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What natural and social scientists need from each other for effective marine environmental assessment: insights from collaborative research on the Tomakomai CCS demonstration project.

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1 What natural and social scientists need from each other for effective marine environmental
2 assessment: insights from collaborative research on the Tomakomai CCS Demonstration Project

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14

15 ABSTRACT

16

17 We propose actions to guide collaboration between ‘natural’ and ‘social’ science disciplines in
18 marine environmental issues. Despite enthusiasm for interdisciplinarity on environmental issues,
19 institutional and disciplinary barriers remain for interdisciplinary working in practice. This paper
20 explores what natural and social scientists need from each other for more effective impact
21 assessment in the marine environment. We reflect on collaboration between natural- (especially
22 marine biology) and social scientists (especially environmental sociology) researching the
23 Tomakomai CCS Demonstration Project in Japan; including subsequent expansion of the research
24 team and wider evaluation of project outcomes. We identify two areas of mutual support:
25 community and stakeholder engagement on marine monitoring; and identification of points in
26 regulatory/policy processes where qualitative findings may gain traction alongside quantitative

27 results. We suggest interdisciplinary collaboration for marine environmental research could be
28 helped by making time to learn from each other within projects; and by working together more
29 closely in the field.

30

31 KEYWORDS: impact assessment; interdisciplinarity; marine social science; sub-seabed carbon dioxide
32 storage

33

34 1. Introduction

35

36 This paper proposes practical principles for how social and natural science disciplines can work
37 collaboratively for effective impact assessment in the marine environment. There is well-
38 documented interest in assessing social as well as environmental impacts of new marine and coastal
39 developments (Mabon et al, 2017; Vanclay, 2012); and in developing more refined impact
40 assessments, deliberative processes and valuation systems (Skorstad et al, 2018). This reflects a
41 broader understanding that attaining resilient and sustainable forms for coastal communities
42 requires attention to both ecological *and* socio-economic elements (e.g. Beatley, 2018). Integrating
43 natural and social science knowledge systems can lead to the refinement of environmental and social
44 impact assessments, in a way that more fully captures the extent to which a new marine development
45 supports the resilience and sustainability of nearby communities. Yet in a marine environment,
46 governance processes are still emerging, and there are limits to what can be known with certainty
47 compared to on land. This adds additional complexity to the already challenging task of linking social
48 and natural science-based knowledge systems. We respond to this challenge through evaluation of
49 crossdisciplinary research into the environmental and social impacts of the Tomakomai carbon
50 capture and storage (CCS) project, a climate change mitigation demonstration project storing carbon
51 dioxide underneath the seabed in Hokkaido, Japan (see e.g. Tanaka et al, 2017); and reflection in
52 relation to insights from other crossdisciplinary marine research in Japan.

53

54 2. Linking natural and social science approaches in marine environmental assessment

55

56 Before engaging with existing policy and scholarship, it is important to clarify three terms. Yates et
57 al. (2015) explain that *multidisciplinary research* involves researchers in different disciplines working
58 independently or sequentially to address a common goal or problem; *interdisciplinary research*
59 involves working from different disciplinary perspectives to integrate knowledge and address a
60 common goal or problem; and *transdisciplinary research* happens when researchers work jointly to
61 address complex problems from diverse scientific and societal perspectives, altering discipline-
62 specific approaches and focusing on problem solving for the common good. Transdisciplinary
63 research is also more likely to involve co-creation of research problems and knowledge with
64 stakeholders from society and policy (Newton & Elliott, 2016). We use *crossdisciplinary research* as a
65 generic term for work spanning disciplines, in situations where more than one mode of working may
66 exist or where the mode may shift (e.g. from multi- to interdisciplinary) over time. We are primarily
67 concerned with creating the conditions to move from multi- to interdisciplinary research, and laying
68 the groundwork for progressing to transdisciplinary modes of working.

69

70 To be clear, we do not mean to treat ‘natural science’ and ‘social science’ as single entities. We
71 recognise there is significant difference between how different disciplines, sub-disciplines and
72 methodological schools operate, for instance the distinction between modellers and observers
73 (Steiner et al., 2016). We use the terms ‘natural science’ and ‘social science’ as a point of departure
74 for reflection on how researchers working on ecological systems and researchers working on social
75 systems may better collaborate in practice for effective assessment in marine environments.

76

77 2.1. The scholarly case for marine interdisciplinary working

78

79 In coastal nations, the sea is a key resource for sustainability. Yet conflicting environmental, social
80 and economic concerns may rule out technically viable offshore activities if not addressed
81 appropriately or early (e.g. Kim et al, 2016). Marine environments are difficult to study, giving rise to
82 inevitable uncertainties when assessing the potential effects on the environment of new
83 developments in the sea (Wright et al, 2016). Different people will interpret the meaning of these
84 uncertainties differently depending on their social and political standpoint (e.g. Ferguson, Solo-
85 Gabriele, & Mena, 2020). Moreover, governance processes such as zoning and planning are not
86 necessarily as well developed in a marine context as they are on land (Soukissian et al., 2017).
87 Nonetheless, the prominence of marine environmental regulations with high degree of consultation,
88 for example the use of local stakeholder panels to drive proposals for Marine Protected Areas in the
89 UK, is increasing (Newton & Elliott, 2016). It is recognised that there is a need to integrate different
90 data sources, both qualitative and quantitative, for effective marine monitoring (Addison et al,
91 2018). Deliberative approaches have been proposed as a way to bring local knowledge of long-term
92 marine environmental changes and use of marine resources into environmental impact assessments,
93 for example devolving decisions based on impact assessment in the marine environment to the
94 public or their representatives (Benham & Hussey, 2018).

95

96 The additional complexities and regulatory uncertainties when undertaking impact assessment in a
97 marine environment are coupled with a turn towards more participatory modes of environmental
98 governance and impact assessment in a number of national contexts including (but not limited to)
99 Scotland (Roberts & Escobar, 2015); Taiwan (Fan, 2020); and Japan (Mikami, 2015). As such, the
100 need for crossdisciplinary working is perhaps even more pronounced for marine environmental
101 issues than on land. These trends also reflect a turn in sustainability research towards integration
102 and implementation of different knowledge systems for researching complex problems (Bammer,
103 2013; Wiek, Withycombe, & Redman, 2011). Newton & Elliott (2016) chart a move from multi- to

104 inter- to transdisciplinary research in marine environmental management, which increasingly relies
105 on links between science, society and policy from the outset of the research.

106

107 However, integration of different types of knowledge may be limited by the physical time and
108 budgetary constraints of project-based research, or by misunderstanding or even distrust of
109 different ways of producing knowledge (Teel et al., 2018). The root of this distance may lie in
110 ontological, epistemological and methodological differences between natural sciences and social
111 sciences (especially for qualitative research) and the difference in the nature of knowledge obtained
112 by different approaches. Natural sciences tend to pursue objective, universal or logical knowledge;
113 whereas in social sciences, especially qualitative research, reality is theorised from the discourses
114 and experiences of people, an approach known as social constructivism. If left unchecked, these
115 different interpretations of what is real (ontology) and what constitutes valid knowledge
116 (epistemology) can reinforce a 'division of labour' between natural and social sciences in
117 environmental risk research, inhibiting the possibility to understand the links between risk
118 calculation, social action and the material outcomes of risk (Wong & Lockie, 2018).

119

120 2.2. Institutional and policy landscape for interdisciplinary marine research

121

122 On one hand, institutional factors can discourage researchers, especially earlier in their careers, from
123 interdisciplinary working. The British Academy (2016) review into interdisciplinarity identifies
124 pragmatic pressure for academics to work and publish in their disciplinary 'home', which may
125 dissuade researchers from more experimental or risky forms of interdisciplinary collaboration.

126 National assessments of research quality, which in turn can influence the levels of funding
127 institutions receive, may be driven by traditional disciplinary structures (Copley, 2018). Regulators
128 and funders may want specific types of data to meet environmental assessment and monitoring
129 regulations (Wright et al., 2016) rather than more 'experimental' transdisciplinary approaches. This

130 might explain why social science is still often included in impact assessment-type processes as an
131 afterthought – or viewed as public engagement/communication – rather than as a more integral
132 part of the research process (Mabon et al, 2015). Indeed, for monitoring, evaluation and reporting
133 for marine issues, Addison et al (2018: 950) hold that “collaboration is key [...]; (t)o facilitate the
134 transfer of technical expertise and information, newer modes of interdisciplinary collaboration and
135 knowledge exchange are required.” Whilst acknowledgement of interdisciplinary collaboration is
136 welcomed here, there is arguably an underlying assumption that interdisciplinary working serves to
137 ‘transfer’ techno-scientific knowledge to the social domain, as opposed to a more iterative process.
138 Liu et al (2018), assessing the views of EIA commissioners for desalination projects in Taiwan, see an
139 entrenched need to present ‘impartial’ data to ‘convince’ stakeholders, and an aversion to long,
140 drawn-out assessment processes which delay industrial development. Wright et al (2016) argue that
141 the quality and extent of societal consideration in marine impact assessment remains at the mercy
142 of jurisdiction and project.

143

144 On the other hand, research funders are placing increasing emphasis on the early and meaningful
145 integration of different knowledge systems. In addition to disciplinary excellence, national research
146 quality assessments evaluate societal impact from research (e.g. Copley, 2018). The Belmont Forum,
147 an international partnership of funding organisations supporting research into global environmental
148 change, expects in its assessment criteria that projects will foster inter- and transdisciplinary working
149 across scientific disciplines, especially between natural and social sciences (Belmont Forum, 2016).

150 UK Research and Innovation’s Sustainable Management of UK Marine Resources programme,
151 launched in 2020, requires applicants to explicitly state how they will facilitate a ‘step change’ in
152 interdisciplinary working through their projects. More broadly, the need for interdisciplinary working
153 is reflected in the enthusiastic adoption of the responsible research and innovation (RRI) agenda by
154 funders at national (EPSRC, n.d.) and international (RRI Tools, n.d.) levels. RRI works to ensure that
155 technology serves society and to mitigate against technologies reaching deployment stage that are

156 societally unacceptable, through research design that feeds social science into technical research
157 and development processes from an early stage (e.g. Stilgoe, Owen, & Macnaghten, 2013). RRI is
158 likely to become increasingly important for new marine innovations such as offshore CCS, deep-sea
159 mining and larger-scale renewables, due to the uncertainty, broad spatial and temporal reach, and
160 potential for profound societal impacts associated with these technologies.

161

162 2.3. Contribution of the paper

163

164 The above sections illustrate that whilst there is growing recognition of the necessity of
165 interdisciplinary working from researchers, policymakers, regulators and funders, barriers remain
166 when it comes to putting interdisciplinary research into practice. The purpose of this paper is
167 therefore to work through these complexities and offer practical insights for better connection
168 between knowledge systems in marine environmental assessment. Given the social and
169 environmental complexities of coastal and marine environments, better linkage between
170 environmental and social domains at the assessment stage may provide a more nuanced evidence
171 base to support decisions, and in turn lead to outcomes that support both the ecological and social
172 sustainability and resilience of communities close to new marine developments. We recognise that
173 the need to meet regulatory requirements for data collection and reporting may make more radical
174 forms of ‘interdisciplinary’ working difficult in an applied context. What we therefore aim for is an
175 incremental approach to interdisciplinary working for marine environmental assessment, one that
176 respects existing differences and strengths but tries to take advantage of opportunities for natural
177 and social sciences to strengthen each other’s work and thus provide better impact assessment for
178 society. We illustrate what such interdisciplinary working can look like in practice through reflection
179 on collaborative research for a specific case study.

180

181 3. The Tomakomai CCS Demonstration Project and background to collaboration

182

183 3.1. Background to Tomakomai CCS Demonstration Project

184

185 The Tomakomai CCS Demonstration Project is Japan’s largest demonstration of carbon dioxide
186 capture and storage to date, and among the first integrated CCS projects utilising offshore storage
187 globally. CO₂ is captured from processes within an oil- and gas refinery, and injected from an on-land
188 injection site into two sub-seabed formations under Tomakomai Bay via a well drilled directionally
189 beneath the seabed. Injection commenced in 2016 and concluded in 2019, after 300,000 tonnes of
190 CO₂ were injected. Post-injection monitoring will continue at the storage site for the near future.

191

192 Several factors make the Tomakomai CCS Demonstration Project significant from an integrated
193 natural and social science perspective. First, unlike many other CCS projects globally, the project is
194 adjacent to a large urban area in Tomakomai City in Hokkaido, which has a population of around
195 160,000. Second, Tomakomai Bay is also a site for a Sakhalin surf clam fishery. Prior to the
196 commencement of injection and during the operation of the project, local fisheries cooperatives had
197 expressed concern – fuelled by previous negative experiences with industrial activity – about
198 potential effects of CO₂ storage/leakage and associated surveying activity on fish stocks. Third,
199 during the course of operation, the area surrounding Tomakomai had two large earthquakes over
200 winter-spring 2018-19. While an expert panel concluded there was no relation between CO₂
201 injection and the earthquakes and no evidence of leakage (Japan CCS Company, 2018), the fact that
202 the epicentre of both earthquakes was 20km from the injection point received significant attention
203 on social media.

204

205 3.2. Background to collaboration

206

207 This paper reflects on collaborative research into the environmental and social impacts of the
208 Tomakomai CCS Demonstration Project. One important distinction to note is that whereas the
209 marine environmental monitoring research carried out by the team has been required by law for
210 environmental impact assessments and for storage and injection permitting – and has fed into
211 regulatory decisions – the social science research has not been undertaken as part of any formal
212 ‘social impact assessment’, has not fed into any regulatory or policy decisions in Japan, and has not
213 been conducted by or for the operator. In other words, the social science research is primarily a
214 piece of applied academic research. Nonetheless, findings from social science research have been
215 fed back to the project operator, to relevant government departments, and to local authorities in
216 Tomakomai across the span of the CCS project’s development and operation.

217

218 During the project construction phase (pre-2016), research team members worked separately on
219 different aspects of the project. Marine biology researchers, through the Research Institute of
220 Innovative Technology for the Earth (RITE) and the Marine Ecology Research Institute (MERI),
221 conducted baseline surveys (observation of physical, chemical and biological aspects of seawater
222 and sediment) to support the environmental impact assessment and injection and storage permit
223 applications. More specifically, physical aspects included seawater current and sediment grain size
224 compositions; chemical aspects included concentrations of carbon dioxide and oxygen in seawater
225 and sediment pore water; and biological aspects included species composition and biomass, from
226 microorganisms (e.g. plankton) to macroorganisms (e.g. fish) in the seawater and in the sediment. A
227 social science researcher, meanwhile, conducted stakeholder interviews in Tomakomai and Tokyo to
228 understand initial perceptions of and reactions to the CCS project.

229

230 From the start of the carbon dioxide injection phase (spring 2016) through to the end of injection in
231 2020, the natural and social science researchers began working more closely together. The benefit of
232 closer collaboration was identified in the pre-injection phase, when it became apparent that social

233 science expertise could help guide stakeholder engagement for complex technical monitoring data,
234 and also that a sensitive approach and good coordination was required to ensure residents and
235 stakeholders in Tomakomai did not feel over-engaged by different CCS researchers. Accordingly,
236 closer collaboration included a social science researcher undertaking a two-month secondment to
237 one of the natural science-focused research institutions involved in monitoring above the storage
238 site; the core research team working together to interview stakeholders in Tomakomai (with
239 interview campaigns in 2016, 2017 and 2020); and expansion of the research to include
240 documentary and archival research to understand environmental history and climate change
241 responses in Tomakomai more widely (see e.g. Mabon et al., 2017; Mabon, 2020). As outlined in
242 Sections 3 and 4, the research team were motivated to work more closely together to access key
243 and/or hard to reach stakeholders (e.g. fishers), to understand communication needs and strategies
244 for scientific monitoring data, and also to develop broader interdisciplinary research capacity within
245 their institutions.

246

247 3.3. Reflection and evaluation

248

249 To facilitate reflection and evaluation on interdisciplinary working for marine environmental issues,
250 research relating to the Tomakomai CCS Demonstration Project was included as a case study in a UK-
251 Japan research network into resilience to environmental change for coastal communities from 2019
252 onwards. The core Tomakomai research team were all members of this UK-Japan network, alongside
253 experts in integrated coastal zone management, science communication and oceanography from
254 Tokyo University of Marine Science and Technology; and human geography from Robert Gordon
255 University in the UK. This paper is hence part of the reflection and evaluation process for the
256 collaborative Tomakomai research, and was jointly written by network members spanning different
257 disciplinary backgrounds.

258

259 Two actions to facilitate interdisciplinary dialogue took place. First was small-scale follow-up
260 interview fieldwork in Tomakomai and lab visits, undertaken by an expanded core research team
261 including early-career researchers from the natural and social sciences. This allowed discussion on
262 how different types of knowledge were produced, and on how researchers at an earlier career stage
263 may connect their practice with different ways of working. Second was opening up the Tomakomai
264 process to discussion and scrutiny from the wider network of TUMSAT and RGU researchers,
265 incorporating a broader range of disciplinary backgrounds (e.g. science communication, integrated
266 coastal zone management, oceanography). Workshop-type interaction and subsequent online
267 discussion were held with the aim of formalising learnings from the Tomakomai collaboration and
268 comparing the insights to other marine environmental issues the network had experience with,
269 specifically engagement with fishers during marine monitoring in the Ariake Sea in south-west Japan
270 and transdisciplinary working with fishers on education for sustainable development programmes in
271 Tokyo Bay. The points in Sections 4,5 and 6 reflect the insights gained from these discussions.

272

273 4. What social scientists learned during the collaboration

274

275 The first learning point from the social science side of the collaboration relates to uncertainty. In the
276 Tomakomai collaboration, the team found that *crossdisciplinary working can help social scientists to*
277 *know better the grounds on which natural scientists can make claims with certainty, and where*
278 *uncertainties or unexpected factors remain. This in turn can lead to new conceptual insights.* A good
279 example of the benefits of crossdisciplinary collaboration and knowledge exchange concerns the
280 suspension of CO₂ injection at the Tomakomai site in spring 2016 due to detection, during routine
281 monitoring, of seawater CO₂ levels exceeding trigger points. The events at Tomakomai are not the
282 first time there have been claims of potential for leakage from CO₂ storage sites, following
283 allegations made about Weyburn-Midale in Canada in 2011 (Romanak et al., 2014) and the news
284 article in *Nature* about the Sleipner storage site in Norwegian waters in 2013 (Monastersky, 2013) -

285 both of which turned out to be baseless. Social science research around controversies over possible
286 leaks from CO₂ storage sites has thus far focused on how ‘experts’ and ‘publics’ might have different
287 perceptions of what is meant by risk and uncertainty (e.g. Boyd et al, 2013; Mabon et al, 2015).
288 Nonetheless, in the case of Tomakomai, close working with marine biology colleagues led to a more
289 refined understanding of how monitoring was done. Specifically, through collaboration it was
290 explained that leakage concerns had arisen due to a ‘false positive’, whereby seasonal CO₂ variations
291 during the collection of pre-injection baseline data led to the trigger points for stopping injection
292 being set too low (Romanak & Bomse, 2020). This insight allowed the social scientists in the research
293 team to go beyond thinking of CCS environmental monitoring in terms of different social
294 constructions of risk and uncertainty, and instead think in more refined ways about what an
295 ‘abnormal’ change in the marine environment means in the context of climate change where the
296 background environment may be changing constantly in more pronounced and unpredictable ways
297 than before. As well as giving the social science team members a richer understanding of their
298 colleagues’ research processes, collaboration hence opened up the possibility for new and richer
299 conceptual social science insights to emerge.

300

301 The second learning point is that *collaboration with natural science colleagues can encourage social*
302 *scientists to articulate their approach to research to different audiences, and open pathways to*
303 *influencing existing assessment processes.* There is some recognition in environmental science
304 research of different forms of knowledge, in particular acknowledging that qualitative approaches
305 (e.g. interviewing, narrative analysis of documents) produce valid scientific insights if undertaken
306 rigorously (Teel et al., 2018). But it is also true that regulators and operators need measurable and
307 quantifiable, or at least systematic, assessments of risk and impact on which to base decisions. In
308 this regard, natural science colleagues may be more familiar contributing to environmental
309 assessment processes, and can potentially give insight into points at which social science insights
310 might be able to feed in. In the Tomakomai case, the connections that natural science team

311 members (and their institutions) had through assessment and monitoring gave the social science
312 researchers an opportunity to share their research methodology and findings with the project
313 operator, regulatory bodies in Japan, the wider environmental assessment community in Japan (via
314 professional connections), and the international CCS monitoring research and practice community
315 via invitation to join an IEAGHG Environment and Monitoring workshop (IEAGHG, 2020). Presenting
316 social science work to such diverse audiences, however, also pushed social science researchers to
317 reflect on the need to justify the rigour (as opposed to validity, reliability, and transferability) of their
318 research, and to reflect on policy and practice implications as well as contributions to academic
319 theory.

320

321 Third and related, *whilst social science disciplines are most readily associated with understanding the*
322 *human dimensions of environmental issues, it is often colleagues doing marine observational work*
323 *who will have the first contact with stakeholders and 'the community' in a project.* In the Tomakomai
324 collaboration, marine environmental monitoring activities commenced many months before the
325 social science research, and involved marine biology researchers visiting Tomakomai regularly to
326 conduct sampling. Marine monitoring of this nature entailed extensive face-to-face engagement
327 with local fishers (who provided boats for surveying) and gaining consent from key stakeholders in
328 Tomakomai such as the local government, port authority and coastguard. From a social science point
329 of view, fishers and fisheries cooperatives are key stakeholders for marine environmental issues.
330 This is especially so in Japan, where fisheries are highly culturally significant and fishers hold political
331 power in marine environmental issues. Yet the views of fishers and fisheries cooperatives have been
332 shown globally to be hard to access due to, for example, previous negative experiences with or
333 misperceptions from authority (Nightingale, 2013). The good relationships established by natural
334 scientists during the survey and monitoring work in Tomakomai helped to build conditions of trust to
335 facilitate research interviews with not only fishers, but also the port authority, local government,
336 and others. As elaborated in Section 6, drawing on existing good relations within a multi-disciplinary

337 research team to facilitate field-based social science research may be especially valuable in contexts
338 where there is a sensitivity in the community towards 'outsiders' or where stakeholders may feel
339 over-engaged.

340

341 Fourth, across the collaboration it became apparent that *natural scientists also interact with people*
342 *while doing research in the marine environment, and can give their social science colleagues hints*
343 *and pointers based on what they hear*. As above, marine monitoring involves cooperation with
344 fishers, who hire out their boats to allow scientists to conduct survey and monitoring work. During
345 travel to and from the survey sites, however, informal conversations between fishers and marine
346 biologists yielded valuable insights into how fishers experienced a changing environment (e.g.
347 changes in size or species of fish caught) or what their daily life was like as fishers. As long as such
348 insights are collated and processed ethically, for example by being transparent with fishers that their
349 comments may be noted, maintaining anonymity when writing up notes, and not sharing notes
350 publicly or circulating beyond immediate research team members, these conversations and
351 anecdotes can be a valuable source of information for social scientists to follow up during fieldwork.
352 Indeed, elsewhere in Japan, regional government fisheries departments collate information and
353 stories that their extension officers (staff members working as intermediaries between research and
354 practice, who help fishers in their decision-making through regular face-to-face interaction) hear
355 during informal conversations with fishers, and circulate these internally among their office-based
356 colleagues (personal communication, Fukushima Prefecture Fisheries Section, 27 January 2020).

357

358 Fifth and final, during evaluation of the collaboration it became apparent that *social science*
359 *techniques for collecting research data with publics and stakeholders, i.e. techniques used to build*
360 *rapport or stimulate discussion, can also be used to facilitate dialogue within an inter- and*
361 *transdisciplinary research team* to understand overlaps and possible synergies between knowledge
362 systems. Some of the wider project team members have a long-running transdisciplinary partnership

363 on Education for Sustainable Development in Tokyo Bay. As part of these activities, they held a ‘fish
364 café,’ where researchers, fishers, and residents met to learn and talk about fisheries resource
365 management. To introduce inter- and transdisciplinary working to the participants, who were new to
366 the idea, participants were told to think of their knowledge in terms of a flashlight which could shine
367 light on one part of a single sea event. Different scientific knowledges (in the case of the science
368 café, chemical oceanography and resource management) could be imagined as flashlights casting
369 light on a common wavelength of ‘scientific knowledge’, each of which illuminated different areas of
370 specialisation. Fishers’ knowledge of their own fishery could be imagined as a flashlight on a slightly
371 different wavelength of ‘knowledge of experience’, casting a broad beam spanning multiple
372 academic disciplines. Questions from participants could be thought of as more precise ‘laser
373 pointers’, highlighting problems and areas for further enquiry. Thinking in this way allowed areas of
374 common ground for inter- and transdisciplinary working, boundaries to knowledge, and gaps where
375 new knowledge was required to be more easily envisaged (Kawabe et al, 2013). In a transdisciplinary
376 partnership, a thought exercise of this nature provides a heuristic to understand where different
377 knowledge systems can overlap, and where potential points of contention or remaining unknowns
378 may lie. Especially if developed further into graphics and visualisations, heuristic approaches like this
379 can be a useful first step in understanding the composition of an inter- or transdisciplinary team
380 prior to the commencement of impact assessment.

381

382 5. What natural scientists learned during the project about social science requirements

383

384 The first learning from the natural science team is that *scientists, residents, stakeholders and*
385 *regulators can have very different understandings of the speed at which research into the marine*
386 *environment ought to happen*. This learning similarly arises from the ‘false alarm’ over potential
387 leakage from the sub-seabed storage site in 2016. In the Tomakomai case, based on the seawater
388 chemistry survey, if the value of carbon dioxide exceeds the standard limit, which is obtained from

389 the relationship between carbon dioxide and oxygen in seawater, CO₂ leakage can be suspected and
390 injection must be suspended (Tanaka, 2018). In this situation, more detailed monitoring of seawater
391 quality is required to ascertain whether there is leakage. However, the detailed survey was
392 conducted one month after the concern over leakage, due the need to complete marine insurance
393 procedures and confirm the survey from the Japanese Ministry of the Environment. In the
394 meantime, the marine environment changed significantly, meaning that it became much harder to
395 understand whether the seawater samples exceeded the standard limit due to carbon dioxide
396 leakage or natural environmental fluctuations. Moreover, residents and stakeholders in Tomakomai
397 – especially fishers – had concerns over the potential for leakage and wanted to know results
398 quickly. In sum, the regulations for emergency monitoring did not cope with demand from residents
399 and fishers, hence there is (a) need to know at the outset of the project what local people and
400 fishers expect from the process; (b) a need for faster processes to allow surveys to happen
401 immediately when an abnormality is detected; and (c) an imperative to manage public and
402 stakeholder expectations about how fast scientific results can be obtained. In all three of these
403 areas, greater cooperation with social science researchers from the start of the project could have
404 helped to develop an anticipatory approach to managing stakeholder and societal engagement on
405 suspected leakage, rather than a reactionary approach.

406

407 The second learning is that *scientists themselves, when working in the field, may need to be able to*
408 *act as ad-hoc communicators with the community and with stakeholders – and can in fact be the*
409 *most trusted communicators for a project*. Linking back to Section 4, some fishers who talked with
410 the marine biologists informally during the survey did not know much about the project. One fisher
411 misunderstood that toxic substances were injected in the seabed. The scientists explained that the
412 project is injecting CO₂, and that the survey was being conducted to confirm CO₂ is not leaking. The
413 fisher was reassured about the project. However, both the government and operators had already
414 explained the contents of the project, engaged with the fisheries cooperatives and explained the

415 project to fishers, yet some fishers still had misunderstandings about CO₂ storage. This may be due
416 to excessive distrust and anxiety about the project. In other words, extensive technical information
417 communicated top-down from the organisations responsible for implementing the project was not
418 in itself reaching fishers. Project scientists hence realised their role was not only to predict,
419 investigate and evaluate the risks of the project, but more also to communicate this information
420 accurately and effectively to residents and stakeholders.

421

422 A member of the broader project team had a different experience from a research project in the
423 Ariake Sea in south-west Japan. The research team in that case was heavily geared towards
424 engineering, with only limited social science input. Many fishers could not understand what the
425 engineering scientists said, and as a result, fishers felt that the research could not provide the
426 information they needed. On reflection, it was felt that if social scientists had been involved from
427 the outset of the project to build the research team's understanding of the local context and the
428 communication strategies which may be appropriate, engagement with fishers may have been more
429 effective.

430

431 Two questions which arise are thus (a) how to understand preferred modes of communication and
432 engagement for key stakeholders, especially groups such as fishers who may prefer more informal
433 modes of engagement; and (b) how to understand who is trusted to communicate with such
434 stakeholder groups, and ensure that these people are trained and resourced to undertake
435 communication. Both are areas into which social science research techniques can yield insight.
436 Indeed, as per previous work by the wider project team on fisheries elsewhere in Japan (Mabon &
437 Kawabe, 2015), consideration of the relations within a community can illustrate that 'trusted'
438 communicators may not be people who have communications and engagement in their formal job
439 remit.

440

441 The third learning is that *even doing scientific research in a community can have effects on residents*
442 *and stakeholders*. Four years since after survey started, it was clear that the number of fishers who
443 can assist in the survey by hiring out their boat and crew was increasing every year. On one hand,
444 this is evidence that distrust of the project is decreasing and that fishers are more willing to engage
445 with researchers. However, as doing survey work is a good source of income for fishers, the fisheries
446 cooperative in Tomakomai has started to express concern that some fishers prioritise surveying
447 rather than fishing. The natural science team members hence realised a need to be careful when
448 planning surveys (e.g. over-surveying and excessively high charter fees) so as not to hinder the
449 fishers' main work. Relating to the points raised in Section 4 about the sensitive and ethical handling
450 of informal information received from fishers, there may thus be value in basic ethics training for
451 natural science researchers as preparation for handling situations that may arise in the field.

452

453 Whilst it is good practice to use local boats for surveying, one should know beforehand that this can
454 have detrimental effects if not done properly and be aware of the local context. In Tomakomai, the
455 CCS project happens against a background context of fishing catch decreasing and dependency of
456 fishers on other incomes. Again, a member of the wider project team reported a similar situation
457 around involving fishers in field monitoring in the Ariake Sea. In this case too, fishers were able to
458 supplement their income by participating in monitoring. However, in the Ariake case, the total
459 income could not cover the decrease in fisheries catch, and fishers still aimed for the recovery of
460 fisheries. As such, whilst activities such as supporting scientific surveys can diversify fishers' income
461 sources, there is a need to exercise caution so as to not inadvertently create dependency among
462 fishers on surveying work. This is an area where both projects' natural scientists, on reflection, felt
463 they could have benefitted from earlier social science collaboration to understand the local situation
464 and prepare for unexpected effects arising from their survey research.

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466 6. Discussion

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6.1. On what grounds can we claim a collaboration is successful, and why?

Before turning to the scholarly contributions of our findings, we address two questions: (a) on what grounds can we consider the Tomakomai collaboration to be successful; and (b) what enabled the elements of collaboration that were successful? Academically, ‘success’ is reflected in the way the collaboration illustrated examples of best practice outlined in extant literature on interdisciplinary working for marine environmental issues. Specifically, mutual learning (Vanderlinden et al., 2020); systems thinking and integration of normative issues (Wiek et al., 2011); and a tentative move towards linking natural and social science with policy and practice across the research process (Newton & Elliott, 2016). To date, examples of integrated practice like this for offshore CO₂ storage are limited. Practically too, ‘success’ may be illustrated by the engagement of the operator and regulator with not only the environmental science findings of the collaboration, but also the social science outputs (via training workshops, seminars, and face-to-face briefings). Table 1 summarises factors identified during discussion and reflection between team members (and insights from wider network members) that made the collaboration successful, and also identifies potential barriers to others following similar practice.

Table 1: factors contributing to successful collaboration

Factors contributing to success	What enabled this factor?	What may be a barrier to others following similar practice?	What could help to overcome these barriers?
Openness of individual research team members to engaging with different ways of knowing and considering how this may be integrated in their own practice.	Background and experience in applied research at the science-policy-practice interface among team members; institutional structures facilitating cross-disciplinary	Pressure – especially among early-career researchers – to produce discipline-specific outputs (British Academy, 2016) focusing on conceptual	Structured opportunities (such as training workshops) for developing competences at individual level for interdisciplinary working (e.g. Wiek et al., 2011) integrated in

	exchange in scholarly practice.	contributions in own disciplinary space.	researcher training; continuing trend towards interdisciplinary funding calls (e.g. Belmont Forum).
Pathways for social science-focused project outputs to feed into policy and practice spheres for marine environmental assessment, which remain natural science-focused.	Sensitivity to and dialogue around different disciplinary norms and expectations regarding collaboration with industry and national government.	Reluctance to engage with private sector or governmental actors due to personal or disciplinary norms, and/or concerns over impartiality.	Codes of conduct developed at project or funder level to clarify and limit role of industry in projects (e.g. ethics principles for ReFINE shale gas research (Davies & Herringshaw, 2016)).
Sound understanding of the logic of different research methods, leading to better understanding across research team.	Collaborative working 'in the field' (e.g. marine biologists joining social scientists for interviews and archive work; lab visits from social scientists).	Time and budgetary constraints of project funding, need to focus on project-specific research and outputs rather than experimentation and improvisation.	Flexible and substantial research funding – including staff time/overheads and costs for pilot research, not just knowledge exchange workshops – to allow meaningful development of interdisciplinary networks before committing to larger projects.
Openness to external critical scrutiny and to expansion of the research team to include new perspectives (e.g. coastal zone management) as part of an ongoing process of evaluation across the project duration.	Integrating Tomakomai project team within wider research project, and using it as a case study for evaluating interdisciplinary marine working.	Development of closed epistemic communities resistant to external critique and seeking to control their influence over science-policy interface (Stephens, Hansson, Liu, de Coninck, & Vajjhala, 2011).	Encouragement of inclusion of structured reflection and evaluation processes (e.g. Vanderlinden et al., 2020) to reflect on relations with different actors and avoid 'group think'.

486

487 6.2. How does our reflection advance interdisciplinary research for marine environmental

488 assessment?

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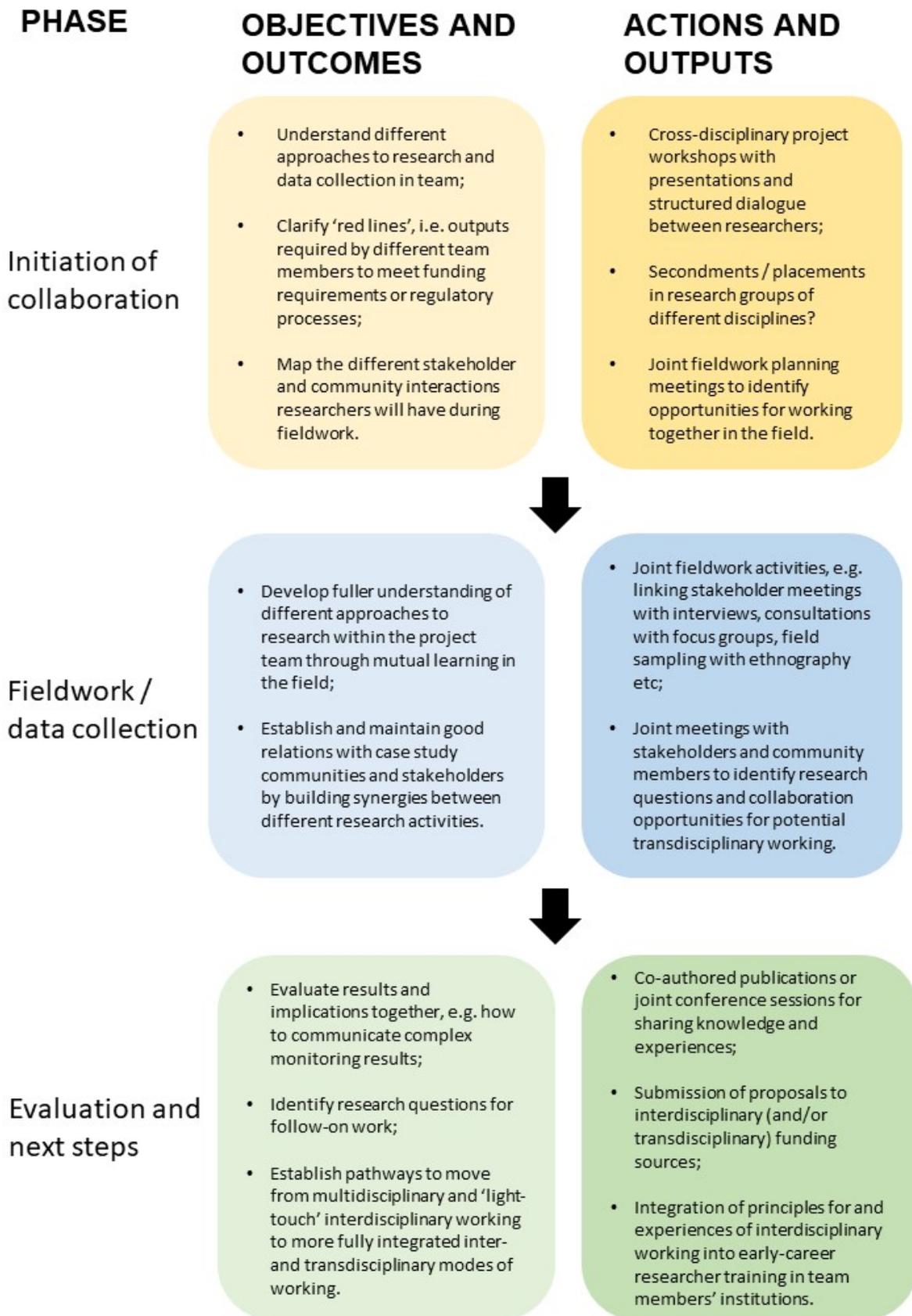
490 Figure 1 synthesises the learnings from the Tomakomai CCS collaboration, and lays out possible

491 steps towards the incremental approach to interdisciplinary working we proposed at the outset of

492 the paper. In addition, we offer three overarching insights which link back to the Introduction and
493 speak to the conceptual and practical implications of interdisciplinary research for marine
494 environmental assessment.

495

496 Figure 1: incremental approach towards interdisciplinary working for marine environmental
497 assessment



498

499

500 First, our experiences speak to the challenge of integrating different knowledge systems for
501 researching complex environmental problems (Bammer, 2013; Yates et al., 2015); yet still being able
502 to influence policy and practice spheres, where regulators and policy-makers may expect research
503 outputs to fit within existing frameworks (Addison et al., 2018; Newton & Elliott, 2016). Reconciling
504 this tension is especially difficult when some researchers come into a collaboration from an
505 academic tradition (closer to social science) that views environmental risk as an outcome of people's
506 perceptions and beliefs; whereas others come from an academic tradition (closer to natural science)
507 that approaches risks as something that can be assessed objectively and impartially (Wong & Lockie,
508 2018).

509

510 The Tomakomai collaboration offered insights into what may help scholars with different
511 interpretations of what constitutes valid knowledge to work together for marine environmental
512 issues with a practical or policy outcome, especially around bridging different views on how
513 environmental risk can be understood and assessed. Key was making time for mutual learning
514 between natural sciences (here marine biology) and social sciences (in this case environmental
515 sociology) across the project process, through activities such as structured team workshops, lab
516 visits, cooperative field work, and attending conferences/workshops from each other's fields.
517 Similarly, in a reflection on coastal research spanning case studies in Greenland, Russia, Canada,
518 France, Senegal, India and others, Vanderlinden et al. (2020) argue that an emphasis on mutual
519 learning is important for successful transdisciplinary collaboration. Such mutual learning, which
520 Vanderlinden et al call 'sensemaking', likewise involved collaboration across the scientific process,
521 including joint analysis, discussion and sharing of scientific findings. For both our Tomakomai
522 experience and that of Vanderlinden et al., such activities help to give a more nuanced
523 understanding of how data is collected, what is known with certainty, where remaining uncertainties
524 lie and how these are interpreted, and how to communicate this uncertainty to non-experts.

525

526 Second, our findings reinforce the value and benefit of close collaboration across disciplines, not
527 only at the research problem formation stage – which has been well discussed in existing thought on
528 inter- and transdisciplinary working (British Academy, 2016; Wiek et al., 2011) – but also during field
529 working. Sections 4 and 5 show that in the Tomakomai case at least, observation-based
530 environmental research involves significant interaction with publics and key stakeholders in the
531 marine environment such as fishers. Closer coordination during field campaigns between natural
532 and social science project members may help to reduce research fatigue for community members
533 who may feel ‘over-researched’ (Clark, 2008) and develop more finely-tuned research and
534 engagement strategies. Coordination in the field may be especially important where the issue being
535 researched is sensitive or controversial issue, and where stakeholders and residents may be
536 apprehensive about social science researchers coming into the community from outside. A similar
537 mode of collaboration proved successful for social science research around the QICS experimental
538 CO₂ release in Scotland, a field trial of a new and unfamiliar technology where the project team were
539 cautious not to make community feel they too were being ‘measured’ as part of the experiment
540 (Mabon et al., 2015). It may even be possible or desirable for a research team to work with the
541 community and stakeholders to actively involve them in the research process (e.g. involvement in
542 doing social impact assessments and environmental data collection) as a way to understand how to
543 minimize the negative impacts of development on their communities (Franks, 2012).

544

545 Coordination in the field can also help to understand ways in which research brings positive benefits
546 to a local community, and identify and minimise potential ethical issues. Not every marine survey
547 will utilise local fishers’ boats as happened in Tomakomai. However, the positive and negative
548 implications of chartering fishers’ vessels illustrates that “even the act of doing a social or
549 environmental impact assessment can create social impacts” (Vanclay, 2012: 152). Social sciences
550 are well-versed in thinking through such ethical implications across the whole span of a project (Hind
551 et al., 2015). Research teams would thus do well to work together to think through how their field

552 activities may be shaped to bring benefit to communities (e.g. involving local researchers within
553 projects where possible, using local businesses for accommodation and meals), and limit negative
554 impacts (e.g. not raising expectations about projects or creating dependency on income from visiting
555 researchers).

556

557 Third, our experiences indicate that much of the success of inter- and transdisciplinary working
558 comes down to the personalities and qualities of the people involved, irrespective of their field of
559 expertise. Across all the case studies presented in the paper, the motivation to initiate and maintain
560 interdisciplinary working came as much from the personal enthusiasm and commitment of the
561 researchers involved as it did from institutional or policy drivers. The theories and methods of social
562 science can of course help to develop effective risk communication strategies and facilitate dialogue
563 within research teams. But the individual competences such as systems thinking capability and
564 interpersonal skills that Wiek et al. (2011) see as fundamental for interdisciplinary working may be
565 developed by anyone, regardless of disciplinary background. Capacity-building to facilitate
566 interdisciplinary marine research (McKinley, Acott, & Yates, 2020) may therefore benefit from paying
567 attention not only to the nature of different knowledge systems and how they work together, but
568 also to developing skill sets for interdisciplinary working in researchers themselves.

569

570 7. Conclusions

571

572 We return to the title of this paper – what natural and social scientists need from each other for
573 effective marine environmental assessment. Dialogue on epistemology and methodology at the
574 research problem formation stage, and constant reflection and evaluation across the project span,
575 fits well with research funders' increasing interest in meaningful and tangible actions to integrate
576 different disciplines within research projects. Researchers themselves are also becoming ever more
577 aware of the value of interdisciplinary approaches in generating richer understandings of the marine

578 environment. As such, principles for interdisciplinary working are likely to have value to marine
579 research beyond the immediate benefit of more nuanced impact assessment for marine issues. We
580 thus propose the following as practical action points where social and natural scientists may support
581 each other's research.

582

583 What social scientists need from natural scientists:

584

- 585 • Better understanding of physical environmental changes – and what can be known with
586 certainty – to nuance constructivist understandings of environmental risk;
- 587 • Access to forums and spaces where marine environmental assessment and regulation takes
588 place, with the opportunity to justify and demonstrate the insights from rigorous social
589 science research;
- 590 • Support in accessing key stakeholders within communities, especially for contentious or
591 sensitive projects;
- 592 • Insights into informal and anecdotal information on environmental change gleaned during
593 field sampling and/or survey work.

594

595 What natural scientists need from social scientists:

596

- 597 • An understanding of what exactly stakeholders and communities need/want to know from
598 environmental monitoring and assessment, and at what speed;
- 599 • How residents and stakeholders want to be engaged and who they trust for communication;
- 600 • What the unintended consequences might be on a community from doing impact
601 assessment work. The Tomakomai and Ariake Sea cases show this is true for environmental
602 assessment and monitoring as well as for social science research, due to the necessity of
603 interacting with stakeholders to obtain consents and access.

604

605 Building on the current groundswell of work into inter- and transdisciplinary research design, our
606 findings show that greater crossdisciplinary collaboration in the fieldwork phase may lead to richer
607 insights and more comprehensive impact assessment. In particular, greater opportunities for mutual
608 learning on epistemology and methodology within research teams, and greater collaboration in the
609 field, are ways to generate practical benefits from collaborative working. Realising this, however,
610 requires not only openness and patience from researchers themselves, but also support from
611 institutions, research funders and regulators.

612

613

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615

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