaged  $VO_{2peak}$  of two incremental tests at PRE and  
597 two incremental tests at POST. **(D)**: INCR2+ - Calculated difference between the  
598 averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at PRE  
599 and the averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at  
600 POST. HIIT, high-intensity interval training.
- 601 4. Individual response classification following four methods used to calculate mean changes  
602 in peak oxygen uptake ( $VO_{2peak}$ ). Green circles represent participants whose lower limit  
603 of their 95% confidence interval (CI) exceeds zero. Individual responses to  $VO_{2peak}$  from  
604 the 3-day and 4-day high-intensity interval training groups are ordered from smallest to



605 largest change according to  $\text{VO}_2$  calculation method (A). Methods 'INCR1+' and  
606 'INCR2+' contain supramaximal verification phases. Visualization of participants are  
607 ordered according to INCR1 (A) observed change score.

608 5. An example of inconsistent individual response classification across four  $\text{VO}_{2\text{peak}}$   
609 calculation methods (data from participant 6). A green dot with 95% confidence intervals  
610 represents a positive response using a zero-based threshold (ZBT). CI width for each  
611 method is  $\pm 4.59, 2.91, 3.55, 2.82$ , respectively. Methods 'INCR1+' and 'INCR2+'  
612 contain supramaximal verification phases. For interest, the smallest worthwhile change  
613 (SWC) threshold has been graphically displayed.

## Applied Physiology, Nutrition, and Metabolism

**Table 1.** Baseline participant characteristics (n=34).

<b>Participants</b>	<b>All (n=34)</b>	<b>CTL (n=9)</b>	<b>3-day (n=12)</b>	<b>4-day (n=13)</b>
Age (years)	21.8 ± 2.1	21.7 ± 2.5	22.2 ± 1.8	21.5 ± 2.3
Sex (M/F)	(18/16)	(2/7)	(3/9)	(13/0)
Height (cm)	172.6 ± 9.9	164.1 ± 10.1	171.1 ± 7.3	179.8 ± 6.4
Body weight (kg)	71.8 ± 12.7	63.3 ± 11.7	75.0 ± 14.3	74.6 ± 9.7
VO <sub>2peak</sub> (mL/kg/min)	50.8 ± 9.8	45.0 ± 6.0	45.5 ± 8.9	59.7 ± 5.2 *
TTF (s)	1227 ± 186	1156 ± 126	1199 ± 187	1432 ± 96 *

CTL, no exercise control group; TTF = time to fatigue; values are presented as mean ± standard deviation.

\* Significantly different from CTL and 3-day (p<0.05).

**Table 2.** Changes ( $\Delta$ ) in  $VO_{2peak}$ , proportion of response and  $SD_{IR}$  after various  $VO_{2peak}$  calculation methods (n=34).

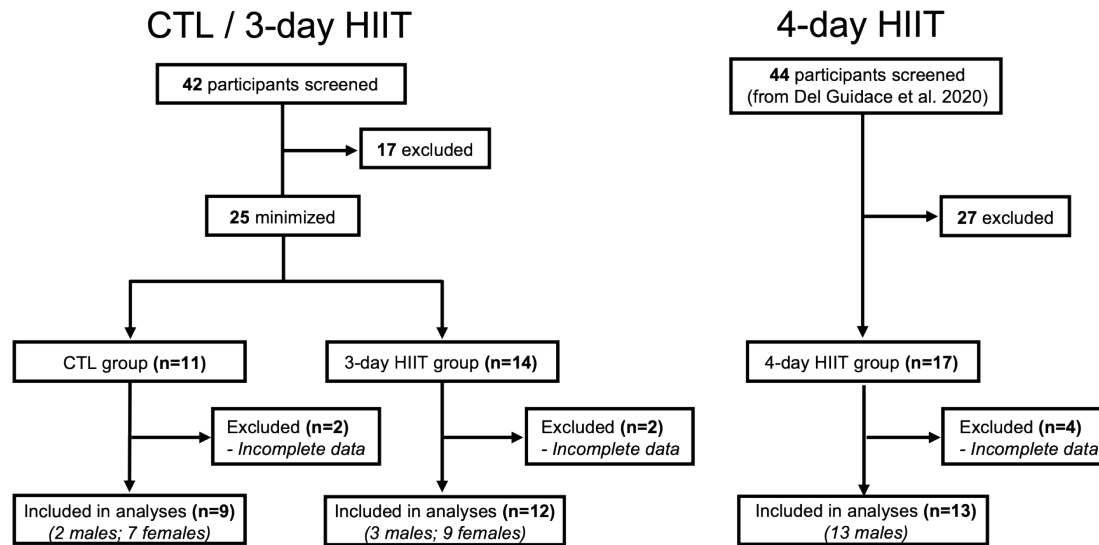
	<b>INCR1</b>	<b>INCR1+</b>	<b>INCR2</b>	<b>INCR2+</b>
<b>CTL (n=9)</b>				
<i>Mean <math>\Delta VO_{2peak} \pm SD</math></i>	-0.50 $\pm$ 2.82	-0.38 $\pm$ 2.00	-0.63 $\pm$ 2.43	-0.78 $\pm$ 1.94
TE	1.99	1.41	1.72	1.37
<b>3-day HIIT (n=12)</b>				
<i>Mean <math>\Delta VO_{2peak} \pm SD</math></i>	1.51 $\pm$ 2.37	1.44 $\pm$ 2.17	1.35 $\pm$ 1.80	1.13 $\pm$ 1.86
<b>4-day HIIT (n=13)</b>				
<i>Mean <math>\Delta VO_{2peak} \pm SD</math></i>	3.67 $\pm$ 3.19*	4.21 $\pm$ 2.94†	3.77 $\pm$ 2.51†	3.91 $\pm$ 2.15†
<b>CI width (<math>\pm</math>)</b>				
95%	4.59	2.91	3.55	2.82
50%	1.36	0.97	1.18	0.94
<b>Responders (%)</b>				
ZBT-50	60	72	68	68
ZBT-95	24	48	28	48
SWC-50	48	52	40	48
SWC-95	16	24	12	20
<b><math>SD_{IR}</math> (90% CI) (n=21)</b>				
	-1.67 (-3.13 - 2.05)	0.56 (-2.05 - 2.18)	-1.72 (-2.78 - 1.36)	-0.77 (-2.08 - 1.76)

CI, confidence interval; CTL, no exercise control group; HIIT, high-intensity interval training;  $SD_{IR}$ , standard deviation of individual response; SWC, smallest worthwhile change; ZBT, zero-based threshold.  $SD_{IR}$  was calculated using the 3-day exercise group (n=12) and CTL group (n=9). \*Significantly different from CTL ( $p < 0.01$ ), †Significantly different from 3-day HIIT ( $p < 0.05$ ).

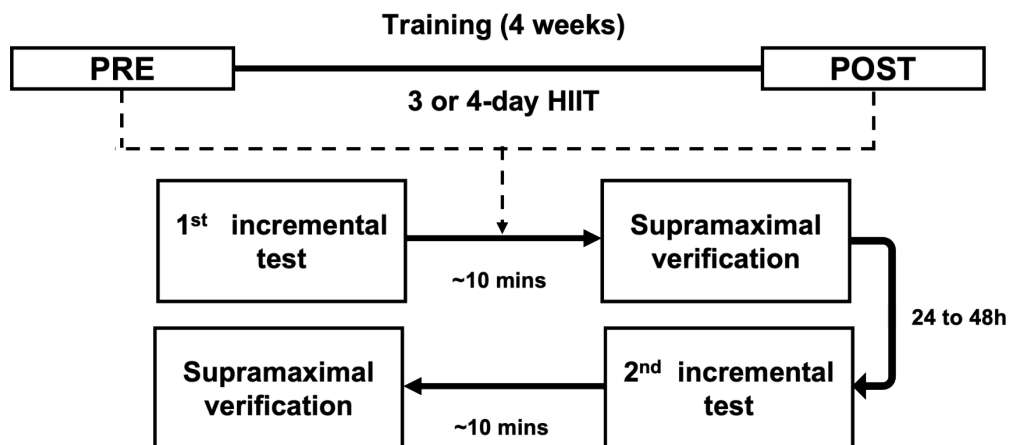
**Table 3.** Intraclass correlation coefficient (ICC) for each calculation method of  $VO_{2peak}$  (n=34).

$VO_{2peak}$ calculation method		ICC with 95% CIs		
		$\Delta$ INCR1+	$\Delta$ INCR2	$\Delta$ INCR2+
<b>(A)</b>	$\Delta$ INCR1	<b>0.934</b>	<b>0.878</b>	<b>0.822</b>
		[0.873 to 0.966]	[0.770 to 0.937]	[0.672 to 0.907]
<b>(B)</b>	$\Delta$ INCR1+		<b>0.855</b>	<b>0.903</b>
			[0.731 to 0.925]	[0.815 to 0.950]
<b>(C)</b>	$\Delta$ INCR2			<b>0.928</b>
				[0.861 to 0.963]
<b>(D)</b>	$\Delta$ INCR2+			

$VO_{2peak}$ , peak oxygen uptake in mL/kg/min; CI, confidence interval;  $\Delta$ , POST-PRE difference. Data are means  $\pm$  SD.



**Figure 1.** Participant flow diagram. CTL, no-exercise control group; HIIT, high-intensity interval training.



**Figure 2.** Study protocols. Participants completed two incremental tests before (PRE) and after (POST) four weeks of HIIT or a no-exercise control period. See manuscript text for details. HIIT, high-intensity interval training.

**Figure 3.** Illustration of the four methods (A-D) used to calculate mean changes in peak oxygen uptake ( $VO_{2peak}$ ) values from before (PRE) and after (POST) 4 weeks of HIIT in 34 participants. Solid black lines in a step-like formation represent incremental ramp tests, and shaded grey boxes represent supramaximal verifications. HIIT, high-intensity interval training.

(A): INCR1 - Calculated difference ( $\Delta$ ) between the 1<sup>st</sup> incremental test at PRE and the 1<sup>st</sup> incremental test at POST.

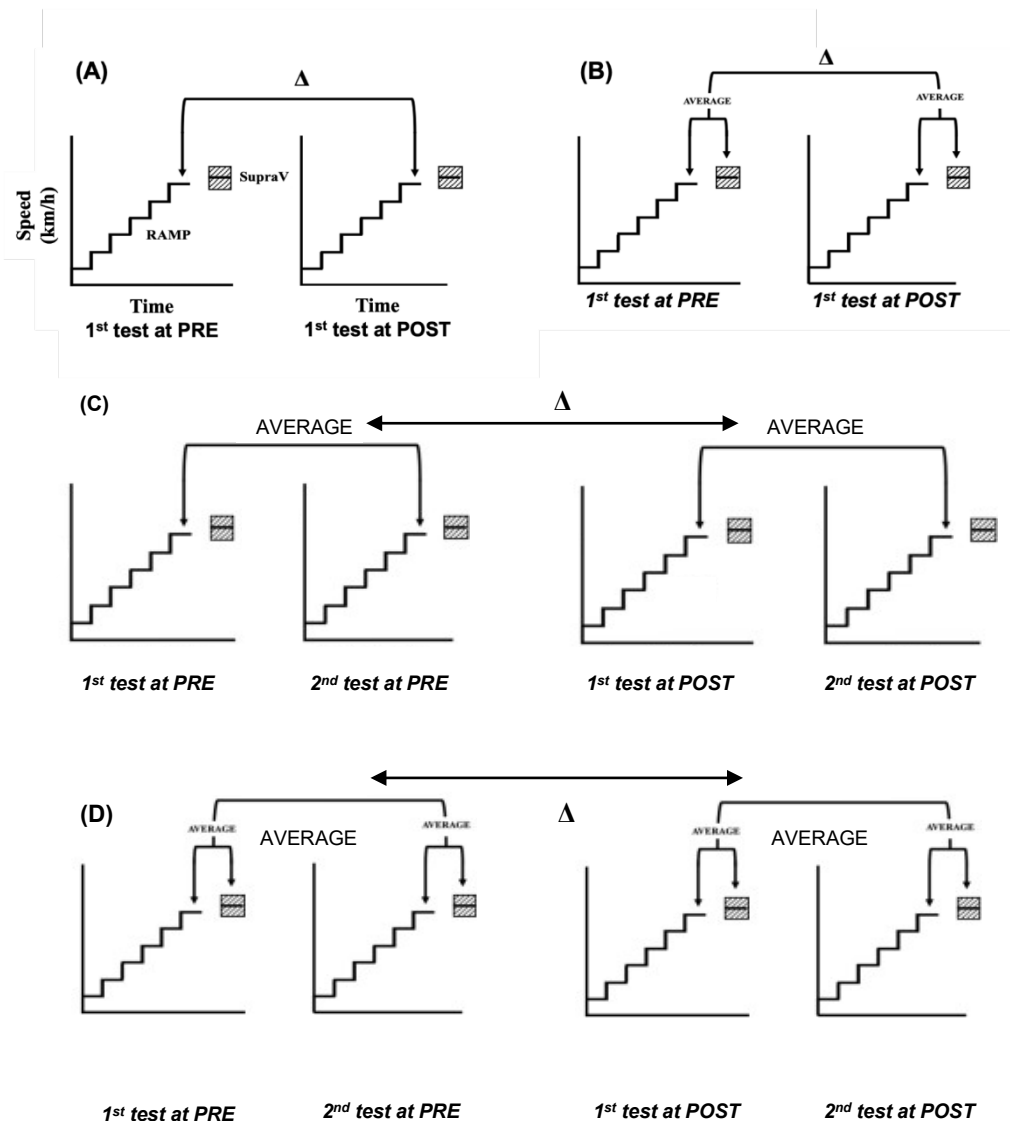
(B): INCR1+ - Calculated difference between the averaged  $VO_{2peak}$  from the 1<sup>st</sup> incremental test and its supramaximal verification at PRE and the averaged  $VO_{2peak}$  from the 1<sup>st</sup> incremental test and

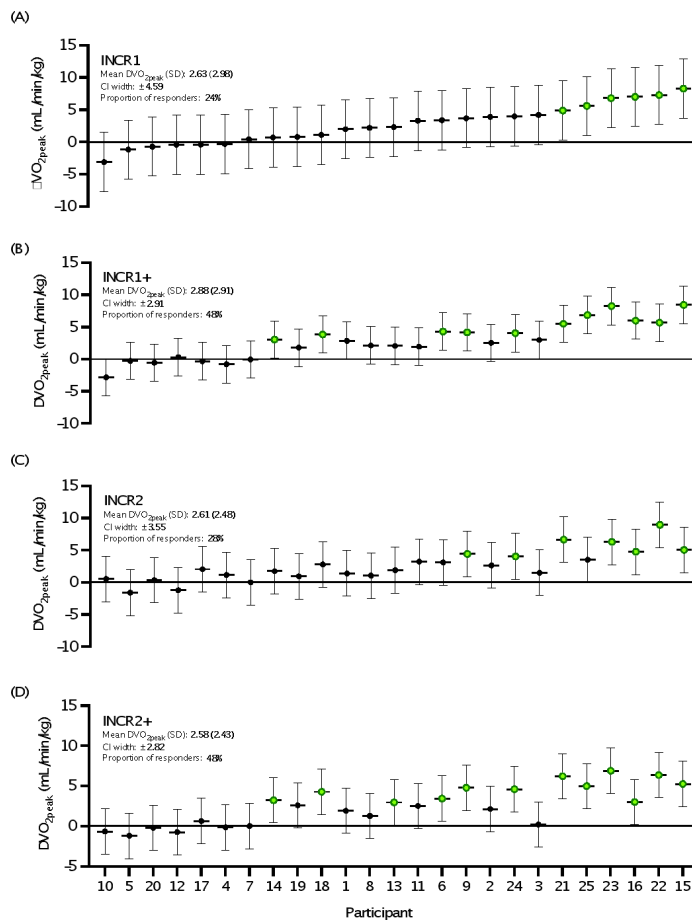
its supramaximal verification at POST

(C): INCR2 - Calculated difference between the averaged  $VO_{2peak}$  of two incremental tests at PRE

and two incremental tests at POST.

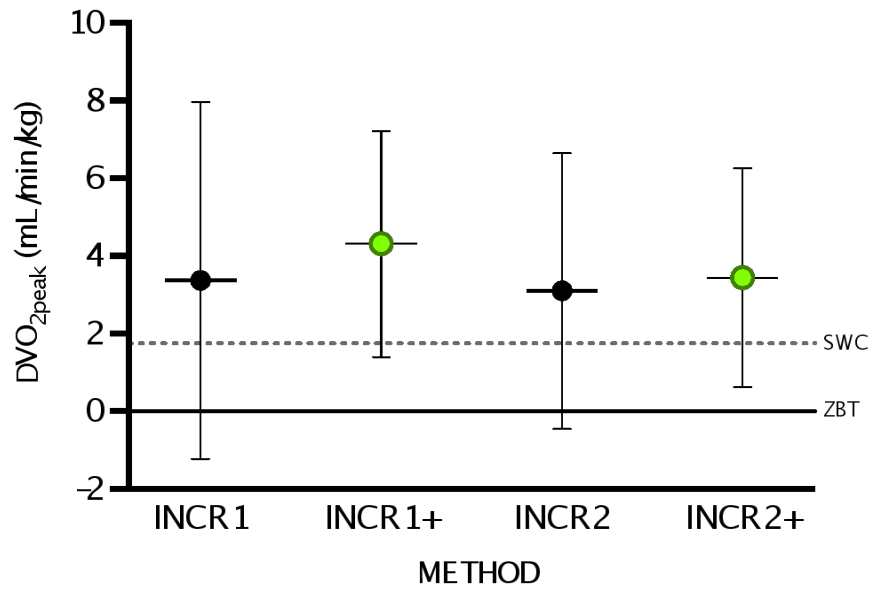
(D): INCR2+ - Calculated difference between the averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at PRE and the averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at POST.





**Figure 4.** Individual response classification following four methods used to calculate mean changes in peak oxygen uptake ( $VO_{2peak}$ ). Green circles represent participants whose lower limit of their 95% confidence interval (CI) exceeds zero. Individual responses to  $VO_{2peak}$  from the 3-day and 4-day high-intensity interval training groups are ordered from smallest to largest change according to  $VO_2$  calculation method (A). Methods 'INCR1+' and 'INCR2+' contain supramaximal verification phases. Visualization of participants are ordered according to INCR1 (A) observed change score.





**Figure 5.** An example of inconsistent individual response classification across four  $VO_{2peak}$  calculation methods (data from participant 6). A green dot with 95% confidence intervals represents a positive response using a zero-based threshold (ZBT). CI width for each method is  $\pm 4.59, 2.91, 3.55, 2.82$ , respectively. Methods 'INCR1+' and 'INCR2+' contain supramaximal verification phases. For interest, the smallest worthwhile change (SWC) threshold has been graphically displayed.