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# Does exercise prescription based on estimated heart rate training zones exceed the ventilatory anaerobic threshold in patients with coronary heart disease undergoing usual-care cardiovascular rehabilitation? A United Kingdom perspective.

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1     **Does exercise training prescription based on estimated heart rate training zones exceed**  
2     **the ventilatory anaerobic threshold in patients with coronary heart disease undergoing**  
3     **usual-care cardiovascular rehabilitation?: A United Kingdom perspective.**

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1 Abstract

2 **Background:** In the United Kingdom (UK), exercise intensity is prescribed from a fixed  
3 percentage range (% heart rate reserve; %HRR) in cardiac rehabilitation (CR) programmes.  
4 We aimed to determine the accuracy of this approach by comparing it with an objective,  
5 threshold-based approach incorporating the accurate determination of ventilatory  
6 anaerobic threshold (VAT). We also aimed to investigate the role of baseline  
7 cardiorespiratory fitness status, and exercise testing mode dependency (cycle v treadmill  
8 ergometer) on these relationships.

9 **Design/Methods:** A maximal cardiopulmonary exercise test was conducted on a cycle  
10 ergometer or a treadmill before and following usual-care circuit training from two separate  
11 CR programmes from a single region in the UK. The heart rate corresponding to VAT was  
12 compared to current heart rate-based exercise prescription guidelines.

13 **Results:** We included 112 referred patients (61 years [59-63]; body mass index 29 kg·m<sup>-2</sup>  
14 [29-30]; 88% male). There was a significant but relatively weak correlation ( $r=0.32$ ;  $P=0.001$ )  
15 between measured and predicted %HRR, and values were significantly different from each  
16 other ( $P=0.005$ ). Within this cohort, we found that 55% of patients had their VAT identified  
17 outside of the 40-70% predicted HRR exercise training zone. In the majority of participants  
18 (45%), the VAT occurred at an exercise intensity <40% HRR). Moreover, 57% of patients with  
19 low levels of cardiorespiratory achieved VAT at <40% HRR. Whereas, 30% of patients with  
20 higher fitness achieved their VAT at >70% HRR. VAT was significantly higher on the treadmill  
21 than the cycle ergometer ( $P<0.001$ ).

22 **Conclusion:** In the UK, current guidelines for prescribing exercise intensity are based on a  
23 fixed percentage range. Our findings indicate that this approach may be inaccurate in a large  
24 proportion of patients undertaking CR.

25 **Word Count:** 274 words

26 **Key words:** cardiac rehabilitation, exercise prescription, cardiorespiratory fitness,  
27 ventilatory anaerobic threshold.

28

## 1 Introduction

2 Cardiovascular rehabilitation (CR) is a multi-disciplinary secondary prevention programme  
3 that has been shown to contribute to reduced hospital admissions, and improvements in  
4 patient quality of life, following a cardiac event.(1-4) Historically, a 1% improvement in peak  
5 oxygen uptake ( $VO_{2peak}$ ) resulting from exercise-based CR, was thought to confer a 2%  
6 reduction in premature mortality.(5) Similarly, every  $3.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  increment in  $VO_{2peak}$   
7 has been associated with a 12-13% survival benefit (6, 7) in men referred for exercise  
8 testing. Therefore, it is essential that the prescribed dose of exercise is sufficient to  
9 stimulate improvements in  $VO_{2peak}$  following CR. Recent systematic reviews and meta-  
10 analyses have shown that increased exercise intensity is an important factor in achieving  
11 superior outcomes in patients with cardiovascular disease.(8, 9)

12 The prescribed dose of exercise can be influenced by manipulating exercise frequency,  
13 duration, type/mode, and/or intensity [exercise dose].(10) In the United Kingdom (UK),  
14 current long-term exercise training guidelines for patients undertaking CR, recommend  
15 exercise training intensities between 40-70% heart rate reserve (HRR), oxygen uptake  
16 reserve ( $VO_{2R}$ ), or a Borg rating of perceived exertion (RPE) between 11-14.(11, 12) Both  
17 continuous and interval training at an objective physiological threshold has been shown to have a  
18 beneficial impact by improving  $VO_{2peak}$ .(13) Training at or above the ventilatory anaerobic  
19 threshold (VAT), often referred to as the first ventilatory threshold (VT1), indicates the  
20 point above which, further increments in work rate are increasingly supplemented through  
21 anaerobic metabolism.(14-17) Despite being associated with mild metabolic  
22 perturbations,(16, 17) regular exercise bouts conducted at work rates equivalent to VAT are  
23 well tolerated,(18) and induce physiological adaptation leading to improved  
24 cardiorespiratory fitness (CRF) and other cardiovascular risk factors.(19, 20) However, whilst

1 work rates corresponding to VAT may represent a minimum intensity needed to improve  
2 CRF, metabolic gas equipment and calibrated ergometers are often not available in a CR  
3 setting in the UK. Prescribing exercise as a percentage of measured HRR, or most typically  
4 estimated HRR, is often a more practical and realistic alternative in UK cardiac rehabilitation  
5 settings.(10)

6 The 40% HRR threshold is cited as the lowest effective exercise intensity for improving CRF  
7 in patients undertaking CR.(10, 12) The individual VAT is widely accepted to occur between  
8 45-65% HRR in healthy and cardiac patients,(8) with lower values reported in patients with a  
9 chronic cardiovascular disease.(10) However, the distribution of VAT values, and its relation  
10 to exercise capacity, is unclear in patients undertaking CR. How commonly VAT occurs  
11 within discrete exercise intensity ranges is also under-reported in patients with coronary  
12 artery disease. Tan *et al* (21) showed that the mean VAT was equal to 82% of maximal heart  
13 rate (HR), in 19 cardiac patients referred for a cardiopulmonary exercise test (CPET) prior to  
14 CR, (21). However, the mode of exercise testing may also influence when an individual's VAT  
15 occurs.

16 In the UK, the mode of exercise testing varies between CR programmes. This means that a  
17 patient's exercise prescription could be based on a number of different submaximal exercise  
18 tests, including the 6-min walk test, incremental shuttle walk test, step test, or cycle  
19 ergometry. The differing metabolic responses to cycling compared with walking may affect a  
20 patient's peak oxygen uptake ( $\dot{V}O_{2peak}$ ), and the occurrence of VAT. This, in turn, may  
21 significantly affect the accuracy of exercise intensity prescription. These issues have not  
22 been addressed sufficiently within UK guidelines for exercise prescription in CR  
23 programmes. This information may help practitioners to optimise a patient's initial exercise

1 prescription and maximise the improvements associated with exercise training  
2 programmes. This is especially important when the frequency and duration of CR sessions  
3 are finite. We aimed to determine the accuracy of the standard UK approach for prescribing  
4 exercise in patients undertaking CR by comparing it with objective measures of exercise  
5 prescription, namely  $\dot{V}O_{2peak}$  and VAT. Secondary aims were to determine how exercise  
6 modality (exercise testing with cycle versus treadmill ergometer), and baseline levels of CRF  
7 affected the concordance of VAT and HRR measures.

8

## 9 **Methods**

10 Data was collated from the baseline assessment of two separate cohorts who undertook a  
11 maximal effort CPET to volitional exhaustion prior to commencing a CR programme. The  
12 methods for these studies have previously been reported.(22, 23) Ethical approval was  
13 provided by the Yorkshire and Humber – Sheffield National (12/YH/0072) and Humber  
14 Bridge NHS (12/YH/0278) Research Ethics Committees. Briefly, patients were recruited  
15 following a referral to CR for angina, myocardial infarction (MI), coronary artery bypass graft  
16 (CABG), or percutaneous coronary intervention (PCI). Patients attended a baseline study  
17 assessment, where written informed consent was obtained. CPET was conducted on a cycle  
18 ergometer following a 25W incremental protocol, or on a treadmill following the modified  
19 Bruce protocol,(24) adopting previously outlined test termination and maximal effort  
20 criteria.(15, 25) Breath-by-breath metabolic gas exchange data were collected using an  
21 Innocor (Innovision, Glamsbjerg, Denmark) or Oxycon-Pro metabolic cart (Jaeger,  
22 Hoechburg, Germany), respectively, which were calibrated according to manufacturers'  
23 instructions and current recommendations.(26) Peak values were averaged over the final 30

1 seconds of the CPET.  $VO_{2peak}$  was reported in absolute values ( $L \cdot min^{-1}$ ) and standardised to  
2 each patient's body mass ( $ml \cdot kg^{-1} \cdot min^{-1}$ ). Individualised VAT was independently determined  
3 by two investigators (using the average of the middle five of every seven breaths plotted in  
4 the V-slope method, and verified using the ventilatory equivalents.(14, 27) Where  
5 investigators reported different VAT values, a third reviewer was consulted and the  
6 threshold value agreed by consensus. The VAT was reported in  $L \cdot min^{-1}$  and  $ml \cdot kg^{-1} \cdot min^{-1}$  and  
7 expressed as a percentage of directly-determined and predicted  $VO_{2peak}$ .(28) The HR at VAT  
8 was then established and reported as a ratio of HRmax and HRR determined from CPET, and  
9 as a ratio of predicted HRmax and HRR with relevant adjustment for the effects of beta-  
10 blockade on maximal heart rates as follows [10]):

11

12  $((205.8 - (0.685 \times \text{age})) - \text{resting heart rate} (-30 \text{ beats per min if taking beta-blockers}))$

13

14 To characterise where a patients VAT occurred in relation to established training zones, the  
15 VAT values were categorically assigned to exercise intensity groups of <40%, 40-49%, 50-  
16 59%, 60-69%, 70%, and >70% of measured, and predicted HRR. Adjustment for  $\beta$ -blockades  
17 were made where appropriate,(12). We assessed how many patients had a VAT that  
18 occurred within the exercise training intensity ranges recommended by UK CR guidelines,  
19 namely 40-70% HRR, or an RPE between 11-14.(11, 12) Patients were sub-categorised  
20 according to individual CRF levels as low (<5 METs for women, <6 METs for men), moderate  
21 (5<7 METs for women, 6<8 METs for men), and high CRF ( $\geq 7$  METs for women,  $\geq 8$  METs for  
22 men), based on exercise capacity (MET) thresholds derived from the international literature  
23 and previously applied to cardiac patients in the UK.(29) These sub-groups were then

1 categorised based on the HRR zone that the individualised VAT occurred within. We also  
2 conducted sub-analyses on patients who undertook their CPET either on a treadmill or cycle  
3 ergometer.

4

## 5 Data analysis

6 Statistical analysis was conducted using SPSS version 24 (IBM, NY, USA). When data was not  
7 normally distributed, normalisation of the distribution was attempted using  $\log_{10}$   
8 transformation. Logarithmically transformed data was analysed in its transformed state and  
9 reported as an arithmetic mean to allow for meaningful interpretation. Normally distributed  
10 and transformed data were analysed using a univariate general linear model with  
11 significance set at arbitrary level ( $P < 0.05$ ), and is presented as mean (95% confidence  
12 intervals), and partial-eta squared ( $\eta_p^2$ ) effect sizes, with 0.01, 0.06, and 0.14 denoting  
13 small, moderate, and large effects, respectively (30). For non-normally distributed data, a  
14 Mann-Whitney U test was conducted with median and range reported. Categorical data was  
15 analysed using a Chi-squared test of independence and reported as percentage and  
16 frequency. When  $\geq 1$  cell had an expected value  $< 5$ , the Fisher's exact test was used.

17

## 18 Results

### 19 Patient Characteristics

20 One-hundred and twelve ( $n=112$ ) cardiac patients were included for analysis (61.3 years  
21 [59.4-63.1]; 29.3  $\text{kg}\cdot\text{m}^{-2}$  [28.5-30.1]; 88% male). Forty-two patients ( $n=42$ ; 37.5%) undertook  
22 their CPET on a cycle ergometer. Patients on a cycle ergometer achieved 79.1% of their  
23 predicted HRmax [74.6-83.6%], an RPE of 18 [17-18], and a peak respiratory exchange ratio



1 (RER) of 1.02 [1.00-1.05]. Seventy ( $n=70$ ) patients undertook CPET on a treadmill. Patients  
2 conducting CPET on a treadmill achieved 82.3% [79.7-84.9%] of predicted HRmax), an RPE of  
3 17.8 [17.3-18.3], and a peak RER of 1.09 [1.06-1.11]). 77% and 86% of the patients  
4 undergoing cycle and treadmill testing, respectively, were prescribed beta-blockers. The  
5 majority of patients had a diagnosis of myocardial infarction (MI) with primary (32.5%) or  
6 elective (28.9%) PCI. There was a greater prevalence of active smokers ( $P=0.017$ ) in those  
7 that conducted a CPET on a cycle ergometer. There were significant between-group  
8 differences for age ( $P=0.012$ ;  $\eta^2_p=0.054$ ), and resting HR (mean difference 5.8bpm (95% CI  
9 1.0-10.5bpm)  $P=0.032$ ; Table 1) between the test modality groups. 42 out of 112 patients,  
10 were classified within the lower cardiorespiratory fitness group, 50 in the moderate-fit  
11 group, and 20 in the high-fit group (Table 2).

12

13

#### 14 VAT, HRR zones, and CRF categories

15 Measured HRR ( $72 \pm 15$  bpm) derived from maximal CPET demonstrated only a modest  
16 correlation with predicted HRR ( $77.99 \pm 20.42$ bpm) (using current UK CR guidelines ( $r=0.32$ ;  
17  $P=0.001$ ). However, the directly determined and predicted HRR/peak HR variables were  
18 significantly different from each other (mean difference = 6.74bpm (95% CI 2.99-10.49bpm)  
19  $P=0.001$ ). The VAT occurred within 40-70% of directly determined HRR range in 61.6% of  
20 patients. In the remaining 38.4% of patients, 33.9% achieved their VAT at <40% HRR, and in  
21 4.5% of patients, their VAT did not occur until >70% HRR. For predicted HRR, VAT occurred  
22 within 40-70% HRR in 44.6% of patients. Of the remaining 55.4% of patients, 45.4% achieved  
23 VAT at <40% HRR, and 9.8% at >70% HRR (Table 2).

1 The VAT occurred between 40-70% of predicted HRR in 21.4% of patients undertaking  
2 cycling exercise. The majority (76.2%) of patients exceeded the VAT at <40% HRR. For  
3 patients undertaking CPET on a treadmill, 58.5% of patients had a VAT that occurred  
4 between 40-70% of predicted HRR, and 27.1% had a VAT that occurred at <40% HRR.  
5 Interestingly, the VAT occurred between 40-70% of predicted HRR in 35.8% of patients that  
6 were categorised as having a low CRF. 57.1% of patients exceeded their VAT at <40% of  
7 their HRR. For higher-fit patients, VAT occurred between 40-70% of predicted HRR in 50% of  
8 patients, at <40% HRR in 20%, and >70% HRR for the remaining 30% of patients (Table 2).

9 Figure 1 shows the inter-quartile range for VAT as a percentage of predicted HRR, based on  
10 CRF category, and exercise testing modality. The VAT occurred at a higher percentage of  
11  $VO_{2peak}$  in patients with a higher CRF. This observation was also evident when CPET was  
12 conducted on a treadmill for all CRF categories, but most apparently in the moderate and  
13 high-fit groups.

14

#### 15 [Directly measured compared with predicted cardiorespiratory fitness variables](#)

16 Mean  $VO_{2peak}$  was not significantly different between exercise modality groups in absolute  
17 units ( $P=0.644$ ;  $\eta_p^2=0.002$ ), or relative to body mass ( $P=0.359$ ;  $\eta_p^2=0.008$ ) (Table 3).

18 However, absolute ( $P=0.027$ ) and relative ( $P=0.001$ ) VAT was significantly different across  
19 the different CRF groups. VAT occurred at a higher percentage of predicted ( $P=0.003$ ;  
20  $\eta_p^2=0.08$ ) and measured  $VO_{2peak}$  ( $P<0.001$ ;  $\eta_p^2=0.151$ ), and HRR ( $P<0.001$ ;  $\eta_p^2=0.132$ ) in

21 patients exercising on the treadmill. Measured HRR ( $P=0.012$ ;  $\eta_p^2=0.056$ ), and HR at VAT  
22 ( $P=0.016$ ;  $\eta_p^2=0.052$ ) were significantly higher in the treadmill group. There was a significant

1 between-group difference for predicted HRmax adjusted for  $\beta$ -blockade ( $P=0.003$ ; Table 4).  
2 However, there was no difference in predicted HRR ( $P=0.863$ ,  $\eta_p^2 < 0.001$ ) or  $VO_{2peak}$   
3 between groups ( $P=0.815$ ,  $\eta_p^2 < 0.001$ ). Figures 2a and 2b highlight individual case studies  
4 which demonstrate how the predicted HRR method can either over- or under- estimate  
5 individualised exercise prescription versus directly determined HRR and VAT.

6

## 7 Discussion

8 This study aimed to determine the accuracy of the standard UK approach for prescribing  
9 exercise in patients undertaking CR. This method of determining target heart rates for  
10 exercise training in cardiac patients relies largely on predictive methods for determining  
11 maximal HR (including patients taking beta-blockade). We sought to compare it with a more  
12 objective measure of exercise prescription, namely the VAT derived from respiratory gas  
13 exchange during a maximal CPET. Our findings indicate that current UK CR exercise  
14 prescription guidelines appear susceptible to substantial inaccuracy with more than half of  
15 our cohort achieving a VAT outside the recommended target range of 40-70% HRR. We  
16 found that 45% of patients had VAT identified at <40% HRR, and in 9% of patients, VAT was  
17 identified at >70% HRR, suggesting that the required exercise intensity spectrum is wider  
18 than the recommended 40-70 HRR%.

19 When considering baseline cardiorespiratory fitness, the proportion of patients whose VAT  
20 occurred outside the guidelines increased. 57% of low-fit patients achieving VAT at <40%  
21 HRR, and 30% of high-fit patients achieving VAT at >70% HRR, confirming that VAT occurs  
22 later with increasing CRF in cardiac patients.(31) For those who achieved VAT at <40% HRR,

1 their exercise prescription may overly exceed VAT and prove too challenging, whilst for  
2 those that achieve VAT >70%HRR, their prescription is unlikely to induce a training stimulus  
3 and prove too easy. We speculate that this may contribute to the 23% attrition rate recently  
4 reported in UK CR,(32) as some patients overly exceed their training stimulus (i.e. low fit  
5 patients), which may be uncomfortable, whilst some do not reach it, thus providing minimal  
6 benefit (i.e. high fit patients), both of which may cause patients to discontinue CR.

7 Therefore, a *one size fits all* approach, relying on predictive methods for maximal HR and  
8 estimated HRR to prescribe exercise appears ineffective. Exercise prescription within cardiac  
9 rehabilitation settings needs to be more accurate, patient specific and fine-tuned, ideally  
10 based on ventilatory markers, actual HRR and baseline fitness category determined via  
11 CPET.(33) One option could be to shift from 'range-based' to 'threshold-based' CR exercise  
12 prescription, with moderate-high intensity exercise, corresponding to work rates between  
13 VAT and critical power, being recommended.(17) Based on the current data, CPET would aid  
14 prescription to ensure that *all* patients achieved VAT during CR, whilst also ensuring it is not  
15 overly exceeded. This is important given that certain cardiac patients, namely those who  
16 may be more deconditioned, often perform activities of daily living at levels of VO<sub>2</sub> that  
17 exceed VAT.(34) Therefore, exercising in steady-state conditions above VAT is vital for these  
18 patients, but may not be possible if it is exceeded. In the late 1970s, limitations in the  
19 relative percent method (i.e %HRR) for prescribing exercise intensity were identified, with a  
20 study by Katch *et al* showing this method failed to consider individual metabolic  
21 differences,(35) yet it is still a recommended approach today.(8,10) More recent  
22 investigations have proposed a more individualised exercise prescription based on  
23 ventilatory thresholds to personalise individualised training load based on metabolic  
24 responses.(36, 37) Recently, Weatherwax *et al* reported that in sedentary adults, 12 weeks

1 of aerobic exercise training based on an individualised exercise prescription using VAT had a  
2 greater effect on the incidence of training response compared to a standardised approach  
3 using HRR. While the exact mechanisms are still not entirely understood, it is believed that  
4 exercise intensity prescribed with the use of ventilatory thresholds takes into consideration  
5 individual metabolic characteristics which are overlooked when using relative percent  
6 methods.(38)

7

8 The current data also indicate that VAT is mode-dependant for the overall cohort and across  
9 all three CRF categories. Similar to previous suggestions,(17) VAT occurred at around 50%  
10 HRR on the treadmill but is 12-15% lower on the cycle. A similar relation has also been  
11 observed in patients with chronic heart failure.(39) This mode dependency is also evident in  
12 terms of predicted HRR zones, which are adopted in most UK CR centres, with >75% of  
13 patients on a cycle ergometer achieving VAT at <40% HRR, compared with just 27% of  
14 patients exercising on a treadmill. Previous research has identified a VAT mode dependency  
15 in cardiac patients based on  $VO_2$ .(40) The current results differ somewhat as they show a  
16 mode dependency for patients who are yet to begin as opposed to those who have finished  
17 CR. Furthermore, in the current study this mode dependency is expressed using HRR, which  
18 is adopted in most CR centres, rather than  $VO_2$ .

19 UK CR is provided by the state-funded National Health Service, unlike CR operating in other  
20 international and EU countries,(15) the integration of CPET equipment is not currently  
21 incorporated into most UK centres and may prove to be prohibitive.(41) Another possible  
22 solution could be to increase the upper intensity limit of exercise prescription in line with  
23 international guidelines at 80% HRR, especially for patients in a higher fit category.(10, 42)

1 Of the 10 patients whose VAT occurred at >70% HRR, 6 achieved VAT at <80% HRR. This  
2 suggests that increasing the upper range of exercise prescription guidelines could be helpful  
3 to a small cohort of patients, and provide greater scope for training progression in those  
4 that could tolerate it; aligning UK guidelines closer to those seen internationally.(43) This  
5 does not however, address the issue for those who achieved VAT at <40%. A further  
6 alternative to personalise exercise prescription across the whole spectrum would be to  
7 identify the HR range corresponding to an RPE of 11-13, given that VAT has been shown to  
8 occur around this point (44, 45). Submaximal testing is routinely performed in UK CR and  
9 identification and utilisation of the HR between these points during testing could ensure  
10 more patients are exercising at or around the VAT. One caveat to such an option is that RPE  
11 is a subjective tool, meaning that appropriate anchoring of key values would be required for  
12 each patient, and this would need to be applied consistently within and between each CR  
13 centre in the UK.

14 To be able to confidently prescribe an individualised exercise programme in a safe and  
15 effective manner can be challenging in a cardiac population. The healthcare professional  
16 must be able to account for medication usage, presence of non-CV co-morbidities, and for  
17 example, adverse events during exercise testing. Hansen and colleagues [46] showed  
18 significant inter-clinician variance in prescribing exercise for patients with different CVDs,  
19 highlighting the challenges posed. Further training and education is key, however, digital  
20 resources are available to assist practitioner decision-making processes. For example, the  
21 European Association of Preventive Cardiology recently developed the Exercise Prescription  
22 in Everyday Practice and Rehabilitative Training (EXPERT) tool.[47] The EXPERT tool is an  
23 interactive, digital training and decision support system that assists healthcare professionals  
24 in prescribing clinically effective and medically safe exercise training programmes for CVD

1 patients. The adoption of tools such as EXPERT should be more widely encouraged and  
2 facilitated to support decision making processes around exercise prescription in cardiac  
3 populations. The impact of their utility within clinical practice could then be audited to  
4 determine changes in efficacy.

## 6 Limitations

7 The key limitation is that the two groups are made up of separate patients who varied on  
8 some baseline characteristics. Ideally, all patients would have completed a CPET using both  
9 modalities to reduce any individual effect.

## 11 Conclusion

12 To our knowledge, this is the first study of its kind to explore VAT in terms of prescribed HRR  
13 zones for cardiac patients to identify the accuracy of current UK CR exercise prescription  
14 guidelines. For a large proportion of patients, the guidelines are inaccurate with many  
15 patients achieving VAT at <40% HRR, meaning their exercise prescription may be overly  
16 challenging. Conversely, 30% of high-fit patients achieved VAT at >70% HRR, meaning their  
17 prescription may be too conservative to provide a stimulus. This under/over-prescription  
18 may lead patients to unnecessarily discontinue their CR (see Figures 2a and 2b). Therefore,  
19 for UK CR, a *one size fits all* approach is ineffective and a shift from predictive equations and  
20 submaximal exercise tests to gold-standard CPET on entry to CR would be required to  
21 improve exercise prescription. However, this may not be viable for a number of reasons,  
22 meaning that adoption of less conservative guidelines could provide a solution to ensuring  
23 that a larger proportion of patients achieve a training stimulus. Furthermore, although

1  $VO_{2peak}$  did not demonstrate a mode dependency, VAT did. This suggests that it may be  
2 necessary to conduct a CPET using both modalities, or tailor exercise prescription based on  
3 the modality used. Future research could confirm this mode dependency for HRR at VAT in  
4 cardiac patients by testing the same group of patients twice, once during each modality.



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4 Conflict of interest

5 The authors declare that there is no conflict of interest.

6 Author contributions

7 Both SP and SN have contributed equally to this manuscript, therefore we would like them  
8 both to be acknowledged as joint first authors. SN, SC and LI contributed to the design of  
9 the work. SN conducted data collection. SN, SB, SP and JP conducted data analysis and  
10 drafted the manuscript. SB, JP, SC, LI critically reviewed the manuscript. All gave final approval  
11 and agree to be accountable for all aspects of work ensuring integrity and accuracy.

12

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**Table 1.** Clinical characteristics of patients grouped by exercise modality

Mean (95% CI) † = median and ranges

Variable	Pooled (cycle and treadmill data)	Cycle	Treadmill	P-value	Partial eta-squared
Sex (male/female)	100/14 (87.70% male)	40/4 (90.0% male)	60/10 (85.7% male)	0.411	
Age (years)	61.25 (95% CI; 59.35 to 63.14)	63.13 (95% CI; 60.75 to 65.51)	58.25 (95% CI; 55.21 to 61.29)	<b>0.012*</b>	0.054
BMI (kg/m <sup>2</sup> ) <sup>T</sup>	29.30 (95% CI; 28.54 to 30.07)	30.1 (95% CI; 28.8 to 31.44)	28.80 (95%CI; 29.74 to 27.90)	0.101	0.024
Resting SBP (mmHg) <sup>Tr</sup>	131.55(95% CI; 127.94 to 135.27)	139.57 (95%CI 134.39 to 144.95)	126.74(95% CI; 122.18 to 131.46)	<b>0.001**</b>	0.099
Resting DBP (mmHg) <sup>†</sup>	83 (60 to 149)	85.50 (62 to 104)	82 (60 to 149)	0.09	
LVEF (%)	55.77 (95% CI; 54.34 to 57.20)	57.05 (95%CI; 54.35 to 59.75)	54.99 (95%CI; 53.35 to 56.62)	0.167	0.017

Resting HR  
(bpm)<sup>†</sup>

60 (42 to 95)

64 (44 to 95)

56 (42 to 91)

**0.008\*\***



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BMI, Body mass index.  $\text{kg}\cdot\text{m}^{-2}$ , kilogram per metre squared. SBP, systolic blood pressure. mmHg, millimetres of mercury. DBP, diastolic blood pressure. LVEF, left ventricular ejection fraction. HR, Heart Rate. Bpm, beats per minute.

\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . †, Variables are reported as median (minimum and maximum) values and analysed using a non-parametric test.

**Tr**, transformed using  $\log_{10}$  transformation and reported as arithmetic mean for meaningful interpretation.

**Table 2.** The occurrence of VAT in relation to predicted HRR training zones, stratified by exercise modality and baseline CRF levels

Predicted HRR threshold	Number of patients (%)		
	Pooled cycle and treadmill	Cycle	Treadmill
<40% predicted HRR	51 (45.4%)	32 (76.2%)	19 (27.1%)
40-49% predicted HRR	24 (21.4%)	5 (11.9%)	19(27.1%)
50-59% predicted HRR	15 (13.4%)	4 (9.5%)	11 (15.7%)
60-69% predicted HRR	11 (9.8%)	0	11(15.7%)
>70% predicted HRR	11 (9.8%)	1 (2.4%)	10(14.3%)
<b>Total within 40-70% HRR</b>	<b>44.6%</b>	<b>21.4%</b>	<b>58.5%</b>

Predicted HRR threshold	Baseline CRF category		
	Low Fit	Mod Fit	High Fit
<40% predicted HRR	24 (57.1%)	23 (46%)	4 (20%)
40-49% predicted HRR	11 (26.2%)	11 (22%)	2 (10%)
50-59% predicted HRR	2 (4.8%)	8 (16%)	5 (25%)
60-69% predicted HRR	2(4.8%)	6 (12%)	3 (15%)
>70% predicted HRR	3 (7.1%)	2(4%)	6 (30%)
<b>Total within 40-70% HRR</b>	<b>35.8%</b>	<b>50%</b>	<b>50%</b>

Predicted heart rate reserve using current guidelines, accounting for beta-blockade. Baseline fitness category based on Taylor et al. (2016); low fit <5 METs for women and <6 METs for men, mod fit = 5<7 METs for women and 6<8 METs for men, high fit ≥7 METs for women, and ≥8 METs for men. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve. MET, metabolic equivalent where 1 MET = 3.5ml·kg<sup>-1</sup>·min<sup>-1</sup>.

**Table 3.** Cardiorespiratory data based on maximal CPET in patients using cycle and treadmill exercise modalities

	Pooled	Cycle	Treadmill	P-value	Partial eta-squared
VO <sub>2peak</sub> (L·min <sup>-1</sup> )	2.00 (95% CI; 1.88 to 2.11)	2.03 (95% CI; 1.82 to 2.25)	1.98 (95% CI; 1.83 to 2.12)	0.644	<b>0.002</b>
VO <sub>2peak</sub> (ml·kg <sup>-1</sup> ·min <sup>-1</sup> ) Tr	22.12 (95% CI; 19.8 to 24.7)	21.43 (95% CI; 18.0 to 25.5)	22.55 (95%CI; 19.7 to 25.8)	0.359	<b>0.008</b>
HRmax (bpm)†	137 (88 to 181)	131 (88 to 181)	139 (88 to 169)	0.32	
HRR (bpm)	71.5 (95% CI; 67.7 to 75.4)	65.1 (95% CI; 58.9 to 71.3)	75.43 (95% CI; 70.69 to 80.17)	<b>0.009*</b>	0.061
VAT (ml·kg <sup>-1</sup> ·min <sup>-1</sup> ) †	13.1 (8.2 to 29.7)	13.3 (8.2 to 26.0)	16.6 (8.6 to 30.0)	<b>0.001***</b>	
VAT (L·min <sup>-1</sup> ) †	1.3 (0.7 to 2.5)	1.15 (0.7 to 2.0)	1.35 (0.7 to 2.5)	<b>0.027*</b>	
HR at VAT (bpm)	94 (95% CI; 91 to 97)	90 (95% CI; 85 to 94)	97 (95%CI; 93 to 101)	<b>0.016*</b>	<b>0.05</b>
VAT (% of VO <sub>2peak</sub> )	67.5 (95%CI; 65 to 70)	61.3 (95%CI; 58 to 65)	71.1 (95%CI; 68 to 74)	<b>&lt;0.001***</b>	0.151
VAT (% of predicted VO <sub>2peak</sub> ) Tr	56.8 (95%CI; 52 to 63)	51.8 (95%CI; 45 to 60)	60.1 (95%CI; 53 to 68)	<b>0.003**</b>	0.08



VAT (% of HRR)	45.90 (95%CI; 43 to 49)	39.45 (95%CI; 35.6 to 43.3)	49.77 (95%CI; 46.5 to 53.0)	<b>&lt;0.001***</b>	0.129
VAT (% of HRmax)	71.58 (95%CI; 70.1 to 73.1)	69.81 (95%CI; 66.9 to 72.7)	72.64 (95%CI; 70.9 to 74.4)	0.072	<b>0.029</b>

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CPET, cardiopulmonary exercise test.  $VO_{2Peak}$ , Peak oxygen consumption. HRmax, maximum heart rate. Bpm, beats per minute. HRR, heart rate reserve. VAT = ventilatory anaerobic threshold. HR, heart rate. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . †, Variables are reported as median (minimum and maximum) values analysed using a non-parametric test. **Tr**, transformed using  $\log_{10}$  transformation and reported as arithmetic mean for meaningful interpretation.

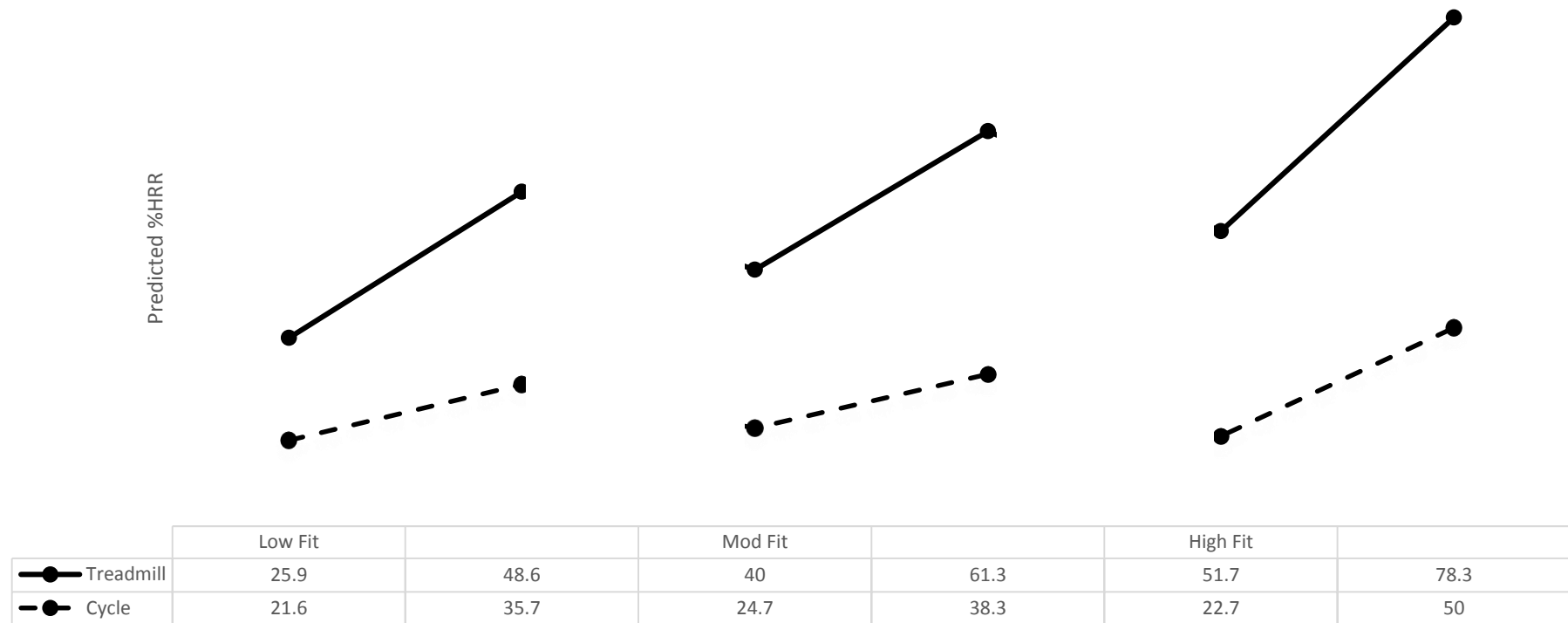
**Table 4.** Relation between predicted and measured variables stratified by mode of exercise

	Pooled	Cycle	Treadmill	P-value	Partial eta-squared
Predicted HRmax (adjusted for $\beta$ -blockade; bpm) †	136 (118 to 174)	138 (126 to 174)	134 (118 to 167)	<b>0.009**</b>	
VAT (% of predicted HRmax adjusted for $\beta$ -blockade)	67.97 (65.86 to 70.07)	62.74 (59.68 to 65.80)	71.10 (68.50 to 73.71)	<b>&lt;0.001*</b>	0.131
Predicted HRR (adjusted for $\beta$ -blockade; bpm)	77.85 (95%CI; 75.04 to 80.66)	77.93 (95% CI; 73.19 to 82.68)	77.8 (95%CI; 74.24 to 81.36)	0.965	<b>&lt;0.001</b>
VAT (% of Predicted HRR adjusted for $\beta$ -blockade)†	40.35 (9.57 to 87.93)	30.49 (9.57 to 69.23)	47.06 (12 to 87.93)	<b>&lt;0.001**</b>	
Predicted $VO_{2Peak}$ ( $ml \cdot min^{-1}$ )	2272.14 (95% CI; 2184.11 to 2360.17)	2258.79 (95% CI; 2114.05 to 2403.53)	2280.35 (95%CI; 2166.68 to 2394.01)	0.815	<0.001
$VO_{2Peak}$ (% of Predicted $VO_{2Peak}$ )	87.85 (95%CI; 84.11 to 91.58)	89.99 (95%CI; 82.64 to 97.35)	86.56 (95% CI; 82.40 to 90.72)	0.380	0.007

HRmax, maximal heart rate. Bpm, beats per minute. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve.  $VO_{2Peak}$ , Peak oxygen consumption

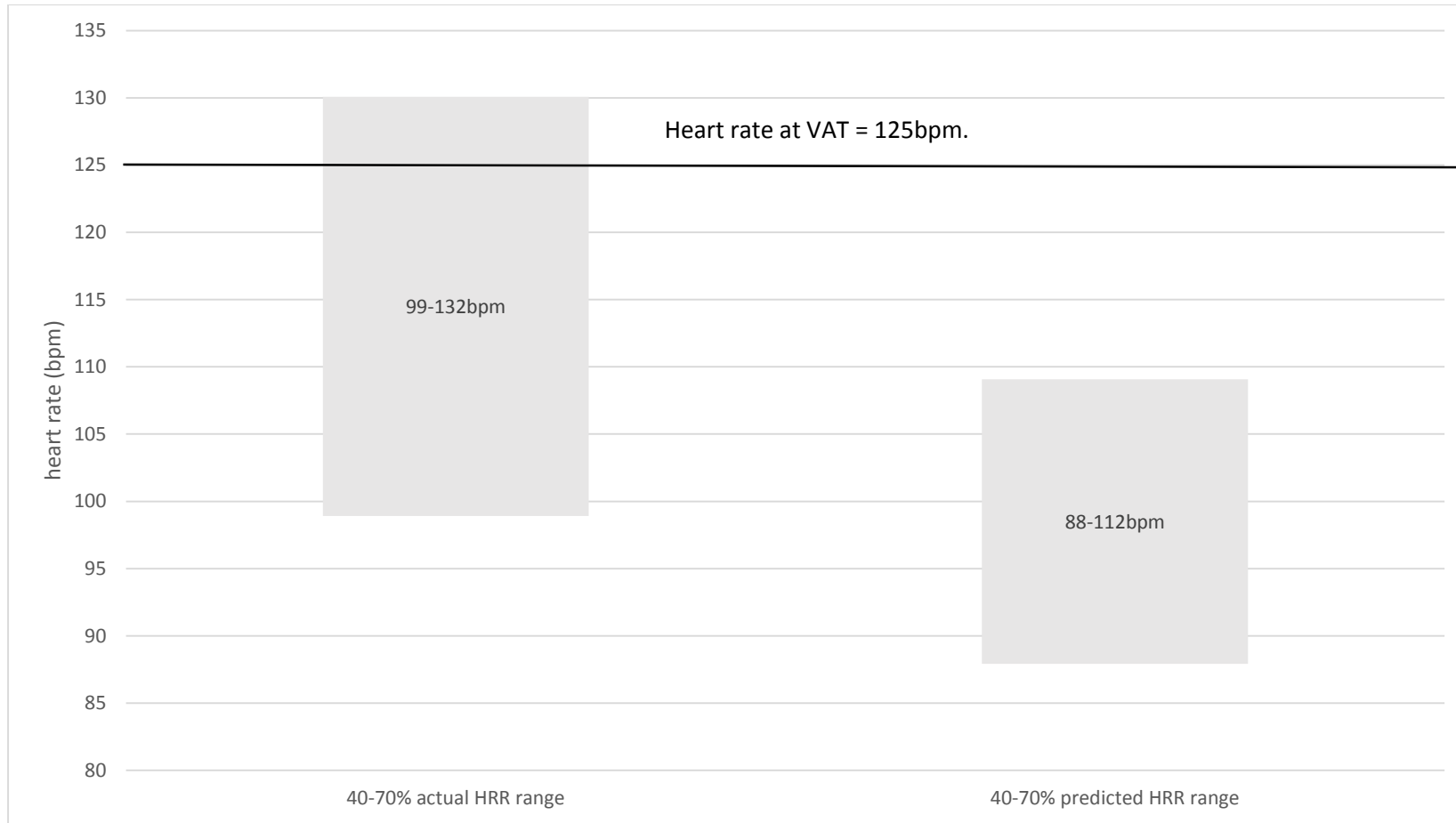
\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . †, Variables are reported as median (minimum and maximum) values and analysed using a non-parametric test.

Figure 1

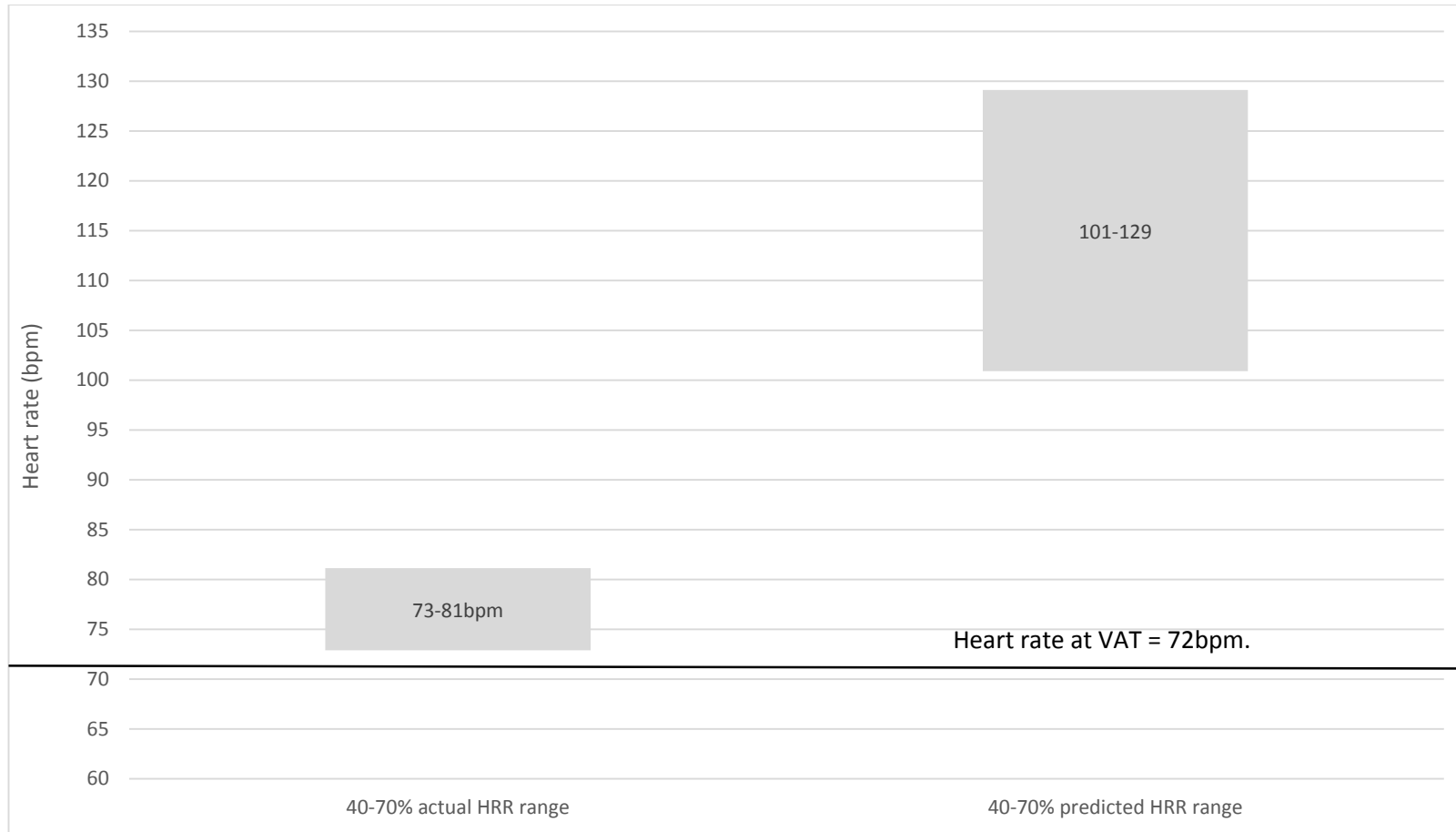


**Figure 1.** Inter-quartile range of VAT identification based on predicted HRR (% range) in cardiac patients separated by exercise modality and CRF category

Predicted HRR using current guidelines, accounting for beta-blockade. Baseline CRF category based on Taylor et al. (2016); low fit <5 METs for women, and <6 METs for men, mod fit 5<7METs for women, and 6<8 METs for men, high fit ≥7 METs for women, and ≥8 METs for men. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve. MET, metabolic equivalent where 1 MET = 3.5ml·kg<sup>-1</sup>·min<sup>-1</sup>.



**Figure 2a.** A case study highlighting how the 40-70% HRR prediction equation may under-estimate individualised exercise prescription. A 58 year-old male taking beta-blockers with a BMI of 24.8,  $VO_{2peak}$  of  $35.28 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  in the high fitness category. CPET was conducted on a treadmill. Solid line corresponds to heart rate at ventilatory anaerobic threshold, which is 125bpm.



**Figure 2b.** A case study highlighting how the 40-70% HRR prediction equation may over-estimate individualised exercise prescription. A 71 year-old male not taking beta-blockers with a BMI of 25.8,  $VO_{2peak}$  of  $13.82 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  in the low fitness category. CPET was conducted on a cycle. Solid line corresponds to heart rate at ventilatory anaerobic threshold, which is 72bpm.