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Optimisation of the Performance of a Novel Rotationally Asymmetrical 1 **Optical Concentrator Design for Building Integrated Photovoltaic System** 2 Siti Hawa Abu-Bakar^{a,b,*}, Firdaus Muhammad-Sukki^{c,d}, Daria Freier^e, Roberto Ramirez-Iniguez^a, 3 Tapas Kumar Mallick^f, Abu Bakar Munir^{g,h}, Siti Hajar Mohd Yasinⁱ, 4 5 Abdullahi Abubakar Mas'ud ^j, Norhidayah Md Yunus ^k 6 7 ^a School of Engineering & Built Environment, Glasgow Caledonian University, 70 Cowcaddens Road, Glasgow, G4 0BA Scotland, United Kingdom 8 ^b Universiti Kuala Lumpur British Malaysian Institute, Batu 8, Jalan Sungai Pusu, 53100 Gombak, Selangor, Malaysia 9 ^c School of Engineering, Faculty of Design and Technology, Robert Gordon University, Garthdee House, Garthdee Road, Aberdeen, AB10 7QB, Scotland, 10 United Kingdom 11 ^d Faculty of Engineering, Multimedia University, Persiaran Multimedia, 63100 Cyberjaya, Selangor, Malaysia 12 ^e Faculty 1 Renewable Energies, University of Applied Sciences, Treskowallee 8, 10318 Berlin, Germany 13 ^f Environment and Sustainability Institute, University of Exeter, Penryn, Cornwall, TR10 9EZ, United Kingdom 14 g Faculty of Law, University of Malaya, 50603 Kuala Lumpur, Malaysia 15 h University of Malaya, Malaysian Centre of Regulatory Studies (UMCoRS), University of Malaya, 5990 Jalan Pantai Baru, Kuala Lumpur, Malaysia 16 ⁱ Faculty of Law, Universiti Teknologi MARA, 40450 Shah Alam, Malaysia 17 ^j Department of Electrical and Electronic Engineering Technology, Jubail Industrial College, P O Box 10099, Saudi Arabia 18 ^k Department of Real Estate, Faculty of Geoinformation and Real Estate, Universiti Teknologi Malaysia, 81310 Skudai, Johor, Malaysia 19 * Phone/Fax number: +44(0)141 273 1482/+44(0)141 331 3690, e-mail: sitihawa.abubakar@gcu.ac.uk/ hawa012@gmail.com 20

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Abstract: Solar energy is one of the renewable energy sources that has shown promising 22 potential in addressing the world's energy needs, particularly via the solar photovoltaic (PV) 23 24 technology. However, the high cost of installation is still being considered as the main obstacle to the widespread adoption of solar PV system. The use of solar concentrators is one 25 26 of the solutions that could help to produce lower cost solar PV systems. One of the existing concentrator designs is known as the rotationally asymmetrical dielectric totally internally 27 28 reflecting concentrator (RADTIRC) which was developed in Glasgow Caledonian University (GCU) since 2010. This paper aims at optimising the existing RADTIRC prototype by 29 increasing its electrical output whilst keeping the cost of the system at minimum. This is 30 achieved by adopting a better material and a different technique to fabricate the concentrator. 31 The optimised RADTIRC prototype was fabricated from polymethyl-methacrylate (PMMA) 32 using injection moulding. It was found that the optimised RADTIRC-PV prototype generated 33 an opto-electronic gain of 4.48 when compared with the bare cell under standard test 34 conditions (STC). A comparison with the old prototype showed that the optimised 35 RADTIRC-PV prototype increased the short circuit current by 13.57% under STC. 36

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Keywords: solar photovoltaic; solar concentrator; rotationally asymmetrical concentrator;
rotationally asymmetrical dielectric totally internally reflecting concentrator.

41 **1. Introduction**

42

Energy is essential in our daily life. It is needed not only to meet the social and 43 economic development, but also to improve human welfare and health [1]. According to a 44 recent report by the Energy Information Administration (EIA), the world's annual energy 45 consumption is projected to rise to 812 EJ in 2035, more than twice the energy needed in 46 1990. However, the rising trend of energy consumption contributes directly to increasing 47 greenhouse gas (GHG) emissions - mainly due to the fact that the largest proportion of the 48 49 energy supply comes from fossil fuels. The GHG emission traps the heat from being reflected back into the outer space and causes earth's temperature to rise which subsequently results in 50 climate change [2]. Countries around world experience extreme weather conditions (e.g. 51 massive flood [3], severe draughts [4] and extreme rain, snow and hail [5]) as consequences 52 of climate change. These events also trigger major changes on energy use in the built 53 environment [6–8]. To mitigate the GHG emissions while satisfying the world's energy 54 needs, one of the options suggested by the Intergovernmental Panel on Climate Change 55 (IPCC) is the deployment of renewable energy (RE) technologies [1]. 56

According to the IPCC, solar has 'the largest technical potential' when compared with other renewables [2]. To harness solar energy, one of the technologies that has been utilised is the solar photovoltaic (PV) system, which converts the sun energy directly into electricity. It was calculated theoretically by Luque and Hegedus [9] that a solar PV system with only 10% conversion efficiency installed in 0.4% of the earth's land area could meet all the energy demand (electricity, heat and transportation) in 2010.

To date, it is estimated that the solar PV installation reached a cumulative capacity of 63 64 177 GW worldwide [10]. The growing interest in developing zero energy buildings (ZEBs) [11,12] as well as the introduction of feed-in tariff scheme [13–16] catalysed the uptake of 65 solar PV installation in many countries. However, although there has been an oversupply and 66 declining prices of PV modules, the overall installation cost of a PV system in many 67 countries is still considered very expensive. It is estimated that the usage of PV material 68 which contributes to 73% [17] of the cost of the PV module, i.e. PV material contributes to 69 32.85% of the overall installation cost. To achieve this reduction in PV material without 70 compromising the PV module's output performance, a number of researchers have suggested 71 72 to incorporate a solar concentrator design in the PV module [18–22].

A concentrator works by focusing the solar energy from a large entrance aperture area to a smaller exit aperture area to which a solar PV cell is attached [22]. By adopting this

approach, it is possible to minimise the usage of PV material significantly while maintaining 75 76 the same electrical output. The concentrator can be fabricated using inexpensive materials such as plastic or mirrors, which offsets the cost of the displaced PV material [22]. 77 Specifically for building integration, the PV technology that includes a low gain concentrator 78 79 (gains < 10x) in the design is desirable since it has a wider half-acceptance angle to maximise the collection of sunlight throughout the day as well as to cater for variations of sun path 80 throughout the year, hence eliminating the need for any mechanical sun tracking system. This 81 low gain concentrator-PV is commonly known as low-concentration photovoltaics (LCPV) 82 83 system.

In the last few years, various LCPV designs have been demonstrated by many 84 researchers. Sarmah et al. investigated the performance of a linear dielectric asymmetrical 85 compound parabolic concentrator (ACPC) design and evaluated its performance indoor [23] 86 and outdoor [24]. They concluded that the design achieved a maximum power ratio of 2.27 87 when compared with a non-concentrating panel and could reduce the cost per unit power by 88 20% [23]. Mallick and Eames [25] also demonstrated another variation of the dielectric 89 ACPC achieving a power ratio of 2.01 and a theoretical cost reduction of 40% when 90 91 compared with a non-concentrating module. Guiqiang et al. investigated a novel air-gap-lens-92 walled compound parabolic concentrator (ALCPC) [26-29]. From the experiment, they demonstrated that the ALCPC generated comparable maximum output power when compared 93 94 with a common mirror CPC but at the same time provided superior half-acceptance angle than a common mirror CPC - allowing the ALCPC to generate more electricity in a year [26]. 95 96 They also claimed that the ALCPC design could reduce the overall cost significantly since it utilises between 20% and 25% of the dielectric material used by Mallick and Eames [25]. 97 98 Muhammad-Sukki et al. [30–36] simulated the performance of an extrusion of a symmetrical 99 dielectric totally internally reflecting concentrator (DTIRC) based on the maximum 100 concentration method (MCM). The design could achieve a maximum optical concentration gain of 4.08 when compared with a bare cell [33] and could reduce the overall installation 101 cost by 41% [34,35]. 102

Ramirez-Iniguez et al. [37] developed a novel rotationally asymmetrical dielectric totally internally reflecting concentrator (RADTIRC) with the aim of providing additional gain on two different axis, which increases the electrical output and reducing the usage of PV material, which subsequently reduces the overall cost of the system [38]. This design was created from the DTIRC based on the phase conserving method (PCM). One specific design was fabricated and evaluated both indoors and outdoors [39]. The computer-aided design 109 (CAD) has a geometrical concentration gain of 4.9069, two half-acceptance angles of 30° 110 along the north-south axis (z-axis) and 40° along the east-west axis (x-axis) respectively, a 111 total height of 3 cm and a square exit aperture with sides of 1 cm (see Figure 1) [39]. The 112 concentrator was created using a silicon mould and the material used to produce the 113 concentrator was a type of acrylic known as '6091' (supplied from Renishaw Plc.) with a 114 refractive index of 1.515 [39]. It was found that the design could achieve a maximum opto-115 electronic gain of 4.2 when compared with a bare PV cell [39].

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Figure 1: Prototype RADTIRC dimensions [38].

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Although the first prototype yielded good results, two problems were identified: (i) 121 the dimensions of the concentrator were smaller than the design specifications due to the 122 usage of a silicon mould (see Figure 2), and (ii) the material used in the prototype suffered 123 from discoloration and photo degradation with time (from clear to yellowish colour as 124 illustrated in Figure 3), which reduced its maximum power performance by 7.84% after 2 125 years (from 72.03 mW to 66.38 mW), as presented in Figure 4. This paper aims to further 126 optimise this RADTIRC prototype. In particular interest will be to adopt a better material and 127 a different fabrication technique. 128



Figure 2: The dimension comparison of the CAD file (left) and the actual one fabricatedusing a silicon mould (right).



from discoloration and photo degradation after 2 years.

- 135 Figure 3: The first prototype of RADTIRC fabricated using the 6091 resin which suffered
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Figure 4: The comparison of the RADTIRC-PV structure performance for experiments
carried out in 2012 and 2014.

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142 Sections 2 and 3 explain about the material and the fabrication technique chosen to 143 fabricate the optimised prototype respectively. Section 4 discusses in detail the assembly 144 process of the optimised RADTIRC-PV structure. Afterwards, Section 5 presents the

simulations that were carried out to evaluate the performance of the RADTIRC-PV structure. 145 Subsequently, the experimental setup is laid out in Section 6 before presenting the 146 experimental results in Section 7. Finally the conclusions and future works are presented at 147 the end of the paper. 148

149

150 2. Choosing the material for the optical concentrator

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There are a number of factors that need to be taken into account when choosing the 152 153 material for any LCPV system. For any concentrator that is fabricated from a dielectric material, the requirement include [18]: 154

- i. The cost of the material must be cheaper that the cost of the displaced PV material. 155 This ensures that the design can reduce the overall cost of installation; 156
- ii. The dielectric material must have excellent/high transmission and low absorption to 157 ensure that all the light is transmitted to the solar cell. This will also reduce the optical 158 loss in the system. 159
- 160 iii. The dielectric material must have a higher mechanical strength than the encapsulation material to ensure that the concentrator can maintain its geometrical features. 161
- 162 iv. The material must be durable – it must last long enough to match the longevity of the solar cell attached to it, which normally has a manufacturer guarantee of 163 164 approximately 25 years. For this reason, it should have a high resistance to photo degradation. 165
- 166
- v. The weight of the material must be considered carefully to ensure that the weight of CPV panel is comparable to the traditional panel which will ease the installation; 167
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As indicated by Sarmah [18], many researchers in CPV systems have opted for a 169 variety of dielectric materials to fabricate their concentrator designs. These include 170 polycarbonate and polystyrene. He concluded that despite having excellent optical properties 171 and mechanical strength, these materials have very poor resistance when exposed to 172 prolonged outdoor conditions and suffered from photo degradation, unlike polymethyl-173 174 methacrylate (PMMA) and polyurethane [18].

PMMA has excellent transmittance property (minimum 92%) [40] and a high 175 resistance to photo degradation [41,42]. Rainhart and Schimmel [41] presented the 176 performance of an acrylic PMMA panel installed in Sandia Laboratories in Albuquerque, 177 New Mexico for 17 years and 8 months. They indicated that 'the decrease in optical 178

transmission was surprisingly low', a reduction of only 3%, making it a strong candidate as a
material for LCPV. On top of that, PMMA is 'adaptable to many fabrication techniques' [41]
including extrusion, diamond turning and moulding. Because of these factors, PMMA has
been chosen for the fabrication of many LCPV designs, such as Fresnel lenses [43–45], CPCs
[26,28,29,46], ACPCs [25,47], and luminescent solar concentrators (LSCs) [48–51]. Based
on these facts, it is proposed that the material used for the optimised RADTIRC was PMMA.

185

3. Choosing the fabrication technique

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To produce a solar concentrator, there are various fabrication techniques available to 188 date. These include 3D printing, silicon moulding, injecting moulding and single diamond 189 turning. It has been indicated earlier that the old prototype (studied by Muhammad-Sukki 190 et.al [38,39]) was fabricated from a silicon mould and that this prototype shrinked. 191 Therefore this technique is not considered in this paper. As for 3D printing, the machines can 192 only utilise specific materials predefined by the printer manufacturers and PMMA is not 193 listed as a compatible material to be used for 3D printing [52]. Therefore, this fabrication 194 technique is not discussed here either. 195

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197 **3.1 Injection moulding**

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Injection moulding is a fabrication technique in which a material is injected into the mould to produce the part(s) needed, and is considered as one of the best techniques in producing plastic type parts [53], i.e. thermoplastic and thermoset plastic [54]. The former can be heated and shaped many times (e.g. PMMA, polypropylene and polystyrene) while the latter can only be heated and shaped once (e.g. epoxy resin and polyester resin) [54]. This technique was employed to fabricate the ACPC design by Mallick and Eames [25] and the Fresnel lenses by Zhuang and Yu [55] and Languy et al. [56].

Figure 5 helps to explain the general process of injection moulding [57]. First, the granulated plastic material is poured into the 'hopper' and is fed into the barrel. The presence of heater bands around the outside of the barrel increases the temperature of the barrel to melt the plastic materials according to the desired specifications. The melted plastic is then being pushed along the barrel by the rotating screw into the mould tool and pressure is applied to ensure that the melted materials filled all mould cavities. Afterwards, the melted material is allowed to cool and solidify accordingly. The final moulded part is then taken out byremoving the moving platen from the fixed platen.

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Figure 5: The injection moulding process [57].

The injection moulding technique offers several advantages compared with other plastic moulding techniques. Nanoplas Inc. has indicated some of these advantages which include [58]:

- i. the ability to produced detailed features and complex geometries since the machinecan hold high pressure, unlike other techniques e.g. the silicon moulding;
- ii. producing the part(s) in a more efficient manner. Each design can be tailored
 according to the customer's needs and is pre-programmed into the machine. This
 helps to expedite the moulding process, allowing more parts to be completed or
 manufactured in a single mould (i.e. save the cost of producing a different mould for a
 different part/design). In short, this technique offers more cost effective and better
 mass production capabilities compared to other techniques.
- iii. producing a stronger part due to the capability of using fillers. During the injection
 moulding process, these fillers can be added into the mould which enhances the
 strength of the moulded part. This could not be done with 3D printing or with a single
 point diamond turning process.
- iv. the ability to utilise multiple plastic materials at the same time by utilising the built-inco-injection moulding programme.
- v. reducing the manufacturing cost since the whole process is carried out by a preprogrammed machine which effective reduces the labour cost.
- 238

Despite these advantages, it has one main disadvantage, which is the high initial tooling cost [59]. If the volume of production is minimal, it is not cost effective to use this manufacturing technique. The high initial cost can be 'ignored' if the part is intended for mass production [25]. The British Plastic Federation (BPF) shows that for a typical component¹ fabricated from an injection moulding technique, the unit production cost drops from £1,000 to around £1 per unit if the number of production increases from 1,000 to 1,000,000 units [53].

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247 **3.2 Single point diamond turning**

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249 The single point diamond turning (SPDT) is a fabrication process in which the part is cut from a block of material (known as 'blank') using a single-crystal diamond-cutting tool 250 [60]. The diamond tip cuts the surfaces of the part very accurately down to 1 µm in size and 251 at 1 nm in roughness [61]. This allows the final product to have a 'very good optical surface' 252 [60]. This technique can be utilised to produce any desired part from various materials, 253 including metal, crystal and plastic [60,61]. Some of the examples of concentrators fabricated 254 using this technique include the CPC by Karp and Ford [62], the Fresnel lens by Allsop et al. 255 [63] and the freeform concentrator by Fang et al. [64]. 256

The steps to produce any part by using the SPDT process are as follow [60]: (i) the 'blank' is mounted on a specified fixture in the pre-programmed diamond turning machine; (ii) the appropriate diamond tool is selected depending on the material and the shape of the concentrator and subsequently mounted on the machine; (iii) the optical surface of the blank is machined into the desired concentrator shape, and (iv) the machined concentrator is cleaned to remove the cutting oils or solvents.

Rhorer and Evans [60] have listed some of the advantages of using the SPDT technique over other fabrication methods. These include: (i) the capability to produce good optical surfaces especially at the edges of the optical element; (ii) the ability to produce parts even from soft ductile materials, and (iii) the ease with which any free form optical element can be produced whether it is symmetrical or asymmetrical.

However, there are also some disadvantages of using this technique. The SPDT method has a high rejection rate mainly due to demanding requirement on accuracy and

¹ It is not clear what 'component' was analysed by the BPF. However, the information is useful to demonstrate the reduction in unit cost when the component is produced in high volume.

surface finish [61]. This method is also not suitable for mass production because of time and
cost issues, i.e. it is time consuming to produce one part and the cost per unit is very
expensive (between 100 and 1,000 times more expensive than injection moulding at high
volume) [61].

Taking into account the pros and cons from both methods, and based on the advise 274 from UK Optical Plastic Ltd. [65], it was decided that the optimised design were fabricated 275 using the injection moulding technique. The main determining factor is the cost of 276 production, i.e. it is intended that a larger CPV system that incorporates an array of the 277 278 RADTIRC design to be fabricated and tested indoor and outdoor afterwards. In terms of performance, a detailed study by Huang [66] concluded that the injection moulding process is 279 capable of producing an optical concentrator with high precision provided that accurate 280 mould compensation and precise process control are in place. 281

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283 **4. Assembly process of the RADTIRC-PV device**

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285 **4.1 Design of the RADTIRC**

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287 The optimised prototype of the RADTIRC was fabricated by UK Optical Plastic Ltd using injection moulding [65]. The company utilised an injection moulding machine known 288 289 as BOY 35M [65]. The material chosen for the concentrators is a variation of PMMA known as Altuglas® V825T, which has a refractive index of 1.49² [67]. The concentrator has a total 290 291 height of 3 cm, a square exit aperture of 1 cm by 1 cm, a geometrical concentration gain of 4.9069, an index of refraction of 1.5, and two 'design' half-acceptance angles of $\pm 40^{\circ}$ along 292 293 the x-axis and $\pm 30^{\circ}$ along the z-axis to cater for variation of sun path during the day and throughout the year. This optimised design follows the same RADTIRC specification of the 294 295 one fabricated and tested by Muhammad-Sukki et al. [38,39]. The moulded RADTIRC was polished to an 'acceptable' degree and the final design is presented in Figure 6. 296

² The index of refraction of the PMMA material is 1.49, which almost the same as the index of refraction of the RADTIRC design, which is 1.5.



Figure 6: The final form of the optimised RADTIRC prototype.

It was important to measure the dimensions of the optimised design to check that 302 303 shrinkage had not occurred. The entrance aperture of the optimised RADTIRC was measured using a Vernier gauge, and the measurements were compared with the CAD design (the 304 305 desired design) and the old prototype and the results are indicated in Table 1. The negative 306 reading indicates that the measured dimension is smaller than the desired dimension. The measurement along the y-axis of the optimised design is much closer to the desired 307 measurement, with a deviation of only -2.50%, unlike the old prototype which showed a 308 309 deviation of -3.26%. The possible reason of this small deviation is the over polishing on removing the injection points from the moulded concentrator. However, the reading along the 310 x-axis of the optimised design is slightly larger than the desired measurement, approximately 311 by 3.35%. This is contributed by the 'flash' - a very thin layer of excess material which 312 typically appears between two surfaces of the mould. In conclusion, the dimension of the 313 314 optimised design is much closer to the desired CAD design, and approximate area deviation of 0.8%, unlike the old prototype that has a deviation of -6.2%. 315

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Table 1: Measurements of the entrance apertures of the RADTIRCs.

Description	CAD	Old prototype		Optimised prototype	
	design				
		Measured	Percentage of	Measured	Percentage of
			change with		change with
			respect to the		respect to the
			CAD design		CAD design
	(cm)	(cm)	(%)	(cm)	(%)
Along x-axis	2.206	2.14	-2.99%	2.28	3.35%
Along y-axis	2.636	2.55	-3.26%	2.57	-2.50%

³²¹ 322

323 **4.2 Solar cell**

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The solar cells used for the test were supplied by Solar Capture Technologies Ltd, 325 UK. The monocrystalline silicon wafer has Laser Grooved Buried Contact (LGBC) and is 326 suitable for LCPV applications (maximum solar concentration ratio of up to 10x) [68]. To 327 produce suitable cells for the concentrators, a large silicon wafer with a size of 125 mm x 125 328 mm is patterned and laser-grooved to produced bus bars and fingers. Each bus bar has a 329 width of 1mm while each finger has a width of 0.714 mm. This wafer was then cut into 330 smaller cells with each cell has dimensions of 1 x 1 cm. The process of cutting the silicon 331 wafer into smaller cells introduced some errors in terms of the final sizes of the cells, as 332 presented in Figure 7, with a width deviation of 13%. When the cell is permanently bound to 333 the exit aperture of the concentrator, this deviation introduces some error in the experiment 334 results. If the size of the active area of the solar cell is bigger than the exit aperture area of the 335 concentrator, a higher opto-electronic gain is obtained. Meanwhile, if the size of the active 336 337 area of the cell is smaller than the exit aperture of the concentrator, not all the concentrated rays reach the cell resulting in a lower opto-electronic gain. 338

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Figure 7: The 1 cm² solar cell provided by the Solar Capture Technologies, showing (a) the
schematic of the cell, and (b) the measured dimension of the cell.

- 343 344
- 345 **4.3. Assembly process**
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For the initial test, only one optimised RADTIRC-PV device was constructed. This 347 348 concentrator-PV cell structure was compared with a bare cell. First, two solar cells were tabbed with a flat lead free wire having dimensions of 0.1 mm thickness and 1 mm width. 349 The tabbing wire was soldered using a soldering iron with a power of 81 W and at a working 350 temperature of 350° C. The soldering process was carried out over a short period of time to 351 avoid damaging the solar cells. Furthermore, the tabbing wire was soldered on the edge of the 352 cells to maximise the active area of the cell. The tabbed cells were then glued on two separate 353 glass substrates (70 mm x 70 mm x 40 mm). 354

To permanently mount the RADTIRC on one of the solar cells, a silicon elastomer 355 Sylgard-184® from Dow Corning was chosen as the binding material. This material also acts 356 as an encapsulation material for the solar cell. It has excellent transmittance (94.4%) [69] and 357 can be cured using a simple process [23,38]. The Sylgard-184® was prepared by mixing the 358 359 supplied base and curing agent in a 10:1 weight ratio in a small beaker. The mixture is then placed in a vacuum chamber for 15 minutes to eliminate air bubbles. A Dow Corning Primer 360 92-023 was applied on the solar cells for a better adhesion between the Sylgard and the cell. 361 Once the Sylgard was free from air bubbles, the mixture was poured on top of the solar cell. 362 Afterwards, the RADTIRC was placed carefully on top of the solar cells and the elastomer 363 was left to cure for 48 hours under room temperature to ensure good binding between the 364 concentrators and the cell. The photograph of the two samples (an RADTIRC-PV device and 365 a bare solar cell) is presented in Figure 8. 366



Figure 8: Photograph of an RADTIRC-PV structure and a bare solar cell.

- 372 5. Simulation of the Optical Concentration Gain
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Prior to carrying out the indoor experiments, an optical concentration analysis was carried out to determine theoretically the performance of the optimised RADTIRC-PV structure when exposed to the sun. The optical concentration gain, C_{opt} is defined as [70,71]:

$$C_{opt} = \frac{\beta_{exit}}{\beta_{entrance}} \times C_g \tag{1}$$

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where β_{exit} , $\beta_{entrance}$ and C_g are the flux (in W) at the exit aperture, the flux (in W) at the 379 380 entrance aperture and the geometrical concentration gain respectively. The ratio of the flux at 381 the exit aperture to the flux at the entrance aperture is also known as the optical efficiency, η_{opt} of a concentrator [70,71]. In theory, any rays within the acceptance angle of the 382 concentrator will emerge at the exit aperture of the concentrator [70], i.e. the rays entering 383 384 from the side profile of the concentrator are not being considered in the simulation. The analysis evaluates the gain performance of the concentrator when exposed to rays at different 385 angles of incidence. 386

First, the 3-D surface coordinates of an RADTIRC are generated from MATLAB® in a point cloud format. This file is then imported into GeoMagic® software to produce a CAD model from which an Initial Graphics Exchange Specification (IGES) format file model is obtained, such as the one illustrated in Figure 1. Subsequently, this IGES file is imported into an optical system design software called ZEMAX® to conduct the ray tracing analysis. A simulation using any optical system design software such as ZEMAX® is better than using a programming software (i.e. MATLAB®) because [19]: (i) it gives flexibility in analysing any optical devices; (ii) it can analyse a greater number of incoming rays which results in better resolution of the optical flux distribution; (iii) it shortens the simulation times significantly, and (iv) it provides better result representations at the end of the simulation.

397 The setup for the ray tracing analysis in ZEMAX® is shown in Figure 9. A square light source is selected to produce one million collimated rays and is configured to produce 398 an incoming power of 1,000 W. The CAD file of the RADTIRC is placed at a distance of 38 399 cm from the light source³. To calculate the number of rays at the entrance and exit aperture 400 401 of the RADTIRC, two photo detectors are attached at both ends of the concentrator. The simulation is carried out by first, firing the rays perpendicular to the cell where the number of 402 rays at the entrance and exit apertures are calculated and recorded. This is repeated by 403 increasing the rays' incidence angle by 5° from horizontal plane until a maximum angle of 404 405 60° is reached.





Figure 9: Ray tracing analysis conducted in ZEMAX®.

 $^{^{3}}$ This distance is chosen to match the setup for the indoor experiment, which will be explained later in Section

Figure 10 shows the optical concentration gain and the optical efficiency variations 411 with angle of incidences of the optimised RADTIRC. From the simulations, it is observed 412 that the concentrator provides a substantial gain within its 'design' half-acceptance angle, 413 achieving a maximum value of 4.62 and an optical efficiency of 94.2% at normal incidence. 414 The optimised RADTIRC achieved 90% of its peak optical concentration gain and optical 415 efficiency values when the angle of incidence was $\pm 16^{\circ}$ along both the x and z-axes. These 416 417 values reduced to half when the angle of incidence of the rays reached $\pm 36^{\circ}$ and $\pm 30^{\circ}$ along the x and z-axis respectively. It can also be observed that the optical concentration gain was 418 always greater than 1 (the optical efficiency higher than 10%) when the angle of incidence 419 was less than and equal to the value of the 'design' half acceptance angle. Beyond the 420 'design' half-acceptance angle, both parameters reduce to 0. 421

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RADTIRC.

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Figure 10: The optical concentration gain and the optical efficiency of the optimised

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- 428 **6. Experimental setup**
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The indoor experimental setup to evaluate the characteristic of the RADTIRC-PV structure is illustrated in Figure 11. A Class AAA solar simulator (Oriel® Sol3A Model 94083A) from Newport Corporation equipped with an air mass (AM) 1.5G filter, was used to reproduce the spectral emission of the sun at the earth surface, providing uniform

illumination with a low marginal error of $\pm 2\%$ within a 20 x 20 cm footprint. A variable slope 434 base was placed approximately 38 cm beneath the solar simulator's lamp and within the 435 uniform illumination area of the lamp. The variable slope base was used together with a 436 digital tilt meter to accurately measure the tilt angle of the base. A Keithley source meter 437 (Model 2440) with 4-wire connections was utilised here to act as a high accuracy loading 438 circuit [21,39]. The source meter was connected to a computer which has already installed the 439 Lab Tracer 2.0 software from National Instruments® to measure the electrical output from 440 the PV cells. The RADTIRC was placed on the variable slope base set at 0° inclination. 441 Under the standard test conditions (STC), the solar simulator was configured to produce an 442 irradiance of 1,000 W/m² and the room temperature was maintained at 25°C. The irradiance 443 can be varied by turning the integrated variable attenuator at the solar simulator and the 444 irradiance reading can be measured using the PV reference cell system. The door and 445 windows of the room were closed to avoid unwanted air flow and minimise temperature 446 variations and the room windows had blinds to prevent unwanted light from entering the 447 room. In order to obtain the current-voltage and power-voltage curves of the RADTIRC-PV 448 cell (and of the bare cell) and from these characterize the angular variation of the 449 optoelectronic gain of the concentrator, the sample (RADTIRC-PV or the non-concentrating 450 451 cell) was exposed to the solar simulator light for short periods of time (approximately 5s) using a shutter. This was done to minimise the increase in the solar cell's temperature which 452 453 would have affected the readings of the open circuit voltage and the fill factor. For each measurement, the short circuit current (I_{sc}) , the open circuit voltage (V_{oc}) , the maximum 454 current (I_{max}), the maximum voltage (V_{max}), the maximum power (P_{max}) and the fill factor 455 (FF) were determined and recorded. The performance of the RADTIRC-PV structure and the 456 457 non-concentrating cell were evaluated for these cases: (i) under STC at 0° inclination; (ii) under STC at different angles of incidence between -60° and 60°, and (iii) under various 458 levels of solar radiation at 0° inclination. 459



Figure 11: Indoor experimental setup.

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- 465 **7. Indoor experimental results**
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467 7.1 Characteristics of the optimised RADTIRC-PV structure under STC at 0° 468 inclination

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Figure 12 shows the current-voltage (I-V) and the power-voltage (P-V) characteristics 470 471 of the RADTIRC-PV structure under the STC. From Figure 12, the short circuit current of the 472 bare cell was recorded at 35.5 mA. However, the introduction of the RADTIRC in the design increased the short circuit current by a factor of 4.48 when compared with the bare cell, 473 generating 159.0 mA. The maximum power on the other hand increased from 15.4 mW to 474 75.9 mW when the RADTIRC-PV structure was compared with the bare cell, giving a 475 maximum power ratio of 4.93. The experiment showed that the RADTIRC increased the fill 476 factor from 77% to 78%. In terms of electrical conversion efficiency, the introduction of the 477 optimised RADTIRC increases this value from 15.38 % to 15.45%. When the short circuit 478 current from the optimised RADTIRC-PV design was compared with the old prototype, the 479 short circuit current showed a superior reading, an increase of 13.57%. A similar trend was 480 481 observed for the maximum power point reading where the optimised RADTIRC design 482 increased the reading to 76 mW from only 66 mW generated by the old prototype.



prototype, and the bare cell.

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489 **7.2 The angular response of the RADTIRC under STC**

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491 The next part of the experiment consisted in characterising the angular response of the 492 RADTIRC. This experiment evaluates the electrical performance of the system when the sun 493 path varies throughout the day. Instead of tilting the source, the variable slope base was tilted 494 from 0° to 60° at increments of 5° , with each tilt angle measured using the digital level meter.

Figure 13 compares the short circuit currents generated by the RADTIRC-PV 495 structure (the optimised design and the old prototype) with the ones generated by the bare cell 496 for angles of incidence within the $\pm 60^{\circ}$ range. In general, the short circuit current showed a 497 decreasing trend when the angle of incidence increased. In Figure 13, it was found that the 498 optimised RADTIRC-PV structure achieved its maximum short circuit current at normal 499 incidence, with the value of 0.159 A recorded. The optimised RADTIRC-PV structure 500 achieved 90% of its peak short circuit value when the angle of incidence was $\pm 20^{\circ}$ along the 501 502 x-axis and $\pm 18^{\circ}$ along the z-axis. This value reduced to half when the angle of incidence of the rays reached $\pm 32^{\circ}$ and $\pm 28^{\circ}$ along the x and z-axis respectively. When the angle of 503 incidence was equal to the 'design' half-acceptance angles, the short circuit current was 504 505 always higher than the one generated from the bare cell, as illustrated in Figure 13. Beyond

this angle of incidence, the short circuit current continued to decrease eventually reaching 0
A. The results from the experiment also indicate that within the 'design' half-acceptance
angles, the optimised RADTIRC-PV structure produces much higher short circuit current
than the old prototype, e.g. an increase of 13.57% at the normal angle of incidence.

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Figure 13: The short circuit currents generated from the optimised RADTIRC-PV structure,
the old prototype and the bare cell.

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As for the bare cell, although the short circuit current value reduced when the angle of incidence increased, it showed a gradual drop from its peak value. It achieved 50% of its maximum short circuit current value when the angle of incidence was approximately $\pm 60^{\circ}$. This reduction was contributed mainly due to the cosine effect⁴ [23,72].

There are two ways to investigate the performance of the concentrator. One is by looking at its opto-electronic gain, and the other is by analysing its optical efficiency. The opto-electronic gain measures the ratio of short circuit current produced from a CPV cell to the one generated from a non-concentrating cell [23,39,73]. The optical efficiency, on the other hand, is obtained by dividing the opto-electronic gain by the RADTIRC's geometrical concentration ratio value [71,74]. A higher opto-electronic gain is desirable since it translates into a higher short circuit current, while a higher optical efficiency means that a higher

⁴ The cosine angle effect occurs when the surface of a flat solar cell is not normal to the sun radiation (in this case the solar simulator's radiation). The effective value of the sun radiation on the cell reduces by the cosine of the angle between the sun and the cell's normal [72].

527 percentage of the rays that fall on the front surface area are transmitted to the exit aperture of 528 the concentrator. From the opto-electronic gain, the experimental half-acceptance angle of the 529 RADTIRC-PV structure was determined, which is defined as the angle where the gain 530 reached 90% of its peak value [74]. The opto-electronic gain and the optical efficiency of the 531 optimised RADTIRC-PV structure are presented in Figures 14 and 15 respectively.

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Figure 14: The opto-electronic gain of the optimised RADTIRC-PV structure and the old-

prototype.

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For the optimised RADTIRC-PV structure, the maximum opto-electronic gain was 538 obtained at normal incidence, with a value of 4.48, unlike the old prototype with only at 3.93. 539 The optimised design achieved 90% of its peak opto-electronic gain value when the angle of 540 incidence was $\pm 20^{\circ}$ along the x-axis and $\pm 18^{\circ}$ along the z-axis. This value reduced to half 541 when the angle of incidence of the rays reached $\pm 32^{\circ}$ and $\pm 28^{\circ}$ along the x and z-axis 542 respectively. When the angle of incidence was equal to the 'design' half-acceptance angles, 543 the gain was always higher than 1, as indicated in Figure 14. Outside this incidence angle, the 544 opto-electronic gain dropped gradually to 0. It can be concluded that within the 'design' half-545 acceptance angles, the optimised RADTIRC-PV structure produces much higher opto-546 electronic gain than the old prototype. 547



Figure 15: The optical efficiency of the optimised RADTIRC-PV structure and the old 550 prototype.

A similar trend is observed when evaluating the optical efficiency of the RADTIRC-554 PV structures, as illustrated in Figure 15. For the optimised RADTIRC-PV structure, the 555 maximum optical efficiency was obtained at normal incidence, with a value of 91.3%, much 556 higher than the value obtained from the old prototype of only 80.1%. The optimised design 557 achieved 90% of its peak optical efficiency value when the angle of incidence was $\pm 20^{\circ}$ 558 along the x-axis and $\pm 18^{\circ}$ along the z-axis. This value reduced to half when the angle of 559 incidence of the rays reached $\pm 32^{\circ}$ and $\pm 28^{\circ}$ along the x and z-axis respectively. Outside this 560 incidence angle, the optical efficiency dropped gradually to 0. It can be concluded that within 561 the 'design' half-acceptance angles, the optimised RADTIRC-PV structure produces much 562 higher optical efficiency than the old prototype. 563

The opto-electronic gains and the optical efficiency trend were also compared with 564 the optical results obtained from the simulation using the optical simulation software 565 ZEMAX®, discussed previously in Section 5. The results from the experiments show good 566 agreement with the simulation data, with a deviation of 3.5% at normal incidence. When the 567 angle of incidence is between $\pm 25^{\circ}$ and $\pm 35^{\circ}$, the deviation increases mainly attributed to rays 568 impinging from the side profile of the concentrator being directed to the cell, which are not 569 taken into account during the simulation. This deviation can also be attributed to several other 570 factors, which include: (i) manufacturing errors causing the dimensions of the concentrator to 571 differ from the actual design dimensions, uneven surfaces of the entrance aperture and over 572 polishing on the profile of the side wall, and (ii) assembly errors during the soldering of the 573

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tabbing wire on the solar cells which reduced the effective area of each cell and misalignmentbetween the solar cell and the exit aperture of the concentrator.

In terms of the variation of the maximum power output with angle of incidence, a 576 similar trend to the one obtained for the short circuit current was observed, as illustrated in 577 Figure 16. The peak value of the maximum power was recorded at 75.9 mW and 15.4 mW 578 from the optimised RADTIRC-PV structure and the non-concentrating cell respectively. This 579 translates to a maximum power ratio (power gain) of 4.93. The maximum power generation 580 of the optimised RADTIRC-PV structure reached 50% of its peak value when the angle of 581 582 incidence was $\pm 32^{\circ}$ and $\pm 28^{\circ}$ along the x and z-axes, before gradually dropping to 0 W when the angle of incidence continued to increase. It can be observed that the optimised 583 RADTIRC-PV structure produced a much higher maximum power output when compared 584 with the old prototype (only 66.4 mW at peak value), an increment of 14.3%. As for the 585 maximum power from the bare cell, the reduction of the maximum power was more gradual, 586 achieving a 50% of the peak value when the angle of incidence was closer to $\pm 60^{\circ}$. 587





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Figure 16: The maximum power generated from the optimised RADTIRC-PV structure and
the old-prototype.

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594 **7.3 Variation of solar irradiance at 0° inclination at 25°C.**

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The experiment was repeated to evaluate the variation of the I-V and P-V characteristics under various levels of solar radiation. This investigation is helpful to evaluate the performance of the RADTIRC-PV structure in locations that have higher or lower average levels of solar irradiance. This was done by turning the variable attenuator control of the solar simulator to change its output from 800 W/m² to 1,100 W/m², at increments of 100 W/m². The results are presented in Figures 17 and 18. Based on the findings from Sections 7.1 and 7.2, it is concluded that the optimised RADTIRC-PV structure performs better than the old prototype. For this reason, this section only compares the performance of the optimised RADTIRC-PV structure with the non-concentrating cell.

When the intensity of the solar simulator increased from 800 W/m^2 to 1,100 W/m^2 , 605 the short circuit current from both samples increased from 0.126 A to 0.169 A for the 606 RADTIRC-PV structure and from 0.028 A to 0.039 A for the bare cell. In terms of maximum 607 power, the change in the simulator's intensities caused the reading from the samples to rise 608 from 0.060 W to 0.082 W and from 0.012 W to 0.017 W for the RADTIRC-PV structure and 609 the bare cell respectively. In general, the RADTIRC-PV structure produces a higher short 610 circuit current and a higher maximum power when exposed to higher level of solar radiation, 611 as expected, which is more desirable by the consumers when they want to reap higher 612 financial return from the feed-in tariff scheme. However, the increase in irradiance coupled 613 with the usage of a concentrator also increases the temperature of the PV cell, which will 614 subsequently reduce the electrical performance [39,75] and even may cause accelerated aging 615 of the PV cell [76]. It is therefore crucial for any LCPV system to have the right RADTIRC 616 design and cooling system to ensure that the performance of the solar cell is at its optimum. If 617 618 an RADTIRC design with higher gain is needed, the solar cell could be cooled by introducing a hybrid/thermal system (either using air or water), that utilises the co-generated heat to 619 620 produce hot water and stimulate ventilation [30,38,77].



- 632 8. Conclusions
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The aim of this paper is to carry out the first optimisation on the concentrator known as the RADTIRC developed by Ramirez-Iniguez et al. [37] at the GCU. Despite the first prototype yielding good results, two problems were identified: (i) the dimensions of the concentrator were smaller than the design specifications due to the usage of a silicon mould, and (ii) the material used in the prototype suffered a discoloration and photo degradation with time which reduced its maximum power performance by 7.84% after 2 years.

The criteria for choosing the most suitable material was presented and it was found that PMMA is one of the suitable materials to fabricate the concentrator – namely due to its excellence transmittance (92%) and high resistance to photo degradation properties [40–42]. Afterwards, two different fabrication methods were discussed, i.e. injection moulding and SPDT. The former is chosen due to its cheaper cost to mass produce more concentrators (for future analysis) than the latter.

The performance of optimised prototype was analysed by using ZEMAX® and it was 646 647 found that the optimised prototype was capable of producing a peak optical concentration gain of 4.62 and a maximum optical efficiency of 94.2% at normal incidence. To verify the 648 649 simulation results, controlled indoor experimental work was carried out and the setup and the results obtained from the experiments were presented in detail. It was found that the 650 651 optimised RADTIRC-PV structure generated an opto-electronic gain of 4.48 when compared with the bare cell under the STC. A comparison with the old prototype showed that the 652 653 optimised RADTIRC-PV structure design increased the short circuit current by 13.57% under the STC. In terms of opto-electronic gain and optical efficiency, the results from the 654 655 experiment showed good agreement with the simulation data, with a deviation of 3.5% at the peak value. This deviation can be attributed to several factors, which include (i) 656 manufacturing errors causing the dimensions of the concentrator to differ from the actual 657 design dimensions, uneven surfaces of the entrance aperture and over polishing on the profile 658 of the side wall, and (ii) assembly errors during the soldering of the tabbing wire on the solar 659 cells which reduced the effective area of each cell and misalignment between the solar cell 660 661 and the exit aperture of the concentrator.

Based on these findings, some future work that could be investigated include: (i) creating a small solar window incorporating an array of these RADTIRC design and evaluate its long term performance under real conditions; (ii) analysis of the effect of diffuse radiation on the concentrator, and (iii) effect of the temperature on the performance of the concentrator. 666

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