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Linearized Large Signal Modeling, Analysis, and Control Design of Phase-Controlled Series-Parallel Resonant Converters using State Feedback

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Abstract— This paper proposes a linearized large signal state-space model for the fixed-frequency phase-controlled series-parallel resonant converter. The proposed model utilizes state feedback of the output filter inductor current to perform linearization. The model combines multiple-frequency and average state-space modeling techniques to generate an aggregate model with dc state variables that are relatively easier to control and slower than the fast resonant tank dynamics. The main objective of the linearized model is to provide a linear representation of the converter behaviour under large signal variation which is suitable for faster simulation and large signal estimation/calculation of the converter state variables. The model also provides insight into converter dynamics as well as a simplified reduced order transfer function for PI closed loop design. Experimental and simulation results from a detailed switched converter model are compared with the proposed state-space model output to verify its accuracy and robustness.

Index Terms— Large signal, phase control, series-parallel resonant converter (SPRC), state feedback.

NOMENCLATURE

v_s	DC link supply voltage (V)
v_{AB}	Inverter output voltage (V)
n	Transformer turns ratio
v_{AB}'	Inverter output voltage referred to transformer secondary = nv_{AB} (V)
r_{Ls}	Internal resistance of resonant tank inductor (Ω)
L_s	Resonant tank inductance (μH)
r_l	Parasitic resistance of transformer referred to secondary (Ω)

L_l	Leakage inductance of transformer referred to secondary (μH)
r_T	Total equivalent resistance (Ω)
L_T	Total equivalent inductance (μH)
C_s	Resonant tank series capacitance (μF)
C_p	Resonant tank parallel capacitance (μF)
r_{Lo}	Internal resistance of output filter inductor (Ω)
L_o	Output filter inductance (mH)
C_o	Output filter capacitance (μF)
ω_s	Resonant tank fundamental frequency (rad/s)
i_L	Resonant tank inductor current (A)
v_{Cs}	Resonant tank series capacitor voltage (V)
v_{Cp}	Resonant tank parallel capacitor voltage (V)
i_{Br}	Bridge rectifier input current (A)
v_{Br}	Bridge rectifier output voltage (V)
\bar{v}_{Br}	Average bridge rectifier output voltage (V)
i_{Lo}	Output filter inductor current (A)
v_o	Output voltage (V)
i_o	Output current (A)

I. INTRODUCTION

DC/DC power converters are employed in a variety of applications, including power supplies for computers, office equipment, spacecraft power systems, laptop and telecommunications equipment, as well as dc motor drives. This is achieved using switched-mode PWM, or resonant-mode converters which allow control and regulation of the output voltage. Nowadays dc/dc converters are a common technology for renewable energy source grid integration [1,2], dc microgrids [3], HVDC systems [4], offshore oil and gas systems [5] in addition to interfacing energy storage elements such as batteries in UPS systems [6].

Dc/dc resonant converters are an alternative to hard-switched PWM converters in dc power supply applications. This is due to their soft switching characteristics; hence give the possibility of boosting the switching frequency and

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reducing the transformer and filter size and weight. However, this is usually a design trade-off with the relatively high resonant peak voltages and currents.

Several types of resonant converters have been applied in dc power supply applications. Series resonant converters have poor no-load regulation, poor short circuit characteristics and need a larger output filter capacitor [7-9]. However its switching losses decrease with load decrease. They are suitable for high-output-voltage low-output-current converters [10-13]. Parallel resonant converters [14-16] have better no-load regulation and are naturally short circuit proof due to the resonant tank inductor. However, high circulating resonant tank current means higher switching losses and the converter is better suited to applications with a relatively narrow input voltage range. The combination series-parallel resonant converter (SPRC) combines advantages of both and eliminates their drawbacks. It can operate over a large input voltage range and a large load range (no load to full load) while maintaining high efficiency [17-20]. For this reason, this paper focuses on application of the SPRC in dc power supplies.

Various control techniques have been used to control resonant converters. These can be broadly classified into variable frequency and fixed-frequency approaches. Variable frequency techniques include average-current control, frequency control, capacitor voltage control, diode-conduction-angle control, and optimal trajectory control [20-22]. However, these variable frequency techniques present practical disadvantages, like a wide noise spectrum which make it difficult to control EMI, more complex filtering is needed, and poor utilization of magnetic components. Also, the frequency control range is limited if zero voltage switching (ZVS) of the converter is to be achieved. Operation below resonance means ZVS is lost and the inverter switches operate with turn on losses. This necessitates the use of fast recovery anti-parallel diodes to avoid diode recovery shoot-through within the same inverter leg. Operation above resonance is preferred where the SPRC operates with lagging power factor, hence ZVS. However, an increase in switching frequency above resonance results in a large non-linear reduction in converter voltage gain. Therefore, if frequency control is to be implemented, a narrow range of frequency control above resonance is necessary to achieve both ZVS and an acceptable voltage gain. Fixed frequency control above resonance such as phase shift control [23-25] overcomes the problems of variable frequency control and offers good control of the output voltage via controlling the phase-shift angle between the inverter legs; hence the effective inverter output voltage duty-cycle ratio. For the aforementioned reasons, this paper focuses on fixed frequency phase control of a SPRC.

Modeling of resonant converters is more complex than PWM converters. This is mainly due to the non-linear coupling of its ac and dc state variables. Various modeling techniques have been proposed in literature for the SPRC. Steady-state operation has been analyzed [18, 26-30], providing good insight into converter behavior and overall

steady state gain. Small signal models for the SPRC use linearized state-space models around an equilibrium point to enable stability analysis and closed loop design [31]. The resulting closed loop design, although eliminating error in the output voltage, results in an unsatisfactory dynamic response. Small signal models include discrete time domain [32-34] and multiple frequency techniques [35-37]. A method based on discrete time domain modeling has been proposed for low order converters. It becomes cumbersome with higher order converters. The multiple frequencies method transforms ac signals into dc signals at multiple frequencies, thereby providing a theoretically high accuracy model [38]. Although small signal model is sufficient for analysis and closed loop design, it cannot be used to estimate converter state variables under large signal variations, which is possible if the converter is operating with a wide range input voltage or variable load. Large signal models based on a describing function method have also been proposed for SPRC analysis [39,40]. However, due to model non-linearity, non-linear controllers such as the sliding mode technique [41,42] and robust optimal control [43] were designed for SPRC control.

In this paper, a linearized large signal model for the SPRC is obtained using a state feedback scheme. The latter utilizes measurement of the output filter inductor current to perform model linearization. The model is useful for faster simulation as well as large signal estimation/calculation of converter ac and dc state variables, which can be used for converter sensorless control. In section two, the non-linear nature of resonant converters is explained. An aggregate SPRC large signal model is derived in section three. In section four, the state feedback scheme necessary for linearization is introduced. More analysis is performed on the linearized system model in section five. Finally, the accuracy and robustness of the model are assessed and validity by comparing experimental and MATLAB simulation results, using a detailed switching model of the phase-controlled SPRC.

II. NON-LINEAR NATURE OF DC/DC RESONANT CONVERTERS

Fig. 1 shows the circuit diagram for a typical SPRC. The bridge rectifier, together with the output LC filter, act as a non-linear load to the resonant tank circuit. This non-linearity is clarified in Fig.2. Hence, the converter large signal response cannot be modeled using linear approaches such as conventional average state-space models.

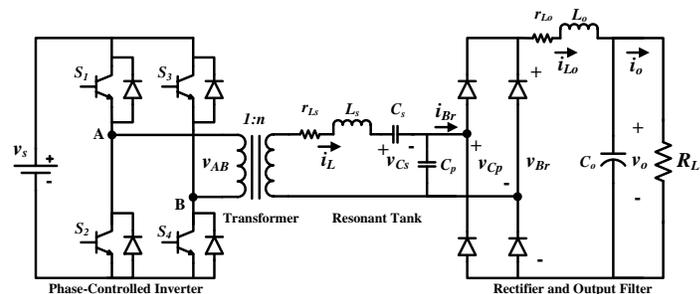


Fig. 1. Circuit diagram for the SPRC.

Equations (9)-(14) in state-space form:

$$\dot{\bar{x}}_1(t) = A_1 \bar{x}_1(t) + B_1 \bar{u}(t) \quad (15)$$

where

$$\bar{x}_1(t) = [i_{Ld} \ i_{Lq} \ v_{Csd} \ v_{Csq} \ v_{Cpd} \ v_{Cpq}]^T, \quad \bar{u}_1(t) = [v_{ABd} \ v_{ABq} \ i_{Brd} \ i_{Brq}]^T,$$

$$A_1 = \begin{bmatrix} -\frac{r_r}{L_r} & \omega_s & -\frac{1}{L_r} & 0 & -\frac{1}{L_r} & 0 \\ -\omega_s & -\frac{r_r}{L_r} & 0 & -\frac{1}{L_r} & 0 & -\frac{1}{L_r} \\ \frac{1}{C_s} & 0 & 0 & \omega_s & 0 & 0 \\ 0 & \frac{1}{C_s} & -\omega_s & 0 & 0 & 0 \\ \frac{1}{C_p} & 0 & 0 & 0 & 0 & \omega_s \\ 0 & \frac{1}{C_p} & 0 & 0 & -\omega_s & 0 \end{bmatrix}, \quad B_1 = \begin{bmatrix} \frac{1}{L_r} & 0 & 0 & 0 \\ 0 & \frac{1}{L_r} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -\frac{1}{C_p} & 0 \\ 0 & 0 & 0 & -\frac{1}{C_p} \end{bmatrix}$$

B. Dc sub-system modeling

Fig. 5 shows the equivalent circuit diagram for the SPRC dc sub-system. Note that \bar{v}_{Br} is the average rectifier output voltage v_{Br} . Energy is transferred at dc frequency, so the dominant component for modeling and analysis is the dc (average) value. For this reason, average state-space modeling, with a small-ripple assumption, is valid for modeling the dc sub-system.

Two state variables exist (i_{Lo} and v_o), for which the voltage-current relationships are described by (16) and (17). The bar notation denoting the average value of the state variables will be neglected for standardization of the model.

$$\bar{v}_{Br} = r_{Lo} i_{Lo} + L_o \frac{di_{Lo}}{dt} + v_o \quad (16)$$

$$i_{Lo} - i_o = C_o \frac{dv_o}{dt} \quad (17)$$

Equations (16) and (17) can be used to represent the dc sub-system in state-space form

$$\dot{\bar{x}}_2(t) = A_2 \bar{x}_2(t) + B_2 \bar{u}(t) \quad (18)$$

where

$$\bar{x}_2(t) = [i_{Lo} \ v_o]^T, \quad \bar{u}_2(t) = [\bar{v}_{Br} \ i_o]^T, \quad A_2 = \begin{bmatrix} -\frac{r_{Lo}}{L_o} & -\frac{1}{L_o} \\ \frac{1}{C_o} & 0 \end{bmatrix}, \quad B_2 = \begin{bmatrix} \frac{1}{L_o} & 0 \\ 0 & -\frac{1}{C_o} \end{bmatrix}$$

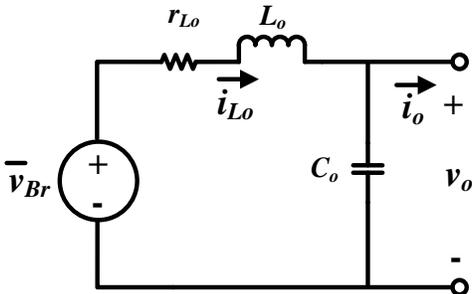


Fig. 5. Equivalent circuit diagram for the dc sub-system.

C. Combined system non-linear model

Fig.6 shows the non-linear model for the SPRC combining the state-space linear models of the ac and dc sub-systems. The voltage-current relationship between both sub-systems (separated by the bridge rectifier) is outlined by

- The state-variables of the ac sub-system are approximated as sinusoidal at the fundamental frequency, therefore

$$\bar{v}_{Br} = \frac{2}{\pi} v_{Br \ peak} = \frac{2}{\pi} v_{Cp \ peak} = \frac{2}{\pi} \sqrt{v_{Cpd}^2 + v_{Cpq}^2} \quad (19)$$

- Power balance theory: Output power from the ac sub-system is equal to input power to the dc sub-system (assuming lossless rectifier reverse recovery)

$$\frac{1}{2} (v_{Cpd} i_{Brd} + v_{Cpq} i_{Brq}) = \bar{v}_{Br} i_{Lo} \quad (20)$$

Substituting (19) into (20) yields

$$\frac{v_{Cpd}}{\sqrt{v_{Cpd}^2 + v_{Cpq}^2}} i_{Brd} + \frac{v_{Cpq}}{\sqrt{v_{Cpd}^2 + v_{Cpq}^2}} i_{Brq} = \frac{4}{\pi} i_{Lo} \quad (21)$$

As outlined by the analysis in [18], it can be also concluded that

$$i_{Br \ peak} = \frac{4}{\pi} i_{Lo} = \sqrt{i_{Brd}^2 + i_{Brq}^2} \quad (22)$$

Equations (21) and (22) confirm the non-linear relationship between the ac and dc sub-systems of the series-parallel resonant converter. Hence the voltage-current relationship can be represented by

$$\begin{aligned} i_{Brd} &= f(i_{Lo}, v_{Cpd}, v_{Cpq}) \\ i_{Brq} &= f(i_{Lo}, v_{Cpd}, v_{Cpq}) \end{aligned} \quad (23)$$

IV. STATE FEEDBACK AND LINEARIZATION

In this section, linear state feedback is used to linearize the relationship between the ac and dc sub-systems of the SPRC. The main objective of this linearization scheme is to formulate the necessary input voltages v_{ABd} and v_{ABq} to the resonant tank in Fig. 6 which force either outputs from the resonant tank (v_{Cpd} or v_{Cpq}) to be zero. In this case, the mathematical square-root relationship representing the diode rectifier reduces to a simple gain and both the ac and dc subsystems are cascaded linear systems that can be combined into one aggregate linear model. In order to realize this state feedback scheme, steady-state ac analysis of the resonant tank is performed to calculate the required input voltages v_{ABd} and v_{ABq} . This is studied in the next sub-section and it is found that a measurement of the output filter inductor current is necessary to realise this linearization scheme.

A. Steady state analysis of ac sub-system

Fig.7 shows the steady state phasor diagram analysis for the ac sub-system (resonant tank), assuming sinusoidal state variables. The capital notation denotes steady state values. Starting with v_{Cp} and i_{Br} and working backwards (as from Fig. 4), the inverter voltage v_{AB} (referred to secondary) can be expressed as

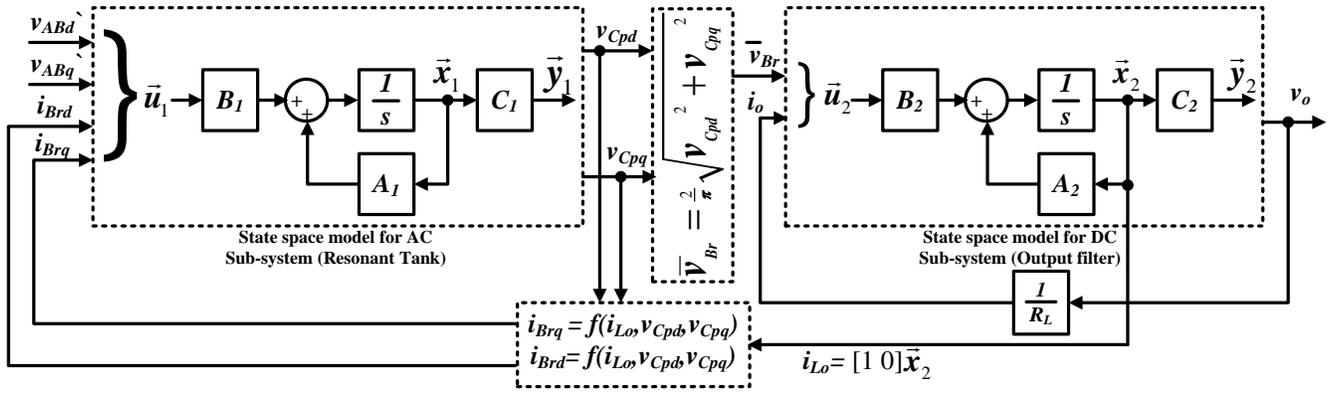


Fig. 6. Non-linear combined system model for the SPRC.

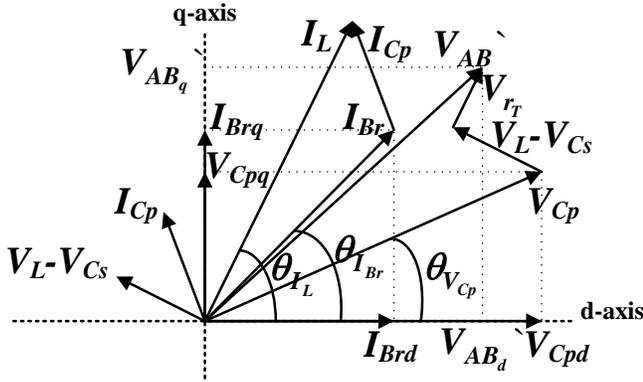


Fig. 7. Steady-state phasor diagram for the ac sub-system.

$$\begin{aligned}
 V_{ABd} &= V_{Cp} \cos \theta_{V_{Cp}} + (V_L - V_{Cs}) \cos(90 + \theta_{I_L}) + V_{r_T} \cos \theta_{I_L} \\
 &= V_{Cpd} + \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) I_L \sin \theta_{I_L} + r_T I_L \cos \theta_{I_L} \\
 &= V_{Cpd} + \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) (I_{Brq} + I_{Cp} \cos \theta_{V_{Cp}}) + r_T (I_{Brd} + I_{Cp} \cos(90 + \theta_{V_{Cp}})) \\
 &= V_{Cpd} + \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) \left(I_{Brq} + V_{Cp} \omega_s C_p \frac{V_{Cpd}}{V_{Cp}} \right) + r_T \left(I_{Brd} - V_{Cp} \omega_s C_p \frac{V_{Cpq}}{V_{Cp}} \right) \\
 &= V_{Cpd} \left(1 + \frac{C_p}{C_s} - \omega_s^2 L_T C_p \right) + V_{Cpq} (-r_T \omega_s C_p) + I_{Brd} (r_T) + I_{Brq} \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) \\
 &= k_1 V_{Cpd} + k_2 V_{Cpq} + k_3 I_{Brd} + k_4 I_{Brq}
 \end{aligned} \tag{24}$$

$$\begin{aligned}
 V_{ABq} &= V_{Cp} \sin \theta_{V_{Cp}} + (V_L - V_{Cs}) \sin(90 + \theta_{I_L}) + V_{r_T} \sin \theta_{I_L} \\
 &= V_{Cpq} - \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) I_L \cos \theta_{I_L} + r_T I_L \sin \theta_{I_L} \\
 &= V_{Cpq} - \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) (I_{Brd} + I_{Cp} \sin \theta_{V_{Cp}}) + r_T (I_{Brq} + I_{Cp} \sin(90 + \theta_{V_{Cp}})) \\
 &= V_{Cpq} - \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right) \left(I_{Brd} - V_{Cp} \omega_s C_p \frac{V_{Cpq}}{V_{Cp}} \right) + r_T \left(I_{Brq} + V_{Cp} \omega_s C_p \frac{V_{Cpd}}{V_{Cp}} \right) \\
 &= V_{Cpd} (r_T \omega_s C_p) + V_{Cpq} \left(1 + \frac{C_p}{C_s} - \omega_s^2 L_T C_p \right) + I_{Brd} \left(\omega_s L_T - \frac{1}{\omega_s C_s} \right) + I_{Brq} (r_T) \\
 &= k_5 V_{Cpd} + k_6 V_{Cpq} + k_7 I_{Brd} + k_8 I_{Brq}
 \end{aligned} \tag{25}$$

where

$$\begin{aligned}
 k_1 &= k_6 = \left(1 + \frac{C_p}{C_s} - \omega_s^2 L_T C_p \right), \quad k_2 = -k_5 = -r_T \omega_s C_p, \quad k_3 = k_8 = r_T, \quad \text{and} \\
 k_4 &= -k_7 = \left(\frac{1}{\omega_s C_s} - \omega_s L_T \right).
 \end{aligned}$$

Expressions for the steady-state parallel capacitor voltage (V_{Cp}) can be obtained by solving (24) and (25) simultaneously and re-arranging

$$V_{Cpd} = \frac{k_6}{k_1 k_6 - k_2 k_5} V_{ABd} - \frac{k_2}{k_1 k_6 - k_2 k_5} V_{ABq} + \frac{k_2 k_7 - k_3 k_6}{k_1 k_6 - k_2 k_5} I_{Brd} + \frac{k_2 k_8 - k_4 k_6}{k_1 k_6 - k_2 k_5} I_{Brq} \tag{26}$$

$$V_{Cpq} = -\frac{k_5}{k_1 k_6 - k_2 k_5} V_{ABd} + \frac{k_1}{k_1 k_6 - k_2 k_5} V_{ABq} + \frac{k_3 k_5 - k_1 k_7}{k_1 k_6 - k_2 k_5} I_{Brd} + \frac{k_4 k_5 - k_1 k_8}{k_1 k_6 - k_2 k_5} I_{Brq} \tag{27}$$

Therefore, steady state values for the ac sub-system outputs (V_{Cpd} and V_{Cpq}) are obtained as a function of the inputs (V_{ABd} , V_{ABq} , I_{Brd} and I_{Brq}). This could be alternatively analyzed using state-space equation (15) at steady-state

$$0 = A_1 \bar{x}_1 + B_1 \bar{U} \tag{28}$$

$$\begin{aligned}
 \bar{x}_1 &= [I_{Ld} \quad I_{Lq} \quad V_{Csd} \quad V_{Csq} \quad V_{Cpd} \quad V_{Cpq}]^T \\
 &= -A_1^{-1} B_1 [V_{ABd} \quad V_{ABq} \quad I_{Brd} \quad I_{Brq}]^T
 \end{aligned} \tag{29}$$

B. State feedback scheme

The main objective of the linearization scheme is to calculate the necessary input voltages to the resonant tank v_{ABd} and v_{ABq} to force either outputs (v_{Cpd} or v_{Cpq}) to be zero, in order to circumvent the square-root non-linearity. Choosing either to be zero does not affect the modeling process as the objective is to control the converter output voltage. In this paper, $v_{Cpq}=0$. According to [18], the equivalent ac resistance at the rectifier input is given by

$$R_{ac} = \frac{V_{Cp}}{I_{Br}} = \frac{\pi^2}{8} R_L \tag{30}$$

This means that if $v_{Cpq}=0$, then it is also valid that $i_{Brq}=0$ due to the resistive relationship. According to (19)-(22), it also becomes true that $\bar{v}_{Br} = (2/\pi)v_{Cpd}$ and $i_{Brd} = (4/\pi)i_{Lo}$. Substituting $V_{Cpq}=0$ and $I_{Brq}=0$ into equations (26) and (27), and solving for V_{ABd} and V_{ABq} yields

$$V_{ABd} = k_1 V_{Cpd} + k_3 I_{Brd} \tag{31}$$

$$V_{ABq} = k_5 V_{Cpd} + k_7 I_{Brd} \tag{32}$$

Equations (31) and (32) show that the resonant circuit input voltage V_{AB} is a function of I_{Brd} (proportional to the load current) and V_{Cpd} (proportional to the output voltage). V_{AB} has to follow the relationship outlined by (31) and (32) in order to satisfy the main criteria for linearizing the converter model ($I_{Brq}=0$ and $V_{Cpq}=0$) and to ensure stable converter operation. The ac sub-system (resonant tank) is fed with

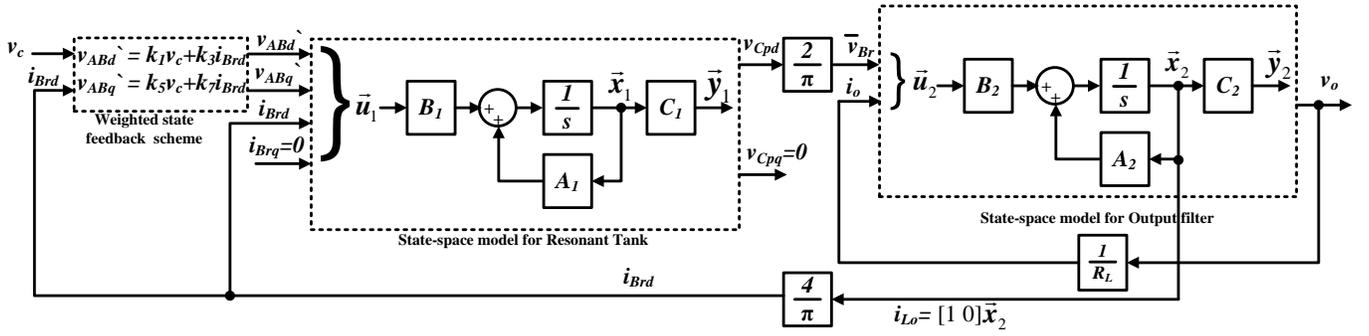


Fig. 8. Linearized model for the SPRC using weighted state feedback.

$$v_{ABd} \hat{=} k_1 v_c + k_3 i_{Brd} \quad (33)$$

$$v_{ABq} \hat{=} k_5 v_c + k_7 i_{Brd} \quad (34)$$

where v_c is a common control input for control of the converter output voltage. This scheme uses a weighted state feedback approach to linearize the resonant converter model. The weighted feedback is implemented using the output filter inductor current i_{Lo} as shown in Fig. 8.

C. Aggregate linear model

An aggregate model for the resonant converter can be obtained by combining the two linear state-space models in (15) and (18) for the ac and dc sub-systems respectively. Taking the relationship in (35) into account, yields the aggregate model in (36),

$$\begin{aligned} \bar{v}_{Br} &= \frac{2}{\pi} v_{Cpd}, i_{Brd} = \frac{4}{\pi} i_{Lo}, i_{Brq} = 0 \\ v_{ABd} &\hat{=} k_1 v_c + \frac{4}{\pi} k_3 i_{Lo} \\ v_{ABq} &\hat{=} k_5 v_c + \frac{4}{\pi} k_7 i_{Lo} \end{aligned} \quad (35)$$

$$\begin{aligned} \dot{\bar{x}}(t) &= A\bar{x}(t) + B\bar{u}(t) \\ y(t) &= C\bar{x}(t) \end{aligned} \quad (36)$$

where

$$\bar{x}(t) = [i_{Ld} \ i_{Lq} \ v_{Csd} \ v_{Csq} \ v_{Cpd} \ v_{Cpq} \ i_{Lo} \ v_o]^T, \bar{u}(t) = [v_c \ i_o]^T, y(t) = v_o,$$

$$A = \begin{bmatrix} -\frac{r_r}{L_r} & \omega_s & -\frac{1}{L_r} & 0 & -\frac{1}{L_r} & 0 & \frac{4k_3}{\pi L_r} & 0 \\ -\omega_s & -\frac{r_r}{L_r} & 0 & -\frac{1}{L_r} & 0 & -\frac{1}{L_r} & \frac{4k_7}{\pi L_r} & 0 \\ \frac{1}{C_s} & 0 & 0 & \omega_s & 0 & 0 & 0 & 0 \\ 0 & \frac{1}{C_s} & -\omega_s & 0 & 0 & 0 & 0 & 0 \\ \frac{1}{C_p} & 0 & 0 & 0 & 0 & \omega_s & -\frac{4}{\pi C_p} & 0 \\ 0 & \frac{1}{C_p} & 0 & 0 & -\omega_s & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{2}{\pi L_o} & 0 & -\frac{r_{Lo}}{L_o} & -\frac{1}{L_o} \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{C_o} & 0 \end{bmatrix} B = \begin{bmatrix} \frac{k_1}{L_r} & 0 \\ \frac{k_5}{L_r} & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & -\frac{1}{C_o} \end{bmatrix}$$

$$C = [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1]$$

The aggregate linear model can also be represented using the transfer function technique

$$v_o(s) = [G_1(s) \ G_2(s)] \begin{bmatrix} v_c(s) \\ i_o(s) \end{bmatrix} \quad (37)$$

where

$G_1(s)$ is the control-to-output voltage transfer function

$$G_1(s) = \left. \frac{v_o(s)}{v_c(s)} \right|_{i_o=0} = C(sI_{8 \times 8} - A)^{-1} B_{i1}$$

$G_2(s)$ is the output impedance

$$G_2(s) = \left. \frac{v_o(s)}{i_o(s)} \right|_{v_c=0} = C(sI_{8 \times 8} - A)^{-1} B_{i2}$$

B_{ik} denotes row i column k of matrix B .

V. LINEARIZED CONVERTER MODEL ANALYSIS

By considering the state-space model (36), Eigen values of the linearized system can be obtained by studying the complex s-plane. System Eigen values are obtained by (38), summarized in Table I, and plotted on the complex s-plane in Fig.9.

$$|sI_{8 \times 8} - A| = 0 \quad (38)$$

Table I
Eigen Values for the Linearized Resonant Converter Model

Pole number	Pole location in complex s-plane	Pole natural frequency, ω_n
$P_{1,2}$	$\pm j\omega_s$	ω_s
$P_{3,4}$	$s_{p3,4}^*$	$\omega_{np3,4}^*$
$P_{5,6}$	$s_{p5,6}^*$	$\omega_{np5,6}^*$
$P_{7,8}$	$-\frac{r_{Lo}}{2L_o} \pm j\sqrt{\frac{1}{L_o C_o} - \frac{r_{Lo}^2}{4L_o^2}}$	$\frac{1}{\sqrt{L_o C_o}}$

* Defined in Appendix

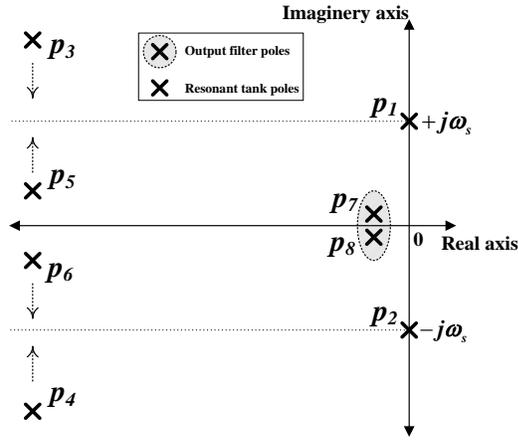


Fig. 9. Complex s-plane showing poles of the linearized resonant converter model.

B. Control-to-output voltage transfer function

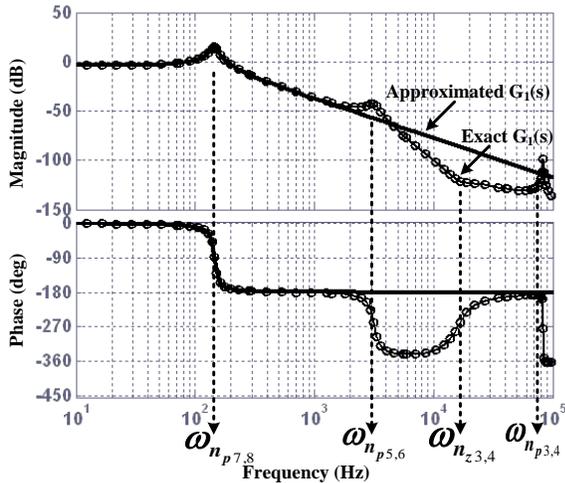
By comparing the weighted state feedback scheme in (33)-(34) with (31)-(32), at steady-state v_{Cpd} is equal to the control input voltage v_c , i.e. $V_{Cpd}=V_c$. Then by examining Fig. 8, the control-to-output voltage transfer function $G_I(s)$ can be approximated by

$$G_I(s) = \frac{v_o(s)}{v_c(s)} \approx \frac{2}{\pi} \frac{v_o(s)}{\bar{v}_{Br}(s)} \quad (39)$$

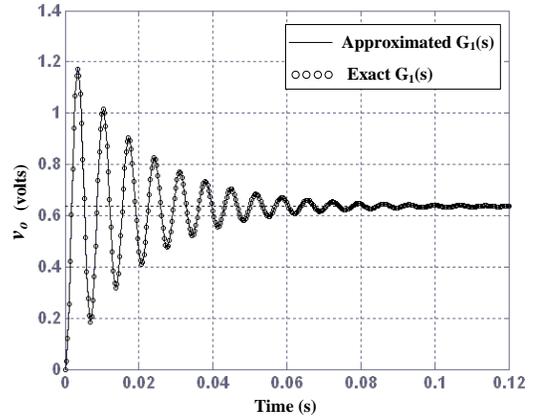
This can be derived from state-space equation (18) of the dc sub-system (output filter)

$$G_I(s) \approx \frac{2}{\pi} \frac{1}{L_o C_o s^2 + r_{L_o} C_o s + 1} \quad (40)$$

Such a simplified second order control-to-output voltage transfer function is not to replace the derived model which provides detailed dynamics of the complete converter ac and dc state variables, but is useful for simplified closed loop PI control as in next subsection. In order to verify the validity of the approximated transfer function $G_I(s)$, Fig. 10 shows Bode plots and the step response comparing the behavior of the approximate control-to-output voltage transfer function with the exact transfer function $G_I(s)$ derived from (37).



(a)



(b)

Fig. 10. Comparison between approximate and exact control-to-output voltage transfer function (a) Bode plots, and (b) step response.

Fig. 10(a) shows that the second order low pass filter response of the approximate transfer function mimics the exact control-to-output voltage transfer function. The higher frequency dynamics (caused by poles $P_{3,4}$ and $P_{5,6}$) are attenuated by the output filter. The step response in Fig. 10(b) further proves the analogy in behavior of both the approximate and exact transfer functions. Such a reduced order transfer function is useful in simplifying the closed loop design procedure. Pole-zero placement can be readily applied.

C. Closed loop design

Since the dc/dc series-parallel resonant converter is used as a voltage source, the main objective is output voltage control. Hence, output voltage is used for feedback in a single control loop. No right-half plane zeros exist in the control-to-output voltage transfer function, so there is no need for a multi-loop structure [44]. PI control can be used, due to the aggregate model derived where all the state variables are dc. This means an infinite loop gain can be achieved by placing a pole at the origin, thereby eliminating steady state error in the output voltage [45]. Fig. 11 shows the closed loop structure to be used for PI control design. $C(s)$ is PI control in a pole-zero form with k_i as integral gain and k_p as proportional gain. The location effect of the real left-hand plane zero k_i/k_p is studied in Figs 12, 13 and 14 to select its best location for output response. The choice of k_p determines system overall stability. Fig. 12 shows root locus diagrams for different k_i/k_p . Since the zero of the PI controller is placed on the real-axis, it is moved in relation to the real part of the complex output filter pole-pair ($real(P_{7,8})=-r_{L_o}/2L_o$). The more k_i/k_p moves into the left hand plane, the more damped the closed loop poles for given k_p and oscillations are less effective.

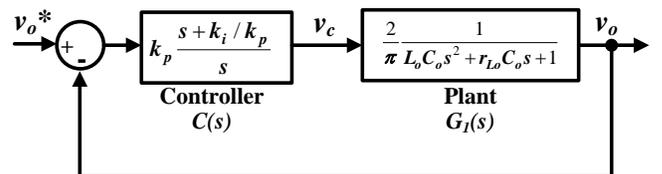


Fig. 11. Closed loop structure for output voltage control.

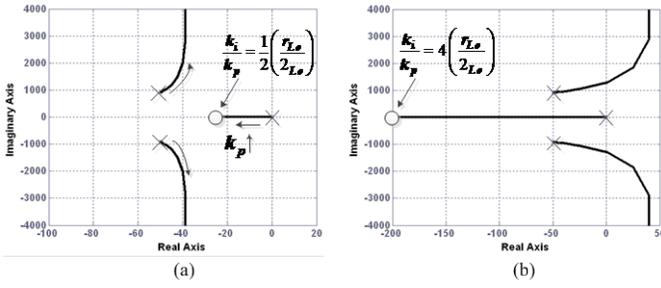


Fig. 12. Root loci for the open loop transfer function $C(s)G_1(s)$

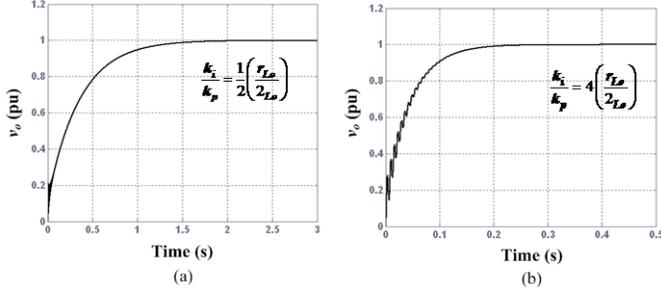


Fig. 13. Closed loop step response for output voltage control loop

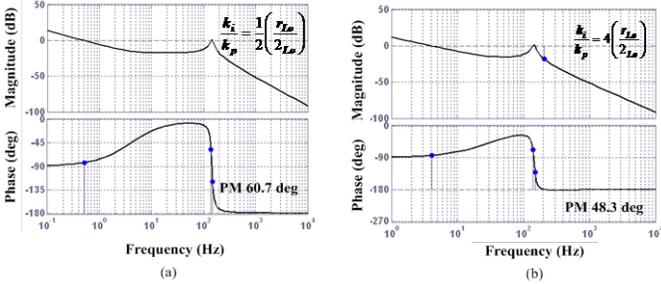


Fig. 14. Open loop Bode plots

Although, for low values of k_i/k_p the closed loop stability margin is higher, however, system response is sluggish. Settling time cannot be reduced no matter how much k_p is increased. For this reason, high values of k_i/k_p are preferable for faster response though stability might be sacrificed due to a lower critical gain k_p for stability and lower phase margin. Fig. 13 shows the closed loop step response. Results confirm the slower rise and settling times with mitigated oscillations for higher k_i/k_p . The step response is mainly over-damped since it is dominated by the low frequency real closed loop pole. Its natural frequency is lower than that of the complex pole pair. This is clear from the under-damped step response in Fig.13(a) where $k_i/k_p=0$. Fig. 14 shows the open loop Bode plots with different controller zero locations. As described by the root locus analysis, stability margin (phase margin) is reduced as k_i/k_p is increased. Usually a phase margin of 45° to 60° is sufficient to ensure adequate closed loop stability. High dc loop gain is ensured with the origin pole (integrator) which provides zero steady state error for the output voltage; an essential characteristic for a voltage source.

D. Output impedance

Similar to the earlier analysis on the control-to-output voltage transfer function, the output impedance can be derived directly from the state-space model of the dc sub-system in

(21). This is due to $V_{Cpd}=V_c$ at steady state. The output impedance transfer function $G_2(s)$ is

$$G_2(s) = \frac{v_o(s)}{i_o(s)} = -\frac{L_o s + r_{Lo}}{L_o C_o s^2 + r_{Lo} C_o s + 1} \quad (41)$$

The closed loop output impedance can be studied by examining Fig. 15(a) and is expressed by

$$\frac{v_o(s)}{i_o(s)} = \frac{G_2(s)}{1+C(s)G_1(s)} = -\frac{s(L_o s + r_{Lo})}{L_o C_o s^3 + r_{Lo} C_o s^2 + (1 + \frac{2}{\pi} k_p) s + \frac{2}{\pi} k_i} \quad (42)$$

Fig.15(b) shows Bode plots for the open and closed loop output impedances. Any high frequency harmonic ripple in load current is attenuated by the converter output impedance. In dc/dc resonant converters, since the dominant frequency component of the load current is dc, open loop output impedance will result in a constant (voltage drop across r_{Lo}). This can be verified from the steady state gain in (41). For the closed loop, attenuation to the dc load current is achieved by the controller integrator, appearing as an origin-zero in (42). Higher k_i/k_p leads to greater attenuation and the closed loop has better disturbance rejection and robustness against load variations. Fig.15(c) shows the dynamic response of the closed loop voltage control to a step load change for different k_i/k_p .

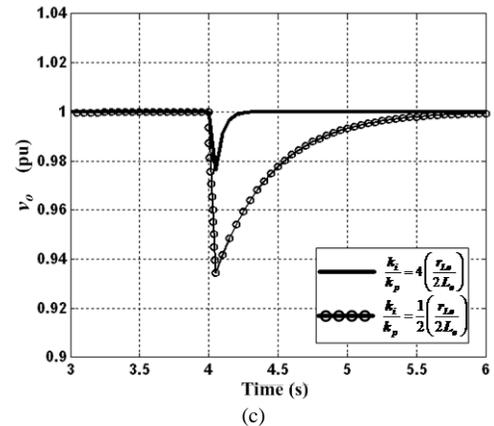
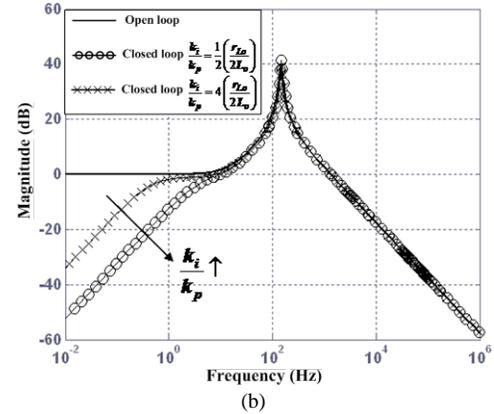
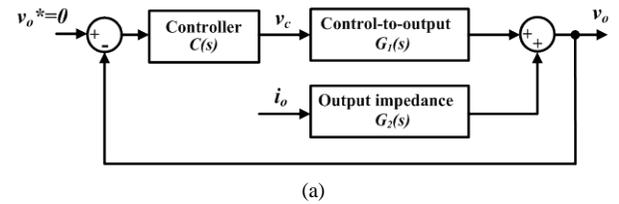


Fig. 15. (a) Control structure to obtain the closed loop output impedance, (b) Bode plots for open and closed loop converter output impedance, and (c) effect of changing k_i/k_p on closed loop response to a step load change.

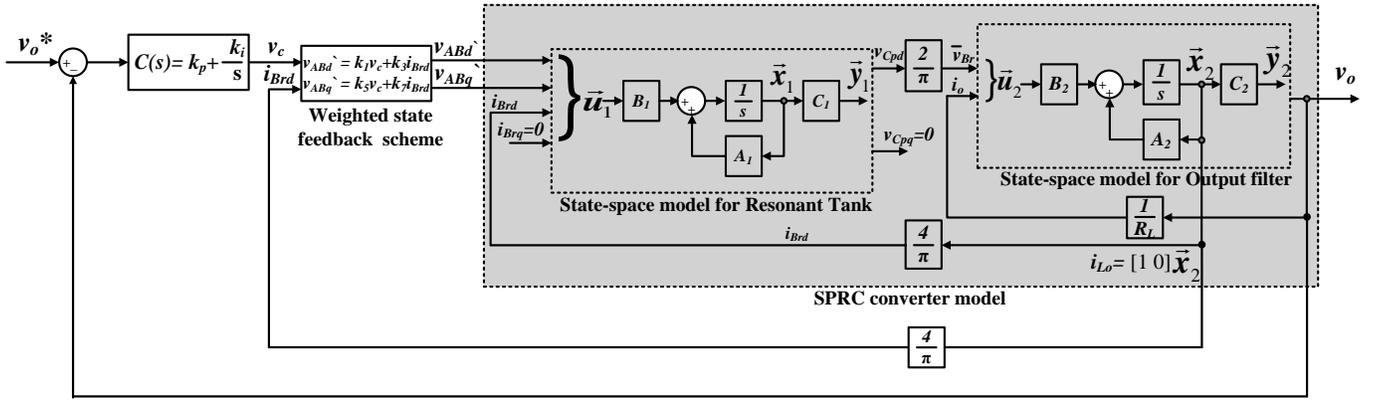


Fig. 16. Closed loop output voltage control of the SPRC using the proposed linearization scheme

VI. SIMULATION AND EXPERIMENTAL IMPLEMENTATION

Fig. 16 illustrates the linearized SPRC closed loop output voltage control scheme, with the state feedback linearization scheme implemented. Closed loop voltage control of a 40W, 40 kHz phase-controlled SPRC is implemented experimentally and simulated in Matlab with a detailed switching model to verify the accuracy and robustness of the derived model in equation (37). Outputs from the derived model (the calculated/estimated state converter variables) are compared in Matlab with the detailed switching model of the converter and experimentally with actual converter measurements. Fig. 17 shows the control algorithm implementation both practically and in simulation and Table II summarizes the circuit and control parameter values.

Measurements of the actual SPRC output voltage (v_o) and output filter inductor current (i_{Lo}) are taken; the former to perform voltage control and the latter for state feedback linearization as shown in Fig17(a) and (b). Since i_{Lo} contains ripple due to the converter output filter, averaging is necessary since modeling of the dc subsystem (output filter) is based on an averaged state-space technique. Since the linearization scheme uses $v_{Cpq}=0$ and $i_{Brd}=0$, the i_{Brd} necessary for the linearization scheme is obtained from $i_{Brd}=(4/\pi)i_{Lo}$. The PI controller output v_c and $i_{Brd}=(4/\pi)i_{Lo}$ are inputs to the linearization scheme which calculates the necessary outputs v_{ABd} & v_{ABq} that force $v_{Cpq}=0$. The scheme is weighted by constants k_1, k_3, k_5 and k_7 which are based on the converter circuit parameters as defined by equation (25).

The phase shift angle δ between the inverter legs is then calculated by the algorithm in Fig. 17(c). With the state feedback outputs being v_{ABd} & v_{ABq} and knowing the supply voltage v_s and transformer turns ratio n , the phase-shift angle can be calculated. All the inverter switches are switched with a fixed 50% duty cycle; the only control variable being the phase shift angle between S_1 and S_3 as shown in Fig. 17(d). This controls the effective inverter output voltage duty cycle. The phase-shift angle is updated every switching cycle (25μs), viz., the inverter is switched at 40 kHz.

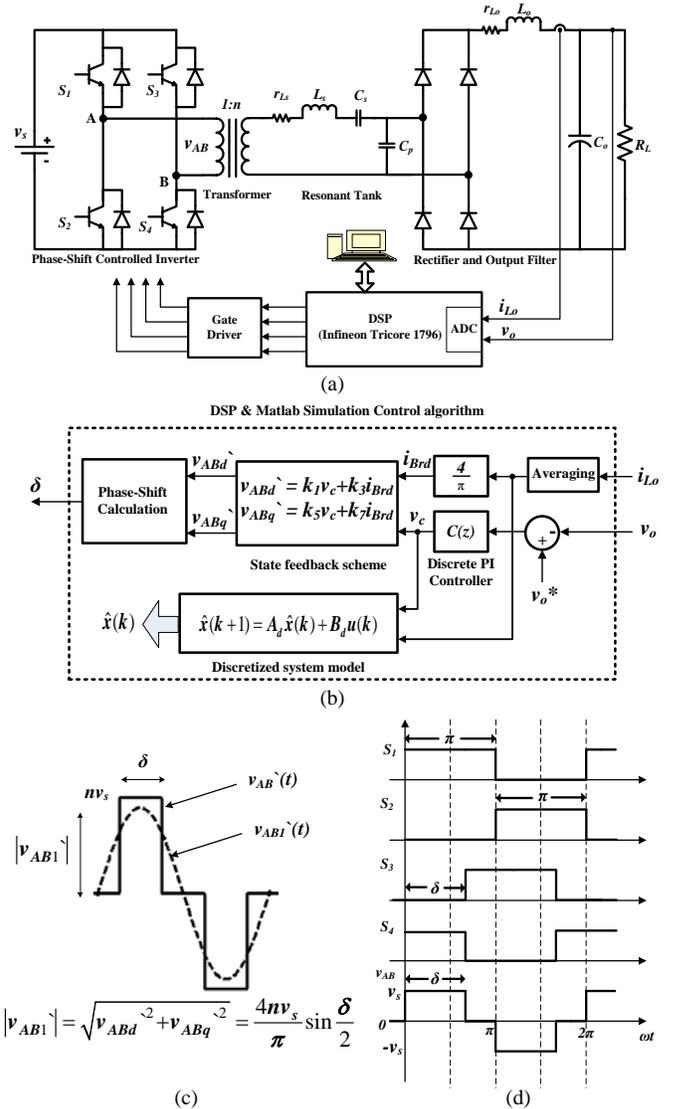


Fig. 17. Closed loop control algorithm with state feedback implemented: (a) circuit diagram, (b) control algorithm, (c) phase-shift calculator, and (d) inverter phase control gating pattern.

Table II
Resonant Converter Parameters

Parameter	Value
Internal resistance of resonant tank inductor r_{LS}	0.1916 Ω
Resonant tank inductance L_s	100.13 μH
Parasitic transformer resistance referred to secondary r_l	0.6 Ω
Transformer Leakage inductance referred to secondary L_l	9.12 μH
Total equivalent resistance $r_T=r_l+r_{LS}$	0.7916 Ω
Total equivalent inductance $L_T=L_l+L_s$	109.25 μH
Resonant tank series capacitance C_s	0.255 μF
Resonant tank parallel capacitance C_p	0.255 μF
Internal resistance of output filter inductor r_{Lo}	0.5 Ω
Output filter inductance L_o	12.5 mH
Output filter capacitance C_o	120 μF
Resonant tank fundamental frequency f_s	40 kHz
Supply voltage v_s	60V
Transformer turns ratio n	0.5
Full-load power rating of experimental test rig	40W
Characteristic impedance of resonant tank $Z_c = \sqrt{L_T / C_s}$	20.7 Ω
Part-load resistive load R_{LPL}	40.5 Ω
Part-load Quality factor $Q_{PL}=Z_c/R_{LPL}$	0.511
Full-load resistive load R_{LFL}	14.4 Ω
Full-load Quality factor $Q_{FL}=Z_c/R_{LFL}$	1.44
PI controller parameters $(k_i/k_p)=5(r_{Lo}/2L_o)$	100 Hz
Proportional Gain k_p	0.1
Integral Gain k_i	10
Reference output voltage v_o^*	24V

In order to verify the linearized SPRC derived model, the state-space equation defining the system in (36) is discretized to estimate the converter state variables experimentally and in Matlab simulations. This allows the estimated results to be compared with measurements from the actual converter. The model is discretized with the main control algorithm interrupting at 25 μs intervals, therefore, the sampling time used for discretization is $T_s=25 \mu\text{s}$. The discretized system is represented by

$$\hat{x}(k+1) = A_d \hat{x}(k) + B_d u(k) \quad (43)$$

where

$$A_d = e^{AT_s}$$

$$B_d = \int_0^{T_s} A(T_s - \tau) B d\tau$$

$$\hat{x}(k) = [i_{Ld} \ i_{Lq} \ v_{Cs_d} \ v_{Cs_q} \ v_{Cp_d} \ v_{Cp_q} \ i_{Lo} \ v_o]^T$$

$$u(k) = [v_c \ i_o]^T$$

i_o is the output (load) current which can be approximated by the average inductor current at steady state. Fig.18 shows the typical sampling diagram for the control algorithm implementation using an Infineon Tricore 1796 DSP with C-code execution times for the various control algorithm parts summarized in Table III.

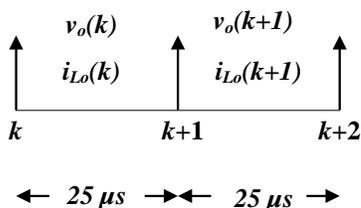


Fig.18 Sampling diagram

Table III
Program execution time for control algorithm

Task	Execution time (μs)
Fast ADC measurement	1
PI control loop	3
State feedback scheme	4
Phase shift calculation	2
State variables calculation/estimation	13
Total	23

A. Model accuracy study

The response of the linearized large signal model to large signal variations is studied in order to assess its ability to track real converter behavior. A step reference voltage from $v_o^*=0$ to $v_o^*=24\text{V}$ is applied at $t=0$ and a step load change from part load ($Q_{PL}=0.511$) to full-load ($Q_{FL}=1.44$) is applied at $t=5.0\text{s}$. Simulation and experimental results are shown in Fig. 19 and 20 respectively. The experimental results illustrate actual converter measurements compared with calculated state variables using the derived model. The derived model uses equivalent dc quantities for the ac state variables of the resonant tank (i_L , v_{Cs} and v_{Cp}). These quantities (i_{Ld} , i_{Lq} , v_{Cs_d} , v_{Cs_q} , v_{Cp_d} and v_{Cp_q}) do not actually exist, therefore, results compare the actual converter ac state variables measured with the corresponding peak value of the state variable calculated using the model. The peak values are calculated from the model using

$$\begin{aligned} i_L &= \sqrt{i_{Ld}^2 + i_{Lq}^2} \\ v_{Cs} &= \sqrt{v_{Cs_d}^2 + v_{Cs_q}^2} \\ v_{Cp} &= \sqrt{v_{Cp_d}^2 + v_{Cp_q}^2} \end{aligned} \quad (44)$$

For display purposes on an oscilloscope, these estimated state variables are pulse width modulated and filtered to obtain their analogue peak values and are compared to the converter ac state variables measurements.

With the application of two large signal variations (step reference voltage at $t=0$ and step load at $t=5.0\text{s}$), the following can be deduced from Fig.19 and Fig. 20:

- The negligible differences between actual converter measurements and calculated state variables from the derived model verify the accuracy of the measured circuit parameters which the model depends on. Simulation and experimental results are closely matching.
- Calculated state variables track peak voltages and currents of the resonant tank as well as dc state variables of the output filter at steady state. However, slight variations occur in the transient response at the application of the large signal disturbance, especially in the voltage-based states v_o , v_{Cs} and v_{Cp} (Fig. 19(a), (d), (e) and Fig. 20(a), (c) and (d) respectively). This is because the model neglects, the ESR of the series-parallel resonant tank capacitors and the output filter capacitor. This is in order to avoid further model complication. Transient response of the current-based states such as i_L and i_{Lo} (Fig. 19(b) and (c) and Fig. 20(a) and (b) respectively) mimic the actual converter measurements.

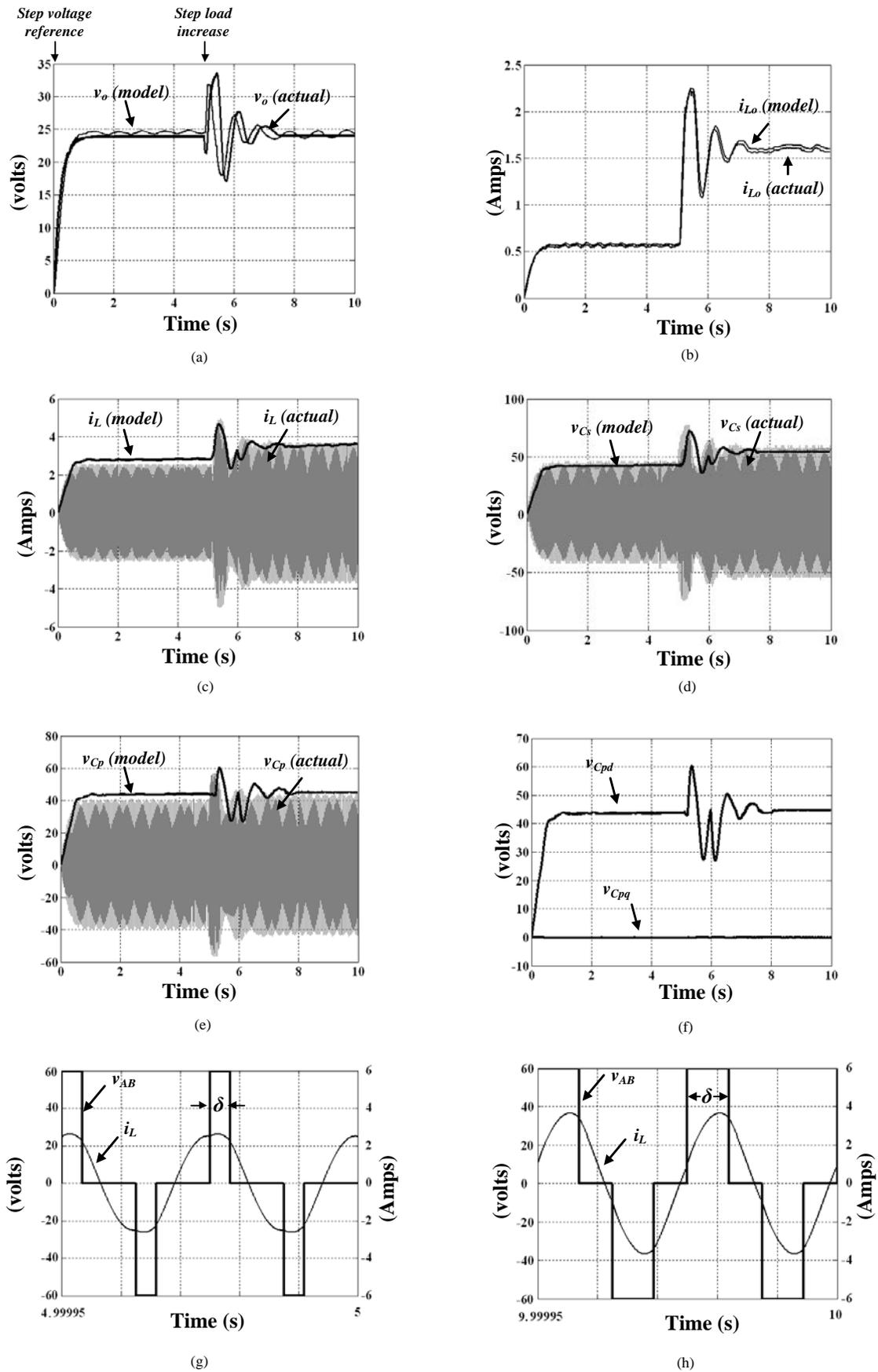


Fig. 19. Matlab simulations comparing actual switching and proposed large signal model (full-load applied at $t=5.0$ s), (a) output voltage, (b) output filter inductor current, (c) resonant tank inductor current, (d) resonant tank series capacitor voltage, (e) resonant tank parallel capacitor voltage, (f) dq equivalent resonant tank parallel capacitor voltages, (g) inverter output voltage at part-load and (h) inverter output voltage at full-load

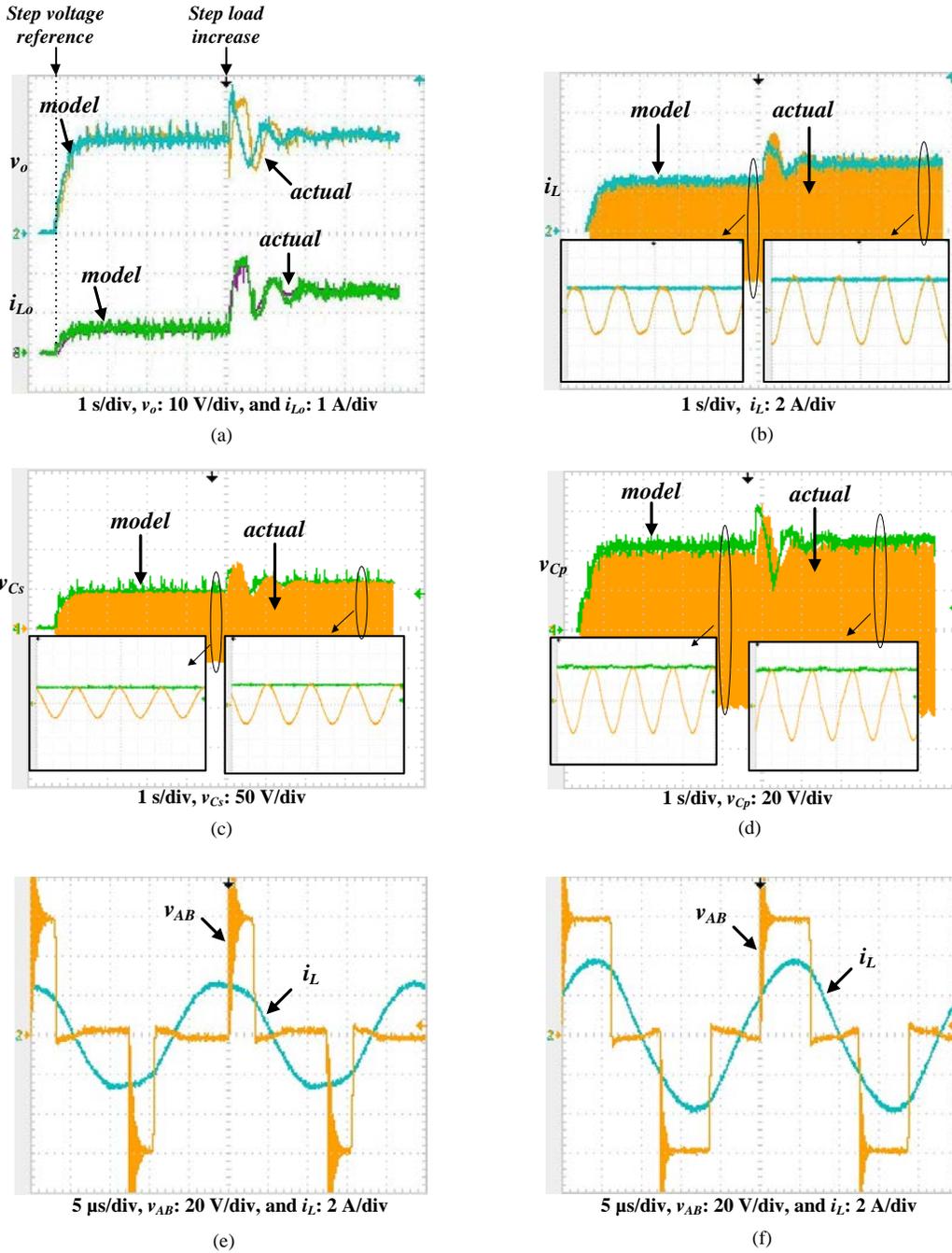


Fig. 20. Experimental results comparing measurements from the actual converter and the proposed large signal model. (a) output voltage and output filter inductor current, (b) resonant tank inductor current, (c) resonant tank series capacitor voltage, (d) resonant tank parallel capacitor voltage, (e) inverter output voltage at part-load (f) inverter output voltage at full-load

- Fig. 19(f) shows that the condition $v_{Cpq}=0$ outlined in (30)-(35) is maintained by the state feedback linearization scheme at all operating conditions. Also $v_{Cp(peak)} = v_{Cpd} \approx (\pi/2)v_o$. This verifies correct operation of the state feedback scheme.
- Fig. 19(g) and (h) and Fig. 20(e) and (f) show that after full load application ($Q_{FL}=1.44$), the control loop increases the phase shift angle δ to produce more fundamental voltage output from the inverter. This is inevitable as the converter voltage gain is reduced as Q increases, meaning more input voltage is required to maintain the required reference output voltage $v_o^*=24V$.

- Natural increases occur in the values of i_{Lo} and i_L after increased loading at $t=5.0s$. This causes an increased voltage drop on v_{Cs} , whereas the increase in v_{Cp} is marginal. The latter can be explained by the fact that v_{Cp} controls v_o , so it is fairly constant with a slight increase to compensate for the increased voltage drop on the output filter inductor parasitic resistance after the application of full load at $t=5.0s$.
- The PI controller disturbance rejection capability can be improved to reduce the transient effect at the load transition instant. This can be performed by increasing the k_i/k_p ratio but lower k_p gain would need to be used to avoid closed loop instability, which means a more sluggish response.

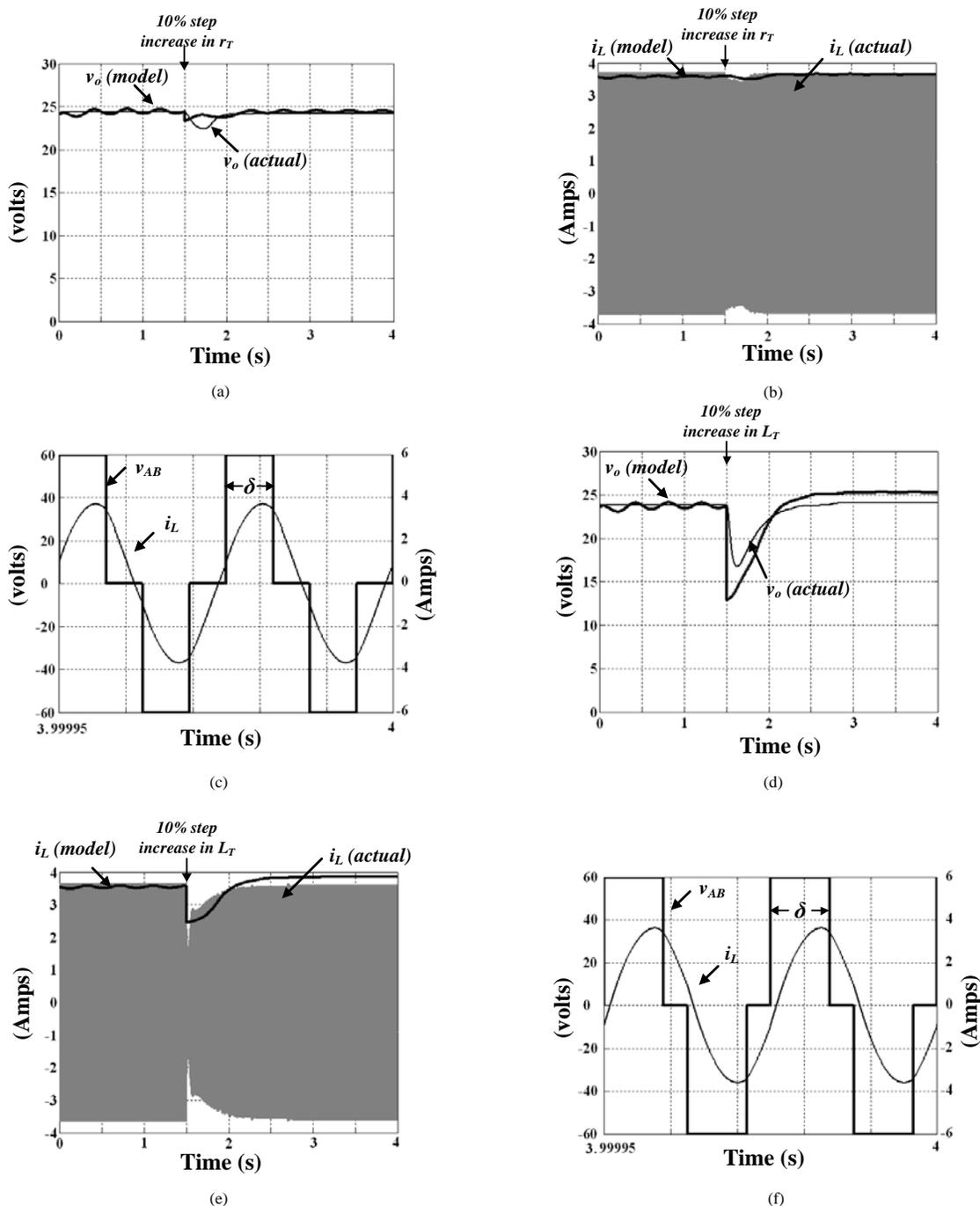


Fig. 21. Results for robustness analysis comparing the actual converter operating at full-load Q_{FL} with the proposed model, in response to a +10% step change (at $t=1.5s$) in the value of: (a)-(c) r_T and (d)-(f) L_T .

B. Model Robustness study

Circuit parameters practically vary during operation. Resistive elements are affected by temperature and transformers and/or magnetic-core inductors are affected by core saturation. In order to assess the robustness of the derived model against such variations, a 10% increase in selected circuit parameters is applied to the actual switched converter model in simulation and compared with the unchanged parameter's large signal model. Dominant circuit parameters that affect converter voltage gain are the resonant tank

parasitic resistance r_T and equivalent inductance L_T which may be affected by changes in transformer leakage inductance. A 10% step increase in each parameter is applied to the converter operating at full load to assess the model's response. The new parameter values applied are $r_T=0.87\Omega$ and $L_T=120\mu H$. Closed loop results for each case are taken separately and illustrated in Fig. 21.

The effect of changing r_T is first studied. The actual converter's behavior has negligible change at steady-state since the results for v_o and i_L are virtually unchanged after $t=1.5s$, as shown in Fig.21(a) and (b) respectively. The proposed model is capable of tracking the changed system

parameter and estimates the state variables accurately. Fig. 21(c) confirms the minor effect on the converter due to changing r_T . This is shown by the analogous inverter output voltage v_{AB} and δ compared to the original case of converter operation with unchanged r_T as shown in Fig. 19(h).

The effect of changing L_T is shown in Fig. 21(d)-(f). The increase in L_T causes an increase in the resonant tank characteristic impedance. This causes a sudden drop in the output voltage v_o , and the control loop functions immediately in an attempt to correct this voltage sag by increasing the inverter output voltage via an increase of δ as shown in Fig.21 (f), when compared with Fig. 19 (h). The output voltage is restored to the reference value after about 1.5s due to the increased circuit time constant with increased L_T . However the model overestimates the output voltage by 5% due to the increased control input to the model. The resonant tank current i_L decreases slightly (as in Fig.21(e)) and similarly the model overestimates i_L by 6%. It can be concluded that the model is relatively insensitive to changes in the resonant tank parasitic resistance r_T whereas changing L_T has more of an effect on the model's performance. This is inevitable as the circuit resonant frequency changes and the entire dynamics of the converter are affected.

VII. CRITICAL EVALUATION

The original large signal non-linear model is an exact and precise representation of the converter for the whole load operating range. However, its non-linearity limits the application of simple and well known linear analysis and control techniques. Small signal models are an important linearization tool and have proven to be very successful for control design and stability analysis of many systems in the past. However the validity of the model remains limited in close neighbourhood of the steady state operating point and the model is not a true representative of large operational variations. From this perspective, the linearization scheme for the large signal model was proposed to preserve the large signal characteristics of the converter without linearizing around specific operating points. The methodology implemented for linearization can be merely viewed as an orientation of the ac subsystem dq state variables into an arbitrary reference frame to remove the main source of non-linearity; the mathematical "square root" function.

The proposed model has shown to provide closely matching results with the actual converter switched model. However, at high converter loading (high Q factor), the

harmonic content in the resonant tank increases and the sinusoidal nature approximation of the resonant tank state variables becomes less accurate. This is mainly because a high Q factor means low load impedance compared to the resonant tank characteristic impedance. Consequently, the ratio of the injected square wave current from the bridge rectifier to the resonant circuit current is high, hence introducing higher order harmonics. Distortion introduced at high Q causes the proposed linearized model to have a marginal error in the value of the calculated state variables. This can be improved by assuming that resonant tank state variables are a harmonic series (instead of simply the fundamental component). However, this would result in n-times the existing number of resonant tank state variables, where n is the number of higher order harmonics included in the model. This makes the analysis way more difficult, tedious and increases the computation burden on the DSP and in return marginal improvement is achieved.

VIII. CONCLUSION

This paper proposes a feedback scheme that employs the output filter inductor current to obtain a linearized large signal model for the fixed-frequency phase-controlled SPRC. All state variables are converted to slow changing dc quantities which are easier to control than the fast resonant tank dynamics. The proposed state-space model represents converter behavior in response to large signal variations, and hence is useful for faster simulation in addition to estimating converter state variables for potential sensorless control applications. A reduced order transfer function was obtained to simplify the closed loop PI control design. PI control is sufficient to produce good closed loop dynamics and steady state performance. However, the limitation to increasing the proportional gain is the stability of the resonant tank. This can be improved by using multi-loop control. The model proved high accuracy when compared to the actual switching converter, and robustness against circuit parameter operational variation, especially changes in the resonant tank parasitic resistance. However, it is more sensitive to changes in the resonant tank inductance which is expected since the characteristic impedance and resonant frequency determining circuit behavior change accordingly.

APPENDIX

Parameters for Table II:

$$S_{P_{3,4}} = -\frac{r_T}{2L_T} \pm j \sqrt{\frac{-r_T^2 C_s C_p + 4L_T C_s + 4L_T C_p + 4L_T^2 C_s C_p \omega_s^2 + 4L_T \omega_s \sqrt{4L_T C_s C_p^2 + 4L_T C_p C_s^2 - r_T^2 C_s^2 C_p^2}}{4L_T^2 C_s C_p}}$$

$$S_{P_{5,6}} = -\frac{r_T}{2L_T} \pm j \sqrt{\frac{-r_T^2 C_s C_p + 4L_T C_s + 4L_T C_p + 4L_T^2 C_s C_p \omega_s^2 - 4L_T \omega_s \sqrt{4L_T C_s C_p^2 + 4L_T C_p C_s^2 - r_T^2 C_s^2 C_p^2}}{4L_T^2 C_s C_p}}$$

$$\omega_{n_{p3,4}} = \sqrt{\frac{C_s + C_p + 4L_T C_p + L_T C_s C_p \omega_s^2 + \omega_s \sqrt{4L_T C_s C_p^2 + 4L_T C_p C_s^2 - r_T^2 C_s^2 C_p^2}}{L_T C_s C_p}}$$

$$\omega_{n_{p5,6}} = \sqrt{\frac{C_s + C_p + 4L_T C_p + L_T C_s C_p \omega_s^2 - \omega_s \sqrt{4L_T C_s C_p^2 + 4L_T C_p C_s^2 - r_T^2 C_s^2 C_p^2}}{L_T C_s C_p}}$$

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