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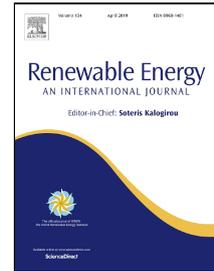
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# Accepted Manuscript

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A numerical case study for Orkney



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# The effect of strong ambient winds on the efficiency of solar updraft power towers: A numerical case study for Orkney

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## Abstract

Solar updraft tower (SUT) is a simple power plant in which ventilation of heated air inside a channel drives a turbine. This system is recognised as suitable for areas with abundant solar radiation. As a result, there is no extensive research on the performance of SUTs under mild solar radiation. Studies show that strong ambient crosswinds can affect the performance of a SUT. In this paper, the efficiency of SUTs in areas which benefit from strong winds, despite low solar radiation, is investigated through numerical modelling. Comparison is made between the efficiency of a commercial-scale SUT in Manzanares (Spain) with intensive solar radiation, and one of the same size potentially located in the windy and mild climate of Orkney Islands in Scotland. The results show that ambient crosswinds can increase internal air speed and efficiency of a SUT by more than 15% and 50%, respectively. Consequently, such a SUT in Orkney can offer more than 70% of the efficiency of the one in Manzanares. The results show that, for a given power capacity, a wind turbine enclosed in a SUT can be considered as an alternative to a number of conventional wind turbines installed at height in the open air.

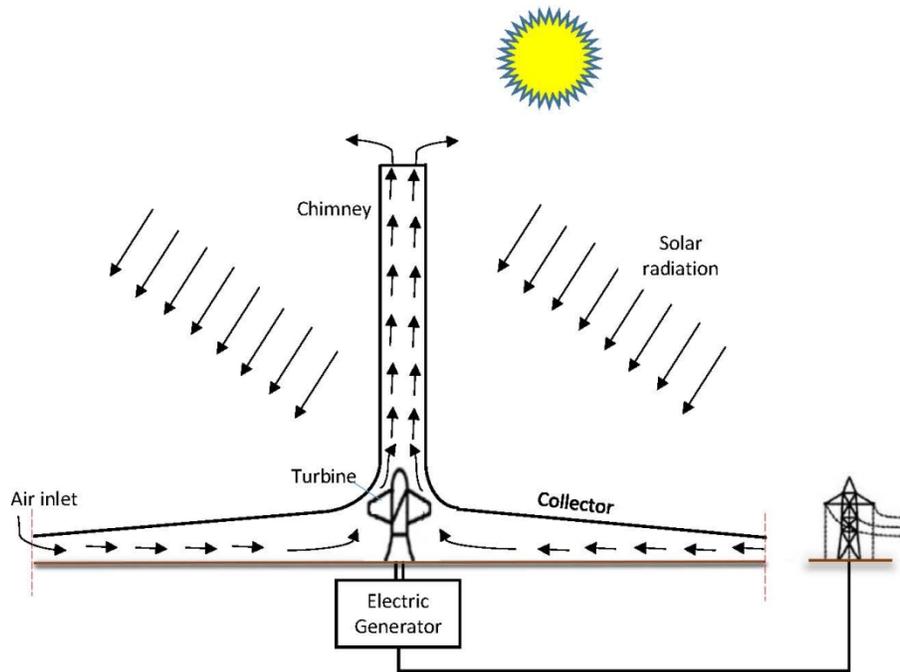
**Keywords:** solar updraft tower; ambient wind; efficiency; solar chimney; wind turbine.

## 1. Introduction

Solar power, as a renewable source of energy, is currently generated using Photovoltaic panels or by adopting solar thermal techniques. SUT is a simple thermal power plant designed to generate electricity on a large scale. It generally consists of a collector, a tower and a turbine (Figure 1). Solar radiation passes through a greenhouse collector located around a vertical channel/tower. This increases the temperature of the air and the ground below the collector and reduces the air density. To reach a balance in temperature, the ground periodically transmits the absorbed heat to the air below the collector (e.g. in night times). The difference in the density of the air under the collector and outside the tower creates a buoyancy air flow inside the tower and rotates the turbine mounted at the lower entry to the tower. This rotation is transmitted to a generator producing electricity.

The idea of SUT (also called solar chimney) was first proposed in the late of 1970s [1]. About eight years later, building a 50KW SUT began in Manzanares, Spain. That solar tower had a height of 194.6m, a diameter of 10m, and a collector radius of 122m, with an air velocity of 15m/s generated inside the tower to drive the turbine. After construction of that prototype, several large-scale SUTs were established,

40 including a 200MW power plant with a tower height of 1000m and a collector diameter of 7000m in  
 41 Mildura, Australia, or a 40MW power plant with a tower height of 750m and a collector diameter of 2100m  
 42 in Ciudad Real, Spain [2, 3].



43

44

Figure 1 Schematic overview of a solar updraft tower

45 To date, many researchers have investigated the efficiency of SUTs affected by various factors. Mullet  
 46 offered a method for calculating the overall efficiency of them [4]. Haaf investigated design range and  
 47 optimal dimensions for various power productions [1, 5]. Padki and Sherif examined the effect of  
 48 operational and geometric parameters such as tower height and the ratio of input and output areas on  
 49 the performance and efficiency [6, 7]. Yan et al. developed a comprehensive analytical model for obtaining  
 50 equations of air flow, air velocity, power output, and energy efficiency [8]. Pasurmarthi and Sherif showed  
 51 that SUT is a good technology in hot climate regions such as Florida and examined the impact of air  
 52 temperature and air velocity on power generation, through theoretical and experimental studies [9, 10,  
 53 11].

54 A SUT does not need direct sunlight and can operate under a cloudy sky by exploiting the diffused solar  
 55 radiation [12]. However, this system is traditionally recognised for its higher efficiency in areas with  
 56 abundant solar radiation. As a result, there is no extensive research on the performance of this system in  
 57 areas with mild solar radiation. Nevertheless, the effect of ambient winds on the efficiency of SUTs has  
 58 been investigated by some researchers [13, 14, 15, 16, 17, 18].

59 The existing studies show that strong ambient crosswinds can positively affect the performance of SUTs  
 60 [13, 14]. A research conducted by Zhou and Xu in 2016 revealed that wind can influence the performance  
 61 of SUTs in three main ways; 1) by heat loss from the collector roof to the environment, 2) by blowing the  
 62 indoor heated air to the outside of the collector instead of up through the tower and 3) by producing a  
 63 suction effect through the tower outlet. The first two effects decrease the efficiency and the last one

64 increases it [13]. Ming et al. in 2012 showed that weak ambient crosswinds reduce the efficiency due to  
 65 blowing the heated air to the outside of the collector, while strong winds increase the efficiency due to a  
 66 suction effect at the tower outlet [14]. Studies conducted by Serag-Eldin in 2004 revealed that wind effects  
 67 are definitely not negligible, although this effect is generally neglected in the analysis of SUTs [15, 16].  
 68 That study showed that wind can deteriorate the performance of SUTs in case the height of collector inlet  
 69 is large. However, Ming et al. (2013) proved that a blockage which is circularly set a few meters away from  
 70 the collector inlet can eliminate that negative effect [17]. Pretorius in 2004 showed that strong ambient  
 71 winds result in an increased power output due to a pressure rise at the tower outlet [18].

72 In this paper, the potential and the efficiency of producing electricity using SUTs in areas which benefit  
 73 from strong winds, despite low solar radiation, is studied via a numerical case study for Orkney Islands in  
 74 Scotland. The aim is to investigate how strong and steady winds can improve the performance of a SUT  
 75 and make it a suitable option for not-too-sunny but windy weather conditions.

76 For this purpose, a model in the size of Manzanares power plant is numerically simulated in ANSYS Fluent  
 77 software [19]. Comparison is made between the performance of this power plant if located in the windy  
 78 and mild climate of Orkney and the performance of the real power plant in the climate of Manzanares  
 79 with intensive solar radiation. The parameter representing the performance of the SUT is the air speed  
 80 inside the updraft tower.

81

## 82 2. Numerical Modelling

83 Flow in a SUT is developed because of air buoyancy. For a large SUT, such as the Spanish sample, a  
 84 turbulent air flow is formed inside the channel due to the geometric aspect ratio of it [20]. Therefore, the  
 85 standard k- $\epsilon$  turbulent model is used with the standard wall function to describe the flow of the power  
 86 plant [12]. For this purpose, 4 sets of equations are used: Continuity equation, Navier–Stokes equations,  
 87 Energy equation, and k- $\epsilon$  equations [12]. For discretization of the equations, the Second Order Upwind  
 88 method is applied, and equations are solved in steady state conditions using ANSYS Fluent software [19].

89 The values of the constants used in the standard k- $\epsilon$  model are listed in Table 1.

90 Table 1 Values of the constants used in the standard k- $\epsilon$  model

C <sub>mu</sub>	0.09
C <sub>1-<math>\epsilon</math></sub>	1.44
C <sub>2-<math>\epsilon</math></sub>	1.92
TKE prandtl number	1.0
TDR prandtl number	1.3
Energy prandtl number	0.85
Wall prandtl number	0.85

91

### 92 2.1 Geometry of the Model

93 A SUT with the geometric dimensions of Manzanares power plant is modelled. The geometric  
 94 characteristics of the model are given in Table 2.

95

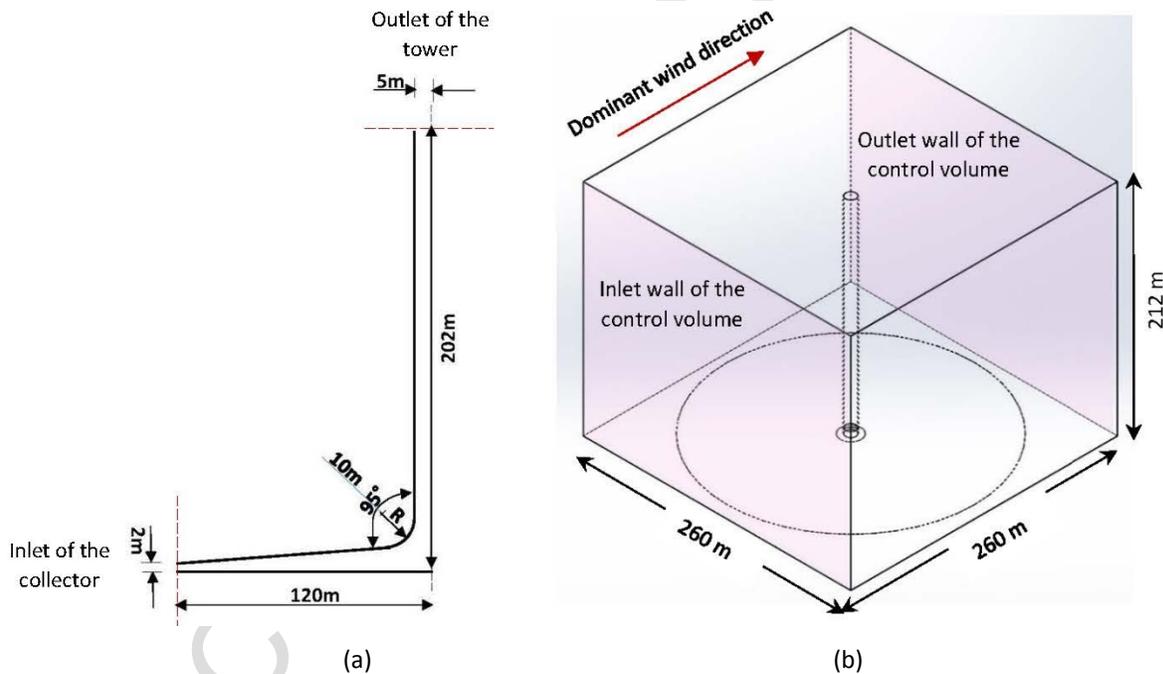
Table 2 Geometric characteristics of the model

Total height	202m
--------------	------

Collector radius	120m
Tower radius	5m
Collector's inlet height	2m
Collector's slope	5°
Collector/ Tower fillet radius	10m

106 A 3D model is developed to evaluate the effect of ambient wind velocity on developing a suction effect  
 107 through the outlet of the tower or the inlet of the collector. Those effects are applied to a 2D axisymmetric  
 108 model (which is numerically a less expensive model) to study the performance of the SUT with and without  
 the suction effects resulted by the ambient wind. The computational cost of the 2D model is 8.3 times less  
 than the 3D model.

101 Figure 2(a) shows the geometric characteristics of the 2D axisymmetric model. The control volume for the  
 102 3D model is illustrated in Figure 2(b). The wind velocity is a vector quantity, with a dominant direction at  
 103 a time. In the control volume of the 3D model, the inlet/outlet boundary walls are assumed perpendicular  
 104 to the dominant wind direction. However, the suction effect resulted by the ambient wind at the tower  
 105 outlet is a scalar quantity that only has magnitude and no other characteristics. It means that applying the  
 106 magnitude of the suction at the outlet of the 2D axisymmetric model, as the effect of wind, can be  
 107 accurate enough for the purpose of this study (considering that the geometry of the SUT is simulated in  
 108 the 2D axisymmetric model exactly the same way as in the 3D model).



109  
 110  
 111 Figure 2 (a) The geometric characteristics of the 2D model; (b) the 3D model

112 The magnitude of the suction is obtained from the 3D model in which turbulence and wall effects are  
 113 taken in to account in a purely fluid-dynamic analysis. By applying that suction effect as well as the solar  
 114 heat flux to the 2D axisymmetric model, a combined thermal and fluid dynamic analysis is carried out.

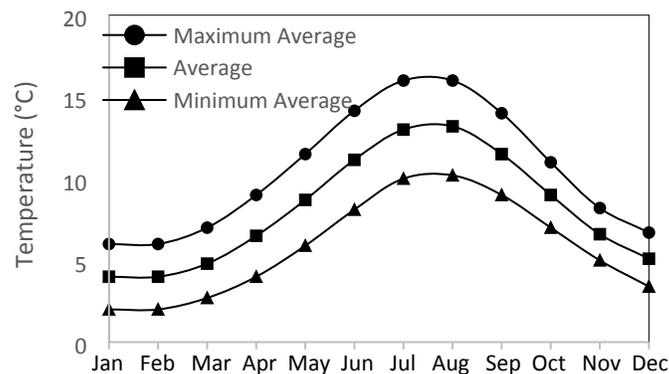
115 The wind speed distribution on the inlet wall of the control volume is non-uniform, varying as a function  
 116 of height, and is given in the following section.

117 For validation, the 2D model is run for the boundary conditions of the Manzanares power plant and the  
 118 air speed inside the tower is compared with the same parameter recorded for the real power plant. The  
 119 results are discussed in Section 3.

120 It must be noted that, to simplify the numerical modelling, the effect of turbine on the air flow has been  
 121 neglected in this research. In reality, there are system losses of various components (aerodynamic,  
 122 mechanical and electrical losses) and these may contribute to the deviation of the actual performance of  
 123 SUTs compared to the results produced by the numerical methods. Turbine losses are associated with  
 124 different types of turbine and their installation method. Diffusion losses can also occur after the turbine  
 125 rotors, where the hub ends, and in the actual diffuser. In multiple turbine configuration, losses may also  
 126 be generated where the outflow of various turbines merge [21]. However, previous studies show that,  
 127 with designing the flow passages in an appropriate manner, the aerodynamic losses can be kept low [22].  
 128 Considering the above factors in the numerical modelling is beyond the scope of this research. Therefore,  
 129 the effect of turbine on the internal air speed is not taken into account, in order to avoid complication  
 130 and considering that this is a comparative study.

## 131 2.2 Environmental and Boundary Conditions

132 Orkney Islands located off the northeast coast of Scotland is known for its strong and constant winds.  
 133 The annual temperature distribution in Orkney is shown in Figure 3 [23].



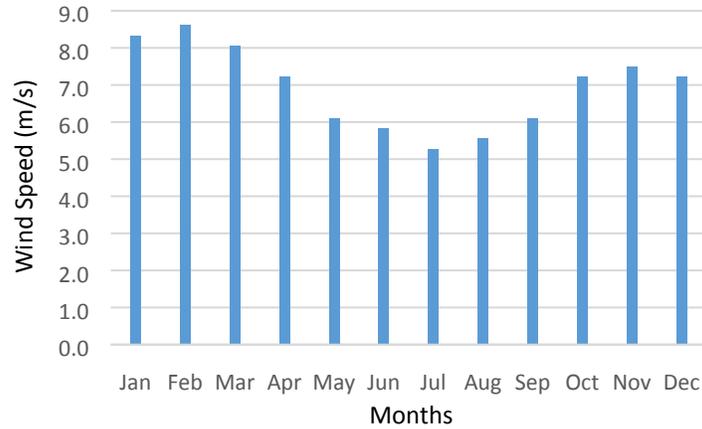
134

135 Figure 3 Annual temperature distribution, in Orkney

136 There is less than 10 °C difference between the average summer and winter temperatures (mild winters  
 137 with average temp of 5-6°C, and low summer temp with an average of 15°C and a maximum of 19°C) [23].

138 For the environmental and geographic conditions of Orkney, the solar heat flux is estimated to be  
 139 450W/m<sup>2</sup>. To estimate this value, an online Numerical Weather Model (Solar Calculator) has been used  
 140 [24]. This model can compute potential solar radiation (direct and diffuse irradiance) for various  
 141 geographic locations, for clear skies. The input values for this numerical model include geographical  
 142 latitude, geographical longitude, altitude, temperature, and relative humidity. The estimations in this  
 143 paper are based on the average annual temperature and humidity.

144 Figure 4 shows that the average daily wind speed varies between 5.3m/s in July and 8.6m/s in February,  
 145 and the average annual value is 6.9m/s, all at a height of 10m above the ground [23].



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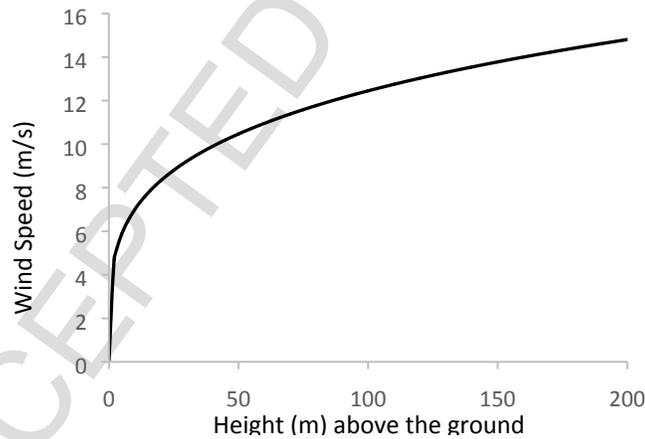
Figure 4 Average daily wind speed for each month, in Orkney

148 To calculate the variation of wind speed versus height, Eq. 1 can be used, where  $V_0$  is the wind speed (in  
 149 m/s) at  $H_0$  m above the ground [25].

150

$$(Eq. 1) \quad \frac{V}{V_0} = \left(\frac{H}{H_0}\right)^n$$

151 It is usual to give  $H_0$  the value of 10m,  $n$  being a coefficient varying from 0.1 to 0.4 [25]. Assuming the  
 152 average annual wind speed of 6.9 m/s at a height of 10 m for Orkney, and an average value of 0.25 for  $n$ ,  
 153 distribution of wind speed versus height for Orkney can be obtained from Eq. 1, as shown in Figure 5. This  
 154 distribution is used in the numerical model.



155

156

Figure 5 Wind speed distribution as a function of height, in Orkney

157 For the collector roof which is exposed to the sunlight, a constant heat flux boundary condition is  
 158 assumed. For the ground, a constant temperature boundary condition is assumed equal to the ambient  
 159 air temperature. Other walls, e.g. the tower wall, are assumed insulated (zero heat flux for the tower  
 160 walls, as given in Table 3). The convective heat transfer between the air inside and outside the collector  
 161 is ignored. The magnitudes of the applied boundary conditions are summarised in Table 3, for Orkney's  
 162 numerical model and Manzanares's SUT.

163

164

Table 3. The magnitudes of the applied boundary conditions, for Orkney and Manzanares

Parameters	Orkney's numerical model		Manzanares's SUT
	No wind	With Wind	No wind
Ground/ambient temperature	7° C	7° C	20° C
Collector heat flux	450 W/m <sup>2</sup>	450 W/m <sup>2</sup>	1000 W/m <sup>2</sup>
Tower heat flux	0	0	0
Outlet pressure caused by wind	0	-60 Pa	0

165

166

### 2.3 Mesh sensitivity analysis

167

168

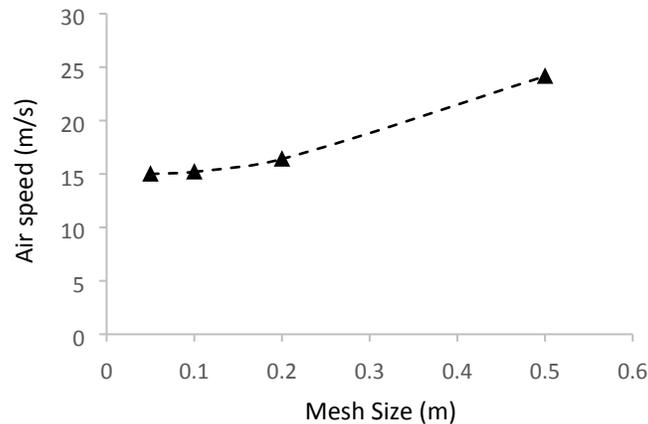
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172

To evaluate the sensitivity of the results to mesh size in the numerical modelling, the maximum air speed inside the tower was calculated for a range of mesh sizes for the Manzanares power plant model. Figure 6 shows the results of mesh sensitivity analysis. It can be seen that for a mesh size smaller than 0.1m the average air speed inside the tower converges to the value of 15.1m/s. According to this analysis a mesh size of 0.08m is chosen to ensure that the effect of mesh sensitivity is eliminated in the numerical modelling.



173

174

Figure 6 Mesh sensitivity analysis

175

### 3. Results and Discussion

176

The problem is solved for two different conditions:

177

178

179

1) It is solely the solar heat flux affecting the air velocity inside the tower. In this condition, the expected air speed inside the tower for the numerical model in Orkney is lower than the model of Manzanares, as the solar heat flux is lower in Orkney.

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181

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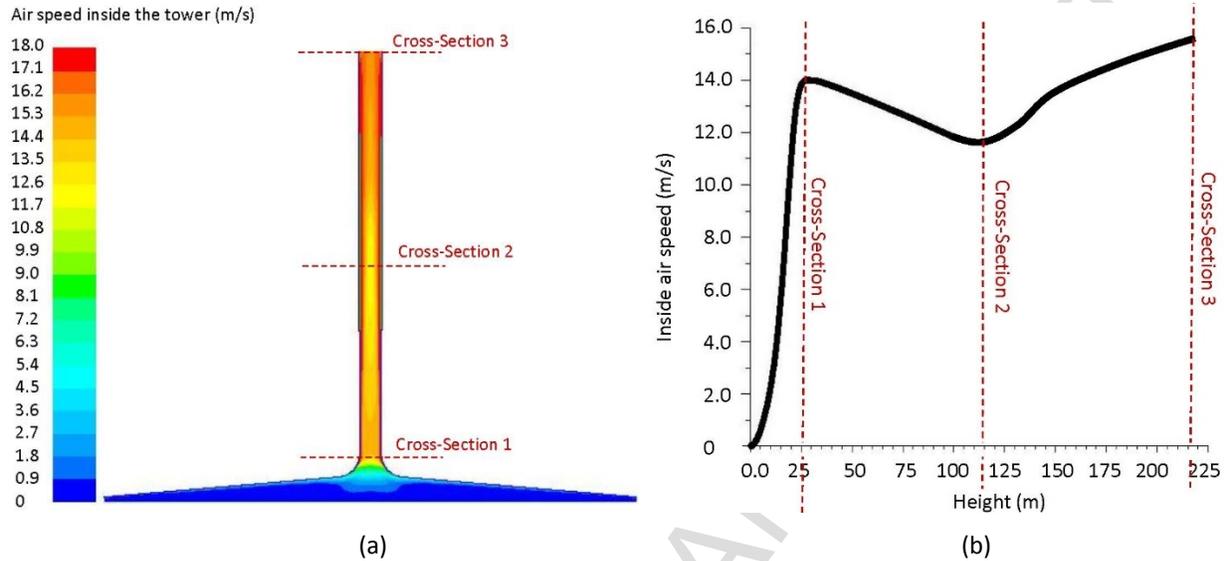
183

2) The effect of wind is also considered. In this condition, the pressure change at the outlet of the tower in the existence of wind is obtained by solving the momentum equation in the 3D model. The calculations show that a pressure of -60Pa is caused by wind at the outlet of the SUT. The effect of this negative pressure (suction) is added to the effect of solar heat flux in the 2D model.

184

#### 3.1 Results of the 2D model for Manzanares's SUT

185 Figure 7(a) shows the contours of air speed inside the tower obtained from the numerical 2D model of  
 186 Manzanares, and Figure 7(b) shows the variation of air speed on the axis of symmetry along the height of  
 187 the tower. From this figure a maximum inside air speed of 15.7m/s is developed on the axis of the tower  
 188 at the outlet section for Manzanares SUT, while the the air speed on the axis of the tower at the inlet  
 189 section (23m above the ground) is 14m/s .

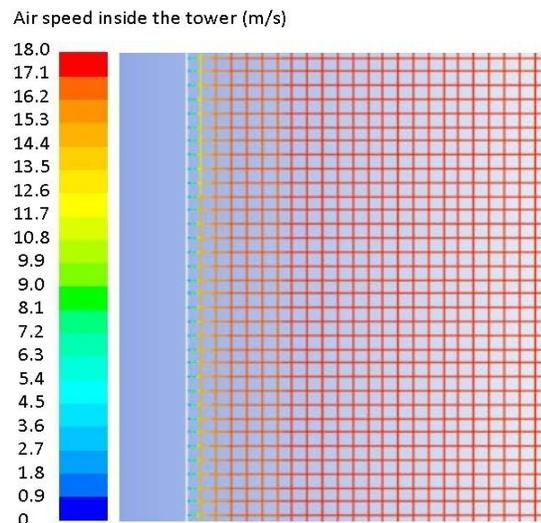


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191

192 Figure 7 (a) Contours of inside air speed for the Manzanares SUT (no wind); (b) Variation of air speed on  
 193 the axis of symmetry along the tower

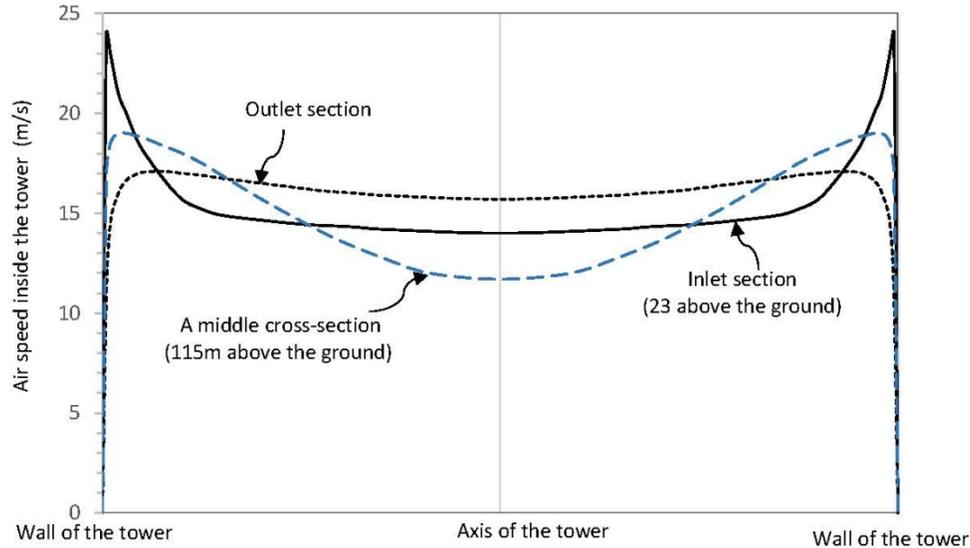
194 From the contours of air speed within the tower, in Figure 7(a), it is seen that the air speed at the edges  
 195 are higher than the center. That happens as the heat flux passing the collector affects the air adjacent to  
 196 the collector first. Therefore, the air close to the top surface of the collector has a higher temperature  
 197 than the interior air. As a result, its density is decreased and its flow speed is increased. That also affects  
 198 the speed distribution within the tower such that close to the walls the air speed is higher than the center.  
 199 However, there is still a no-slip condition at the solid boundaries, as the zoomed image below (Figure 8)  
 200 shows a speed value of zero at the boundaries of the tower.



201

202 Figure 8 Contours of air speed in close proximity to the boundaries

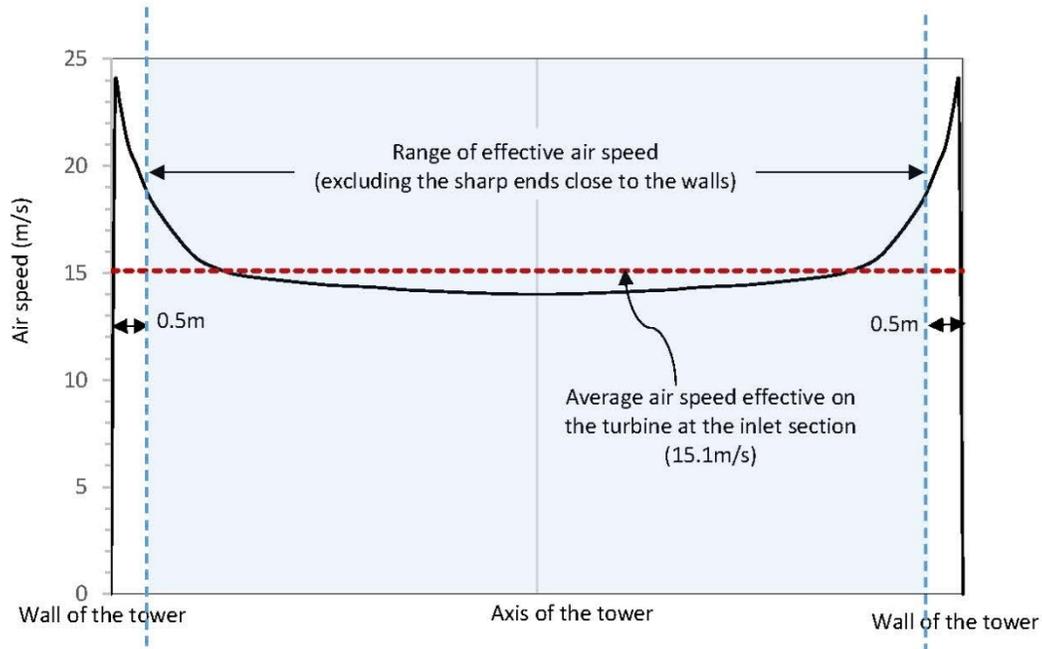
203 To understand the variation of air speed inside the tower along the vertical axis and across the diameter,  
 204 the profile of air speed has been obtained for three different cross-sections shown in Figure 7(a); Cross-  
 205 Section 1: at the inlet of the tower which is 23m above the ground, Cross-Section 2: at an intermediate  
 206 section within the tower where the minimum speed is recorded on the axis (see Figure 7(b)), and Cross-  
 207 Section 3: at the outlet of the tower. These profiles are shown in Figure 9.



208  
 209 Figure 9 Air speed profiles at different cross sections within the tower, for Manzanares SUT model

210 In Figure 9 it is seen that, in each cross-section, the air speed at the boundary walls of the tower is zero.  
 211 It increases sharply reaching the maximum value in close proximity to the boundaries and then drops and  
 212 varies around the average value within the cross-section. Although the variation of air speed is different  
 213 for each cross-section, the average air speed within the section is constant for all of them (16.2m/s for  
 214 Manzanares). That happens, as the air flow in the tower is classified as incompressible (as the Mach  
 215 number or the ratio of the speed of the flow to the speed of sound is less than 0.3). Therefore, the  
 216 discharge is constant throughout the tower and that results is constant average air speed for the constant  
 217 cross-sectional areas through the height of the tower.

218 For comparison purposes, the inlet section will be looked at, where the turbine is supposed to be installed.  
 219 The effective air speed on the turbine, is assumed to be the average air speed in the inlet section after  
 220 excluding the sharp ends of the profile which are very close to the walls (See Figure 10). The weighted  
 221 area technique is used to calculate the average value.



222

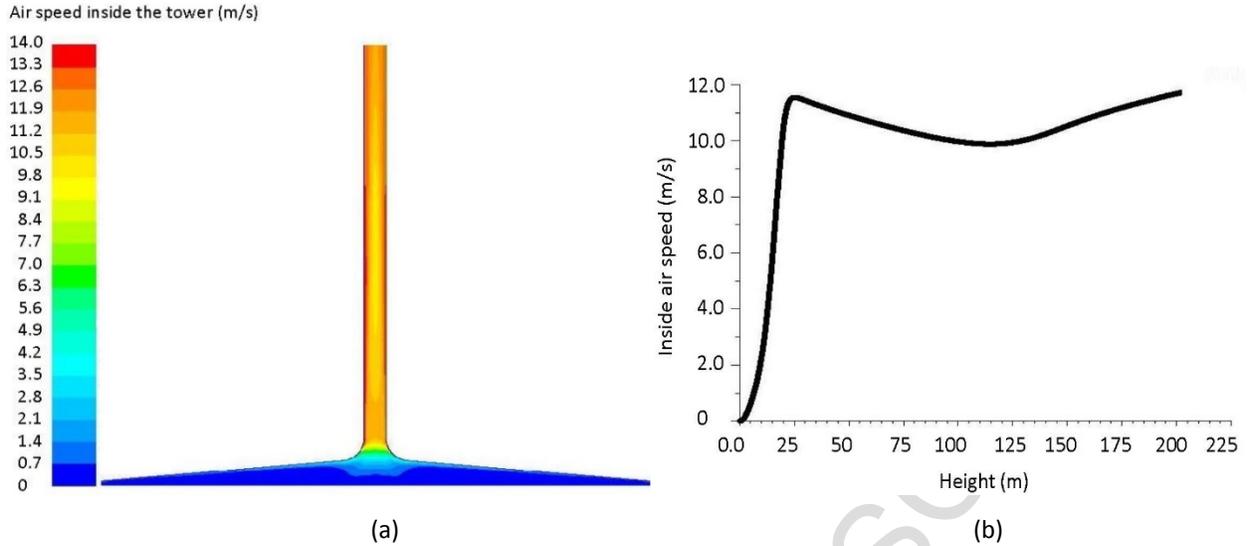
223 Figure 10 Air speed profile at the inlet section of the tower, and average air speed effective on the  
 224 turbine, for Manzanares SUT model

225 Based on the above, the effective air speed from the Manzanares SUT model is 15.1m/s (Figure 10), which  
 226 is comparable with the value of 15m/s reported for the real Manzanares's project (see Section 1). This can  
 227 be considered as a proof of credibility for the numerical model. It should be noted that, even without  
 228 excluding the sharp ends, the average air speed within the whole inlet section is close enough to the real  
 229 value, to confirm reliability of the model.

230 In the following sections, the effective air speed obtained from the Manzanares SUT model will be  
 231 compared with the same parameter calculated from Orkney SUT model with and without taking into  
 232 account the effect of ambient wind.

### 233 3.2 Results of the 2D model for Orkney Islands in the absence of ambient wind

234 Figure 11(a) shows the contours of inside air speed obtained from the numerical 2D model of a potential  
 235 SUT located in Orkney in the absence of wind, and Figure 11(b) shows the variation of air speed on the  
 236 axis of symmetry along the tower. This figure shows that, in Orkney model if the effect of ambient wind  
 237 is ignored, an air speed of 11.5m/s is developed on the axis of the tower at the inlet section (23m above  
 238 the ground).

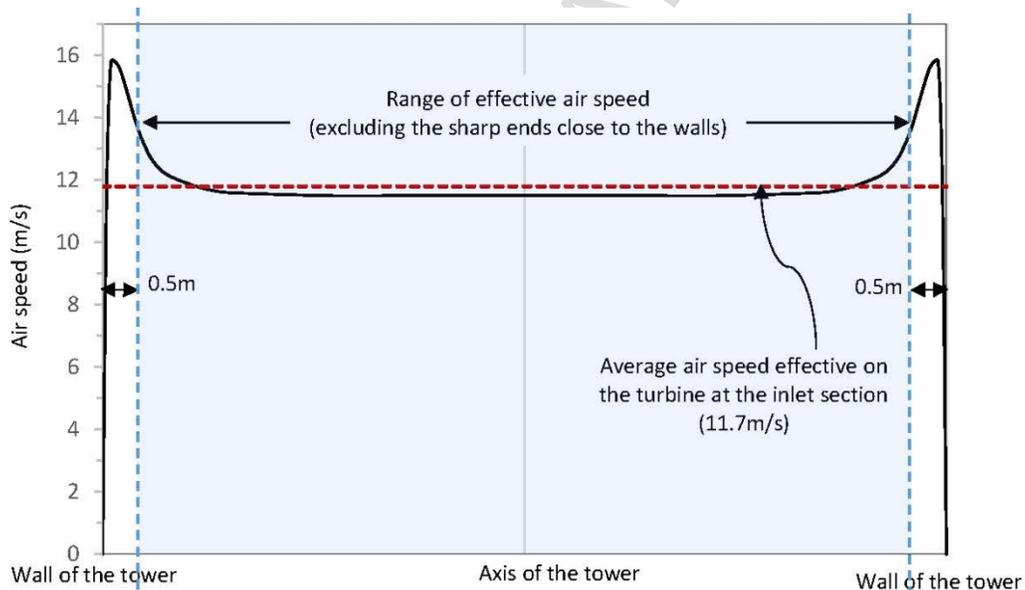


239

240

241 Figure 11 (a) Contours of inside air speed for a potential SUT in Orkney (no wind); (b) Variation of air  
 242 speed on the axis of symmetry along the tower

243 Figure 12 shows the air speed profile at the inlet section for Orkney model in the absence of wind. From  
 244 this figure, it can be seen that the effective air speed is 11.7m/s from that model.

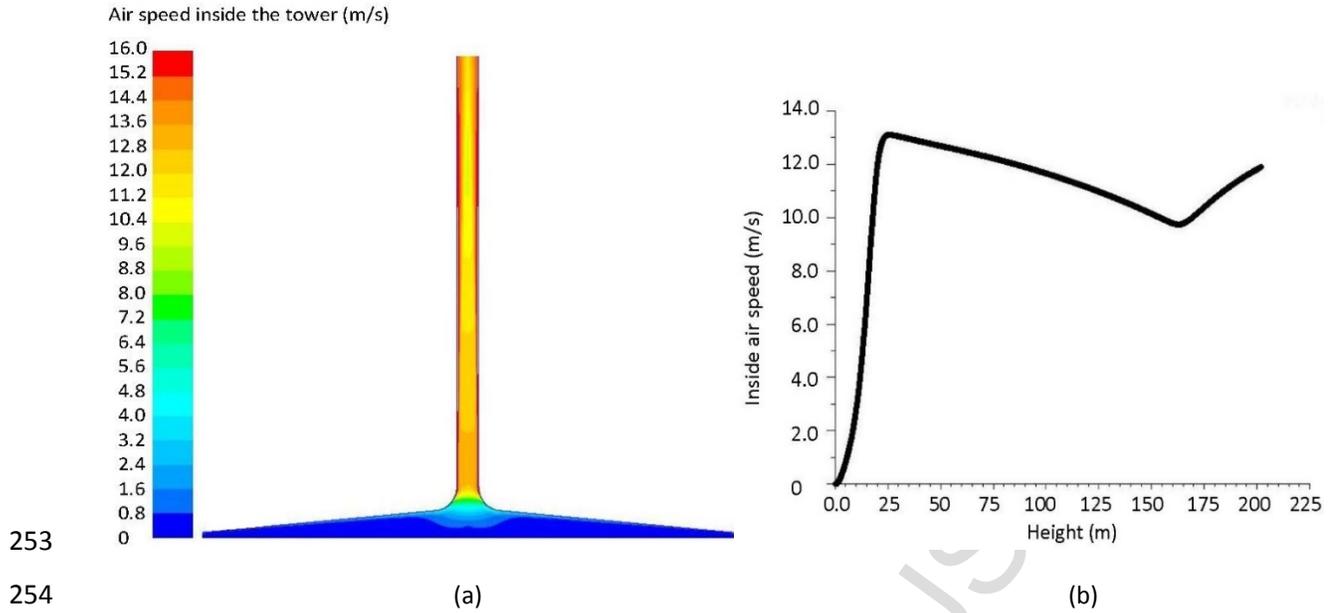


245

246 Figure 12 Air speed profile at the inlet section of the tower, for a potential SUT in Orkney (no wind)

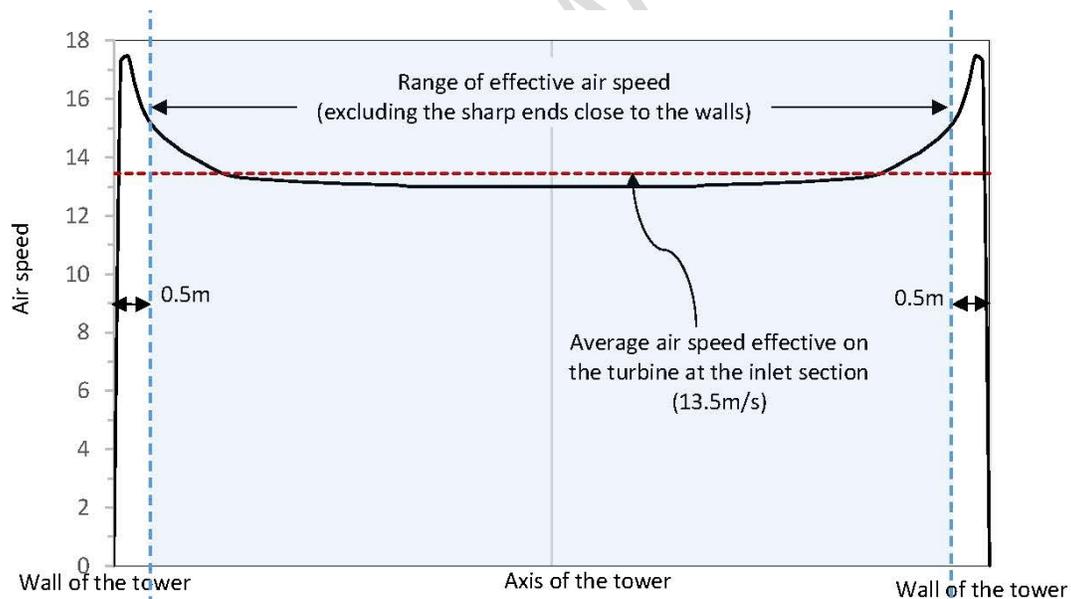
### 247 3.3 Results of the 2D model for Orkney Islands considering the effect of ambient wind

248 Figure 13(a) shows the contours of inside air speed obtained from the numerical 2D model of a potential  
 249 SUT located in Orkney considering the effect of ambient wind, and Figure 13(b) shows the variation of air  
 250 speed on the axis of symmetry along the tower. This figure shows that, in Orkney model under the effect  
 251 of ambient wind, an air speed of 13.1m/s is developed on the axis of the tower at the inlet section (23m  
 252 above the ground).



255 Figure 13 (a) Contours of inside air speed for a potential SUT in Orkney under the effect of ambient  
256 wind; (b) Variation of air speed on the axis of symmetry along tower.

257 Figure 14 shows the air speed profile at the inlet section for Orkney model under the effect of ambient  
258 wind. From this figure, it can be seen that the effective air speed is 13.5m/s under that conditions.

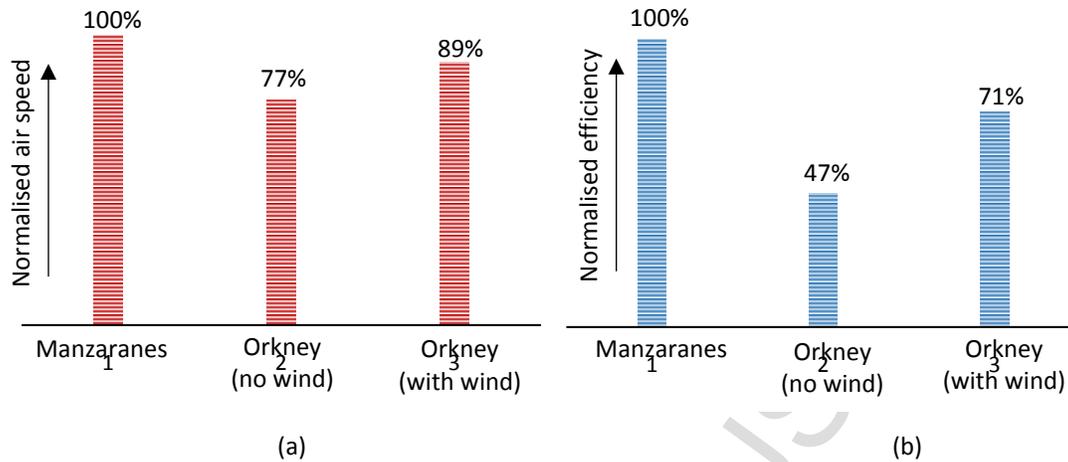


262 Figure 14 Air speed profile at the inlet section of the tower, for a potential SUT in Orkney under the  
263 effect of wind

### 264 3.4 Discussion and comparison

263 In this study, it is assumed that the produced power represents the efficiency of a SUT. The produced  
264 power for all wind turbines is proportional to wind speed cubed [26] (in this case the air speed inside the  
265 tower cubed). Based on the above findings, a comparison is made between the efficiency of SUTs; one

266 assumed to be in Orkney with and without considering the effect of wind, and one in Manzanar as the  
 267 benchmark (see Figure 15).



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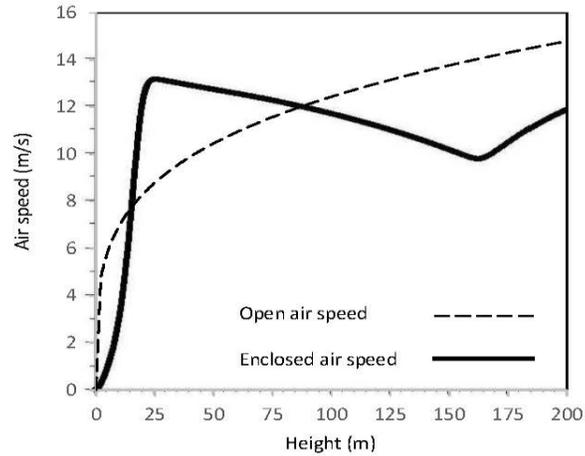
270 Figure 15 Comparing the normalized values of (a) effective air speed; (b) efficiency, of the studied SUTs

271 The results show that, if the effect of ambient wind is ignored, lower solar radiation in Orkney causes 33%  
 272 reduction in the internal air speed and, as a consequence, 53% reduction in the efficiency of a large scale  
 273 SUT compared to the one located in Manzanar. However, the effect of strong ambient winds can  
 274 compensate that reduction to a large extent, such that a large scale SUT in the windy climate of Orkney  
 275 can have more than 70% of the efficiency of a SUT of the same size located in Manzanar.

276 However, one may ask in a windy climate like in Orkney what is the need for using a SUT or an air channel  
 277 to enclose the turbine? In other words, what could be the benefit of a SUT over a wind turbine mounted  
 278 on top of a tall tower in the open air?

279 The high air speed is developed in a much lower elevation inside a SUT, if compared with the open air  
 280 speed (or the ambient wind speed). Figure 16 shows the open air speed in Orkney in comparison with the  
 281 enclosed air speed inside the SUT and along the axis, as a function of height.

282 This figure shows that at an elevation of 23m (inlet of the tower) an air speed of 13.1m is generated inside  
 283 the SUT along the axis. That speed in the open air exists at an elevation of 125m. Therefore, for the same  
 284 power capacity, installation of the turbine in a lower elevation (e.g. 23m versus 125m) is an advantage for  
 285 the SUT, which reduces the cost of installation and maintenance of the turbine. From the other side,  
 286 structural requirements for operation of a wind turbine on top of a tall tower limits the size and the  
 287 capacity of the wind turbine. It means that, for the same power output, the wind farm concept would  
 288 need a large number of turbines (smaller size) and towers, while a SUT can generate that power using a  
 289 single large turbine installed in a low elevation.



290  
 291 Figure 16 The speed of the air enclosed in the SUT in comparison with the open air speed (ambient wind  
 292 speed) in Orkney, as a function of height

293 Furthermore, some studies show that for a given air speed a wind turbine enclosed in a channel can  
 294 produce higher amount of electricity than a turbine in the open air [27].

295 The space under the collector can be used as a greenhouse which is ideal for agricultural purposes. This  
 296 can economically compensate, to some extent, the construction cost of the collector for the SUT option,  
 297 when it comes to cost/efficiency analysis.

#### 298 4. Conclusions

299 The efficiency of a commercial-scale SUT in an area which benefits from strong winds, despite low solar  
 300 radiation, was investigated through numerical modelling in ANSYS Fluent software. A prototype SUT in  
 301 Manzanares, exposed to rather intensive solar radiation, was used as a benchmark. Comparison was made  
 302 between the efficiency of Manzanares's SUT and one of the same size in the windy climate of Orkney  
 303 Islands, in which the radiation intensity is less than half of Manzanares's. The criterion for this comparison  
 304 was the air velocity developed inside the SUT.

305 Based on the findings of this numerical study, strong and steady ambient crosswinds increase the  
 306 efficiency of a large-scale SUT by more than 50%. Consequently, a large-scale SUT in the windy climate of  
 307 Orkney can offer more than 70% of the efficiency of one of the same size located in Spain, where the solar  
 308 radiation is doubled.

309 The results of this study are based on numerical simulations. Future research is recommended to validate  
 310 these result using experimental approaches. It would be also valuable to conduct further analysis to  
 311 determine the effect of various ambient wind speeds combined with the effect of solar heat flux towards  
 312 the performance of SUTs.

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**Highlights:**

- Strong ambient crosswinds can increase the efficiency of SUTs by more than 15%.
- A large SUT in a windy climate can have 70% of the efficiency of the one in a sunny climate.
- A wind turbine enclosed in a SUT is more efficient than conventional wind towers.