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Few-shot Classifier GAN

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Abstract— Fine-grained image classification with a few-shot classifier is a highly challenging open problem at the core of a numerous data labeling applications. In this paper, we present Few-shot Classifier Generative Adversarial Network as an approach for few-shot classification. We address the problem of few-shot classification by designing a GAN in which the discriminator and the generator compete to output labeled data in any case. In contrast to previous methods, our techniques generate then classify images into multiple fake or real classes. A key innovation of our adversarial approach is to allow fine-grained classification using multiple fake classes with semi-supervised deep learning. A major strength of our techniques lies in its label-agnostic characteristic, in the sense that the system handles both labeled and unlabeled data during training. We validate quantitatively our few-shot classifier on the MNIST and SVHN datasets by varying the ratio of labeled data over unlabeled data in the training set. Our quantitative analysis demonstrates that our techniques produce better classification performance when using multiple fake classes and larger amount of unlabelled data.

1. Introduction

Image classification [35] is a challenging task requiring a large amount of labeled dataset to train accurate models at optimal performance. With the advent of Deep Learning technologies, there is a huge demand in obtaining massive labeled dataset [21], [17]. One major limitation is that massively annotating labels is a labor-intensive task [4]. Data augmentation is an alternative strategy to bypass the unavailability of labeled training data. Unfortunately, such models trained only on synthesized data largely underperform.

In this paper, we are interested in performing few-shot classification [3] because when only a few labeled samples can be acquired, unlabeled data could also be considered. Also, we are motivated to achieve co-generation and coclassification, in the sense that the generation will improve the classification and the classification will improve the generation cooperatively.

Our work falls into the general problem domain of data labeling [29]. In particular, fine-grained classification [16] is an important problem with practical applications. Despite much recent progress, it remains a challenge to generalize classification and generation with lack of labeled data. Our key observation is that incorporating more fake classes plays an important role in training the GAN models at a finerYann Savoye¹ Chrisina Jayne² ²Oxford Brookes University

grained level, which may improve the overall performance. In this paper, we present a step towards fine-grained fewshot classification with the Generative Adversarial Networks. In contrast to the state of the art, our GAN is more versatile and less restrictive in term of input and output.

The core idea is to carefully fuse supervised and unsupervised learning via switchers within the connections of the GAN. Therefore, the GAN can be fed with labeled or unlabelled input data. Our proposed method classifies real samples into real classes and then isolate fake samples into their respective unknown fake classes. We solve this problem by leveraging fine-grained classification thanks to two mechanisms: *fake class embedding* and *multiple fake classes*. Drawing inspiration from AC-GAN [27] and SGAN [26], our key idea is to associate classes with new samples by conditioning generation on class embedding. In contrast to previous work, our method seeks to classify real samples into predefined classes and further isolate fake samples into their respective fake classes, taking benefit of semisupervised learning to improve the classifier accuracy.

In this paper, the technical contribution is a novel GAN architecture taking as input labeled and labeled training data and performing fine-grained classification thanks to a multiple fake classes strategy. Our method is designed to handle image generation losses and unconditional generation when unlabeled data are used during training. To the best of our knowledge, our model is the only one able to achieve finegrained classification along image generation comparing to other GANs in the zoo. We demonstrate the effectiveness of our system by evaluating our solution on publicly available datasets. Our results suggest that incorporating multiple fake classes in the GAN models improve the overall performance, especially in presence of few labeled data.

2. Related Works

Data labeling can be done automatically [2], [6]. The construction of fully labeled dataset is supported by learning methods such as semi-supervised [20], one-shot [34] and active learning [7]. In particular, semi-supervised learning combines labeled with unlabelled data. Also, data augmentation [13] is an alternative strategy to bypass the absence of labeled training data by transforming original samples. Finally, data synthesis generates artificial data by training models exclusively on synthesized data [5], [31]. Naturally, the intuitive zero-sum game principle of generative adversarial networks (GANs) is an appealing strategy for data labeling.



Figure 1: The GAN zoo. We compare the architecture of Vanilla GAN [15], CGAN [23] SGAN [26], ACGAN [27] with our Few-Shot Classifier GAN (FSCGAN). In our design, C^* is the set of all classes, and X^* is the set of all samples (fake and real). In our GAN, we add network switchers (depicted as \otimes) forcing the network to switch to the suitable learning mode (supervised or unsupervised) for each mini-batch training. We highlight in cyan color the differences between all GANs with the firstly introduced Vanilla GAN.

The GAN [15] is defined as a generative adversarial model that can be trained [14]. The discriminator tries to estimate losses from predictions and ground truth, whereas the generator estimates log likelihood of the distributions over data. Table 1 outlines the properties of various GANs related to our work. The first Vanilla GAN [15] introduces the Kullback-Leibler divergence as a distance-based distribution similarity to produce highly-detailed images.

 TABLE 1: Comparison of properties of our GAN against state-of-the-art GANs.

Model	Supervised	Unsupervised	Few labels	Multi fake classes
Vanilla GAN [15]	×	√	×	X
S-GAN [26]	\checkmark	\checkmark	x	X
AC-GAN [27]	\checkmark	\checkmark	x	X
C-GAN [23]	\checkmark	×	X	X
CatGAN [32]	\checkmark	\checkmark	\checkmark	X
CC-GAN [10]	\checkmark	\checkmark	\checkmark	X
SS-GAN [33]	\checkmark	\checkmark	\checkmark	X
TAC-GAN [9]	\checkmark	\checkmark	X	×
Few-shot C-GAN (Our)	√	~	\checkmark	√

GAN has a lot of applications targeted for images processing, such as image data augmentation [25], highresolution image generation [18] image reconstruction [30], text-2-image generation [28], natural image generation [11]. However, variants of GANs have been also proposed for classification [20], categories classification [27], semi supervised labeling [26] and other domains [12], [11].

Nevertheless, tailoring GANs for classification is a tedious task [8]. Vanilla GAN [15] is an unsupervised adversarial model that allows only to output if a sample is real/fake. Therefore, no classification can be performed by the discriminator of Vanilla GAN since this model only accepts unlabeled data as input. Our method differs by performing generation in conjunction with classification. However, Conditional GAN [23] generates data conditioned on class labels via label embeddings in both discriminator and generator. Similar to Categorical GAN [32] (CatGAN), our method integrate a classification loss function to learn a classifier from unlabeled or partially labeled data.

Conditioning on labels brings to light the possibility of semi-supervised classification using GANs by forcing the discriminator network to output class labels. In semisupervised GANs [26] (SGAN), the training is realized by combining a single fake class with known classes. This additional fake class is required to categorize samples from the generator. In our approach, we combine conditioning and embedding to cope with the well-known limitation of semi-supervised GANs, namely being unable to handle unlabelled data. Auxiliary Classifier GAN (AC-GAN) [27] is also conditioned on the class labels to generate visually plausible images. Our work is close to the AC-GAN in the sense that we exploit label conditioning. However, our classifier GAN is not restricted to outputting a single class label for every sample.

Contrary to AC-GAN and CGAN that only rely on full labeled datasets, our model can perform label conditioning for unlabeled data and output sub-class labels even for fake images. Our model is also auxiliary because we output a numeric value deciding if the image is real or fake, and multiple fake classes. Comparing to all GANs in Table 1, our model is the only model ables to perform fine-grained classification along image generation so far, even if the expected class is not provided for training. We leverage this fine-grained property by injecting multiple fake classes with embedding. Moreover, the key difference of our approach against AC-GAN and SGAN is that our classification is not limited to real classes. Our approach combines supervised and unsupervised learning to handle both unlabeled and labeled data.

3. Methods

3.1. Fake Class Encoding

"Real" refers to label or images provided as part of the training set, while "fake" refers to generated label or images. The set of real labels $\mathcal{C} = \{0, \dots, N-1\}$ for the N classes are extracted from the training data (indexed from 0 to 9 for digits). For each class c in the training data, a corresponding fake class label c^+ is added automatically as described in the following procedure. The index of each digit is converted into a one-hot encoding vector. Then, we generate a set of fake class labels C^+ by accommodating a longer vector representation. The one-hot encoding of the real classes is padded with |C| zeros shifted to the right. From this one-hot representation, the corresponding fake class is generated by padding zeros at the left of the real label. For example, if the real label 0 is encoded over $|\mathcal{C}|$ bits as 100000000, we now represent this class by label is 0000000001000000000. The resulting set of all labels is denoted $C^* = C \cup C^+$.

3.2. Few-shot Classifier GAN

Our *Few-shot Classifier GAN* consists of two Convolutional Neural Networks competing against each other: a generator model G and a discriminator model D, where the discriminator tries to classify real objects and objects synthesized by the generator, and the generator attempts to confuse the discriminator. This model is designed to classify real and fake samples. This optimization problem requires a min-max solution obtained by solving the overall functional:

$$\min_{D} \max_{G} V_{fshot}(D,G) \tag{1}$$

where D and G mimic a two-players minmax game with value function $V_{fshot}(D,G)$. Then, the optimal solution is reached when both models can not make a significant gain over its opponent.

Similar to classical AC-GAN, the generator G takes as input a random noise vector $\mathbf{z} \in \mathbb{R}^d$ where d is the vector size and \mathbf{c} is a label when the corresponding class is available. In the absence of class labels in the training set, G takes only \mathbf{z} as input. G is trained to be an image producer aiming to generate sampled images expected to lie within the distribution of the training data. The classifier is incorporated within the discriminator model D to produce better samples. Then, D is trained to discriminate between image generated by G against real training images.

The value function $V_{fshot}(D,G)$ is defined as a piecewise function acting as a *network switcher*, as follows:

$$V_{fshot}(D,G) = \begin{cases} \tilde{\mathcal{C}^*} = \{\emptyset\} : V_{gan}(D,G) \\ \tilde{\mathcal{C}^*} \neq \{\emptyset\} : V_{acgan}(D,G) \end{cases}$$
(2)

where \tilde{C}^* is the class labels set involved in the current batch and $V_{gan}(D,G)$ is the expected value of the unconditioned probabilities over D and G. Alternatively, $V_{acgan}(D, G)$ is the expected value of the conditioned probabilities over Dand G depending on labels for classification. The network switcher V_{fshot} enforces the GAN model to perform unconditional discrimination (V_{gan}) in the absence of labels and to perform conditional discrimination (V_{acgan}).

$$V_{gan}(D,G) = \mathbb{E}_{\mathbf{x} \sim p_{data}(\mathbf{x})}[\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})}[\log (1 - D(G(\mathbf{z})))]$$
(3)

where the prior distribution is denoted by p_z and z is the set of prior noise drawn from a uniform distribution. The generator samples the latent representation variable z only to generate images. Subsequently, V_{acgan} is activated when G and D are conditioned with the class labels set C^* during training.

$$V_{acgan}\left(D,G\right) = \mathcal{L}_{s} + \mathcal{L}_{c} \tag{4}$$

where \mathcal{L}_s and \mathcal{L}_c respectively denotes the log-likelihood of the expected sampling and classification. Formally, the sampling loss \mathcal{L}_s and the classification loss \mathcal{L}_c write as:

$$\mathcal{L}_{s} = \mathbb{E}[\log P \left(S = real | X_{real}\right)] \\ + \mathbb{E}[\log P \left(S = fake | X_{fake}\right)]$$
(5)

$$\mathcal{L}_{c} = \mathbb{E}[\log P\left(C = c | X_{real}\right)] \\ + \mathbb{E}[\log P\left(C = c | X_{fake}\right)]$$
(6)

The discriminator D(X) = (P(S|X), P(C|X)) isolates fake versus real samples and then performs the classification of all samples whether real or fake. P(S|X)is a probability distribution over samples and P(C|X) is probability distribution over labels. $X_{fake} = G(c, z)$ is a batch of generated images and X_{real} is a batch of real images used to train the discriminator D. In the presence of labels, the conditioning C is based on the class labels for the sampling and uses both labeled and unlabeled data. Our GAN has two set of outputs: a scalar determining if the image is real or fake and a set of discrete values representing the labels corresponding to real or fake samples.

3.3. Network Switcher

We inject the *network switcher* inside our deep neural architecture to manage multiple learning strategies by forcing the learning to switch to the desired mode for the training. This solution is better than trivially switching between two different models (namely, AC-GAN and GAN) by avoiding duplication of generators and discriminators. In particular, this binary switcher create an algorithm branch within the computational graph to switch to a supervised or unsupervised training. This network switcher is expressed as an exclusive OR operator (XOR) ensuring that the learning strategy fits the nature of the given batch. As shown in the Figure 1, the switcher is depicted using the \otimes operator.



Figure 2: The Few-shot Classifier GAN generated images by transpose convolution to avoid up-sample resizing. Both diagrams show the arrangement of layers for the architecture of the Discriminator and the Generator. The discriminator produces two outputs: a classifier output determining the class, and an output determining the type of image (real or fake).

3.4. G and D Black Boxes

Our *few-shot classifier* is a Deep Convolutional GANs to produce better visual quality samples. The Generator and Discriminator are both expressed as deep convolutional neural networks with a fixed number of layers, leaky Relu activation functions and hyper-parameters. We tune the hyper-parameters and the number of layers to fit the desired image resolution. Figure 2 depicts the inner architecture of the Generator and the Discriminator.

In the generator G, we use a series of transpose convolutions with varying strides to upsample images at the desired resolution. The first two layers of the generator are fully connected with no in-between batch normalization. The outputs of the second layer are reshaped into an 7×7 image with 128 channels. The third layer is a transpose convolution using a single stride and outputs a 7×7 image with 256 channels. The forth layer is a transpose convolution with a stride of 2 outputting an 14×14 image with 128 channels. Finally, the final layer uses a transpose convolution outputting an 28×28 image with a single channel.

The discriminator D is a conventional CNN downsampling image batches into a feature vector representation suitable for classification. The discriminator is composed of four convolution layers with strides of 2 in each layer. We use batch normalization between layers to accelerate the convergence, excepting in the final layer. The subsequent layers are two parallel linear layers: a classification output and a GAN output. The classification layer returns logits while the GAN layer returns the sigmoid activated output (fake or real).

3.5. Dual Training

The training procedure is summarized in the provided pseudo-code (Algorithm 1). The procedure described in the Algorithm 1 takes as input data and label batches. Each batch is tailored with given ratio of labeled to unlabeled data. The training process is performed by alternating between supervised and unsupervised training since the amount of labeled samples may differ in each epoch.

In Algorithm 1, the number of epochs is e = 500. The inner loops among labeled and unlabeled samples are balanced to guarantee the stability of the loss function. The first loop (k steps) iterates over labeled data only by performing stochastic gradient descent over the Discriminator and Generator via the discriminator. This loop evaluates the sampling and classification losses functions (line 6). The overall loss is updated at the end of each iteration within the inner loop. Similarly, the second loop (j steps) iterates over unlabeled data, but only sampling loss is evaluated before updating the overall loss. The number of iteration k and j depends proportionally on the ratio of labeled and unlabeled data to produce an important-based sampling. However, if the training dataset is balanced then k = j.

Algorithm 1 Training Algorithm				
1: procedure TRAIN(<i>data_batches</i> , <i>label_batches</i>)				
2: for e epochs do				
3: for k steps do				
4: Fetch next labeled mini batches				
5: Perform Stochastic Gradient Descent on D				
6: Perform Stochastic Gradient Descent on G				
7: Evaluate($\mathcal{L}_{s}, \mathcal{L}_{c}$)				
8: Update D and G losses				
9: end for				
10: for j steps do				
11: Fetch next unlabeled data mini batches				
12: Perform Stochastic Gradient Descent on D				
13: Perform Stochastic Gradient Descent on G				
14: Evaluate (V_{qan})				
15: Update D and G losses				
16: end for				
17: end for				
18: end procedure				

The discriminator D is trained to maximize $\mathcal{L}_{s} + \mathcal{L}_{c}$ while the generator G is trained to minimize the entropy between \mathcal{L}_{s} and \mathcal{L}_{c} . Our discriminator D is trained with image batches from G. The discriminator D is trained to maximise the $\mathcal{L}_{s} + \mathcal{L}_{c}$, while the generator G is trained to minimise the difference between \mathcal{L}_{s} and \mathcal{L}_{c} . When labels are not available both D and G are trained using V_{gan} .

4. Experimental Results and Evaluation

An extensive experimental analysis is conducted to evaluate the accuracy of the proposed model with multiple fake classes. The proposed GAN architecture is used to perform all experiments in which the ratio of unlabeled samples and labeled samples is varied during the training process. Experiments were ran in a NVIDIA DGX-1 supercomputer with multiple GPUs using the TensorFlow framework. Finally, performances of the proposed model are reported in term of accuracy for a variety of training configurations.

4.1. Datasets Tuning

We run our experiments over two state-of-the art datasets of 32×32 images, namely the MNIST dataset [22] and the SVHN dataset [24]. These datasets were selected because no pre-processing is required. Unlabeled data are derived from the datasets by neglecting provided labels.

The MNIST dataset is large database composed of a train set (60000 images) and a test set (10000 images) with sizenormalized, centered, fixed-size and single-channel images representing handwritten digits. Each digit has it corresponding label. In our experiments, the train and the validation sets are fused to create a new training set to evaluate our GAN. Using this dataset, our experiments based on varying the number of unlabeled samples start by considering all labels from the training set. Then, the number of labeled samples is decreased by 10k at each run until the lower bound of 50k unlabeled and 10k labeled samples is reached.

The Street View House Numbers SVHN dataset is significantly harder and more challenging. The SVHN is a realworld dataset (73k train set and a 26k test set) composed of three-channels noisy images of house numbers obtained from Google Street. The class distribution in the training set varies between 5k to 13k instance per class. Using this dataset, our experiments start with 73k fully-labeled samples and the testing is performed only on 10k randomly-selected samples from the test set. The unlabeled set is enriched with 10k samples selected from the train set at each pass until the lower bound of 60k unlabeled samples is reached.

4.2. Setup and Parameters

We have implemented our approach using TensorFlow [1]. We use 10 million trainable parameters for MNIST and 30 million trainable parameters for SVHN. We bypass the unbalanced data problem by collecting an equal number of unlabeled samples from each class when designing our training dataset for our labeled-to-unlabeled experiments. Even if the size of labeled samples set decreases, it is worth noting that the size of the training set remains unmodified along the experiments. However, the training set is extended with fake classes for multiple fake class experiments. During the testing phase, the learned classifier is evaluated over 10k samples from hold out real test samples.

A batch size of 32 is used for all datasets and all experiments. We normalize all input before the training. We use the classical Adam optimizer [19] with a learning rate of 10^{-3} for the gradient descent optimization of the generator and the discriminator. Also, we consider a prior vector of 100 dimensions from the uniform distribution. Since grid search is computationally expensive with these hyper-parameters, we use random search.



Figure 3: For the digit 0, we display the output of our proposed GAN without fake classes (first row). The second row represents the output obtained while considering a single fake. Then, we increase the number of fake classes for all other following rows (top to bottom). Visual results show that the pixel corruptions grow proportionally when multiple fake classes are considered during the training.

4.3. Performance Evaluation

We evaluated the accuracy of the output obtained by our learned classifier with multiple fake classes in comparison with the output produced by our learned classifier with only a single fake. The evaluation metric we employ to measure the accuracy of the trained classifier is defined as the total number of correctly classified test samples divided by the overall number of test samples.

We reported the quantitative results for this accuracy in Table 2 and 3 for experiments conducted over 10k test samples by varying number of class labels during the training. Sample images are collected at the end of the overall training. We depict generated images from training on MNIST and SVHN with 50k and 60k unlabeled data respectively in Figure 5. The performance of our *Few-shot CGAN* is summarized in Figure 4.



Figure 4: We plot the accuracy of our Few-shot Classifier GAN in function of the number of unlabeled samples. For this test, we trained our GAN with semi-supervised learning over the MNIST and SVHN datasets. The multiple fake classes mode outperforms the single fake class mode in presence of 70% of the data are unlabeled.

TABLE 2: We report the precision accuracy of semi-supervised learning applied on the MNIST dataset with different configurations of fake classes on 10k hold out samples.

Unlabelled Samples	Single Fake Class	Multiple Fake classes	
0	98.84	98.35	
10k	98.63	98.36	
20k	98.56	98.46	
30k	98.51	98.34	
40k	98.08	98.20	
50k	96.33	96.84	

Further experiments are conducted by varying the number of fake classes from 0 to N (where N is the total number of real classes) to examine how fake classes affect the generation of images. For this experiment, the GAN is re-trained from scratch using bias sampling. Figure 3 shows the effect on the quality of image generation when the number of fake classes increases. Samples are collected when the training is completed.

5. Discussion

We observe that the accuracy drops when the number of labeled samples decrease in training. For both publicly available SVHN and MNIST datasets. A wider margin is observed during experiments with the SVHN dataset because of the challenging characteristics of this specific dataset. For both datasets, our *Few-shot GAN* outperforms the classification process in *multiple fake classes* mode with the presence of fully labeled data. Also, our *Few-shot GAN* performs better in *multiple fake classes* mode than in *single fake classe* mode, in the presence of 70 % of the data are unlabeled. Our techniques perform significantly better with a factor 10 when 60k unlabeled samples are fed to our GAN.

Unfortunately, generated samples exhibit visual artifacts when our GAN is used in the multiple fake class mode when tested on the SVHN dataset. Visual qualitative results show

TABLE 3: We report the precision accuracy of semi-supervised learning applied on the SVHN dataset with different configurations of fake classes on 10k hold out samples.

Unlabelled Samples	Single Fake Class	Multiple Fake classes
0	82.55	80.76
10k	84.90	79.07
20k	83.67	79.49
30k	83.68	78.11
40k	83.34	76.38
50K	83.30	74.25
60k	63.11	73.10

that the quantity of visual artifacts grows proportionally when multiple fake classes are considered during the training. Moreover, we notice the apparition of artifacts when unlabeled samples become dominant over labeled samples and when the GAN relies less on the classification loss. Better performances are also observed when the generator is trained on not too good and not too poor samples. Finally, we observe that bias sampling does not significantly improves the quality of generated samples. We suggest to devise a deeper architecture or training on more epochs to solve this problem.

6. Conclusions

Fine-tuning labeling is currently done manually. In the few-shot context where we lack labeled training data, classifying images and labeling data is still a tough problem. In this work, we focused on the design of a novel adversarial architecture incorporating latent label embedding, network switchers and multiple fake classes to solve the problem of zero-shot classification. One of the greatest appeals of our approach is its label-agnostic property. Also, our GAN supports a wide range of strategies from fully supervised, semi-supervised to weakly supervised learning that was not possible with any alternative GAN previously.



Figure 5: The first row contains MNIST samples and the second row contains SVHN samples. On the left-hand side, we display real image samples from the training data, samples from training with a single fake class are displayed in the middle. Finally, we display generated sample images when trained with multiple fake classes on the right-hand side. Generated samples are obtained from 50k and 60k unlabeled training data on MNIST and SVHN respectively.

In contrast to other fine-grained classification techniques, our method takes advantage of the generator to trick the discriminator into classifying generated data along with their labels whatever the input is. We leverage this property by exploiting the continuum of the known labeling space. One of the central differences is that we do not learn how to represent real labeled data but how to learn powerful representations from unlabeled data. The most important aspect of our few-shot classifier GAN is its capability to output unknown sub-categories (namely the fake classes) for which we have no training examples.

As a result, our proposed GAN is a useful tool to learn a stable classification in the presence of few labeled examples mixed with a significant amount of unlabeled examples. An important advantage of our method can switch between full supervised learning and semi-supervised learning thanks to the network switchers. Although our evaluation confirms that discriminated samples improve the overall accuracy when the dataset lacks labeled samples. In most cases, our work suggests that the proposed approach performs similarly to traditional GAN in the presence of a sufficient amount labels and provides better results in the absence of labeled samples during the training phase. The main limitation of our technique is that the generated sample quality could be damaged when multiple fake classes are used.

In conclusion, we believe that this work demonstrates the feasibility of training data generation in GAN with less label training data required to achieve desired performance. We are confident that our method provides valuable insights into the fine-grained classification problem, and open a new horizon to perform deep learning with less amount of data. Finally, a more sophisticated design of loss functions are needed to support the generation of images at higher visual plausibility. An important advance toward this direction would be a new family of fake loss functions optimized for the human visual perception.

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