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Sustainability rating system for highway design: a key focus for developing sustainable cities and societies in Nigeria.

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1 Sustainability Rating System for Highway Design:—A key focus for 2 developing sustainable cities and societies in Nigeria.

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13 **Abstract:** A growing body of evidence suggests that continuous increases in global population and urbanisation wield
14 pressure across biodiversity. Nigeria and a few other Asian nations will account for 35% of the urban increase in the future,
15 and there is a scientific projection that further megacities will emerge. Besides, sustainable cities and societies are those that
16 strive to leave a net-zero carbon footprint through smart urban planning and city management. So, in developing public
17 transport scheme, it is essential to manage and implement sustainability assessment performance. In Nigeria, there is a
18 sustainability literacy gap, due to a lack of measurable sustainability techniques, and this has resulted in social, economic and
19 environmental dissatisfaction towards completed highways and roads in the cities. The roads and highways are considered an
20 essential part of modern daily life and will play a key role in the development of sustainable cities. To bridge the knowledge
21 gap, this study argues to develop a sustainability assessment rating system in evaluating highway and road designs in Nigeria.
22 Thirty-six (36) sustainability indicators relevant in assessing highway design are identified along with the sustainability
23 application framework. The findings contribute to gaining insight into climate change impact, and the benefits it makes in
24 adopting an assessment rating system in highway development to decrease climate change catastrophe.

25 **Keywords:** analytical hierarchy process, carbon-emission, highway design, sustainability, system thinking, smart-
26 green-rating-system, sustainable cities.

27 1. Introduction

28 According to the United Nation's Department of Economic and Social Affairs (UN-DESA, 2018), 55%
29 of the world population (roughly 4.2 billion) currently lives in cities—and this will increase to 2.5
30 billion, bringing it to a total of 6.7 billion by 2050. Currently, the world's cities occupy roughly 3% of
31 the planet's land, this occupied area accounts for 67-76% of global energy consumption and emits
32 nearly, 76-77% of the planet's carbon emissions (UN-Habitat, 2011; UN-World Urbanisation Prospect,
33 2018). It is anticipated that this value will double up by the end of this century. Nigeria's current
34 population is estimated at 200 million, with the presence of megacities—(*A city with more than 10 million*
35 *inhabitants is considered a megacity*). Statistics from the UN-DESA (2018) suggest that world urban
36 population growth are expected to concentrate mainly in a few countries— including (Nigeria, China
37 and India), which account for 35% urban increase across the globe.

38 This rapid urbanisation growth will exert pressure across the biodiversity of the developing world,
39 including Nigeria. Infrastructure development in megacities is a contributory cause of environmental
40 degradation, resources depletion, and ecological footprint (Abubakar and Aina, 2019). According to
41 United Nations Environmental Development Programme (UN-UNEP, 2002), road construction
42 accounts for the loss of forest cover. Moreover, the adverse impact of anthropogenic activities on forest

43 cover, and carbon emissions in Nigeria is documented by (Federal Department of Forestry Nigeria,
44 2019). According to Ofori (1998), developing countries lack basic infrastructures and managerial
45 capacity, such that to provide a backlog of infrastructure development to raise their standard of living,
46 will strain the worlds available resources. Therefore the key solution is the adoption of sustainable
47 development dimensions. The barrier in achieving sustainability within the construction sector in
48 Nigeria are social context, management, and low stakeholders experience (Olowosile et al., 2019) –
49 hence the lack of a unifying framework to attain sustainable infrastructure is evident. The readiness to
50 improve sustainability – ranks low in Africa, and Nigeria is ranked among the lowest, with a 36.5%
51 index, the highest in Africa is Seychelles with 51.2%. Across the globe, the highest-ranked sustainability
52 index is Norway, with 76.8% (Notre Dame Global Adaptation initiative, 2019).

53 The sustainability low ranking in Nigeria is a result of the literacy gap among practitioners, and the
54 government’s inactive environmental policies (Akeel et al., 2019). Most projects in Nigeria, are
55 evaluated using traditional concepts with fewer considerations for sustainability (Hussin et al., 2013) –
56 Although these conventional construction techniques are valuable, however, it lacks a practical
57 sustainability assessment strategy, which indeed has direct and indirect impacts on future sustainable
58 cities. On this note, most developing countries in Africa are unable to determine, implement or measure
59 sustainability during infrastructure development (Okoro et al., 2019). Synthesising the reviewed points,
60 we might reasonably assume that Nigeria designers and highway decision-makers should progress
61 from the conventional design approach to the green design development concept, thereby nurturing
62 innovation in building sustainable resilient cities. Using a conventional highway design approach lacks
63 a sustainability assessment rating concept, which hinders the measuring and quantifying actual green
64 (sustainable) design practice. A quantitative assessment to fulfil Nigeria’s social, economic, and
65 environmental requirements in highway design is currently uncertain.

66 This study argues to develop a functional sustainability assessment rating to evaluate highway design
67 in Nigeria, by using – (a *Smart Green Rating System*). The sustainability assessment rating indicators,
68 and credit award certification can support the Nigerian highway transport agencies, foreign investors,
69 and private designers to identify and fill in knowledge gaps in practice and concepts across the triple
70 bottom line. The benefits and findings of this research will offer Nigerian neighbouring countries
71 sharing similar environmental challenges, to catch up with highway design sustainability assessment.

72 **2. Background**

73 The United Nations Sustainable Development Goals (SDGs) through its 71st session General Assembly
74 of 2017—positioned to achieve a better future for all. These identified environmental challenges opened
75 a wide range of research in developing sustainable construction in highway projects (Newman et al.,
76 2012; Wang et al., 2015; Huang et al., 2018). Although much of the earlier research focused more on
77 highway construction (Ibrahim and Shaker, 2019; Newman et al., 2012; Montgomery et al., 2014; Zhang,
78 2018). Other research on highways aimed at the use of recycled materials for pavement construction
79 (Lee et al., 2010; Tao et al., 2010; Bolden et al., 2013; Nwakaire et al., 2020). Relatively few studies in the
80 past considered research to evaluate the implementation of highway design sustainability assessment
81 (Tsai and Chang, 2012; Jha et al., 2011). There are research attempts to develop assessment criteria for
82 highway design, for instance, using a checklist as a practical sustainability tool (Tsai and Chang, 2012;
83 Nigeria Highway Manual Part 1 Design, 2013). However, when considering the absence of a dedicated
84 sustainability assessment rating system for highway design, critics continue to question the strategies
85 and effectiveness of the proposed sustainability assessment of highway design (Cottril and Derrible,
86 2015; Lew 2016).

87 This criticism led to other scrutiny concerning—why the bulk of highway design sustainability
88 assessment indicators were modelled based on the building construction sustainability rating system
89 called the – ‘Leadership in Energy and Environmental Design’ (Tsai and Chang, 2012; Mattinzioli et
90 al., 2020). The argument of Mattinzioli et al (2020) provided an insight that no standard or documented
91 source is explicitly dedicated to sustainability assessment of highway design and construction. At the

92 time of this review, South Africa is the only African country on a pilot study considering implementing
93 a green framework called “Sustainable Roads Forum” (SuRF) for highway sustainability assessment
94 (SANRAL, 2019). However, given the review, it is worth noting that one of the primary reasons, a
95 highway design rating system is yet to be fully developed is due to the use of a “one size for all-purpose
96 solution” (*a concept of generalisation*), which undermines sustainability knowledge (Mattinzioli et al.,
97 2020). This study will argue to develop a stand-alone sustainability assessment rating system for
98 highway design for Nigeria.

99 2.2 Highway development challenges in Nigeria

100 Ibrahim and Shaker (2019) resonate that the lack of quantitative assessment of sustainability practice
101 undermines the usefulness and objective of roads and highway projects. In Nigeria, highway design
102 engineers and licensed road safety auditors have the sole privilege and authority towards
103 implementing highway design decisions, from the preliminary to the implementation stage (Nigeria
104 Highway Manual Part 1 Design, 2013)— consequently, the benefits associated with using a dedicated
105 sustainability assessment rating system to assess compliance with the triple bottom line are missed in
106 Nigeria highway design development. These missed opportunities include—prospect to reduce
107 depletion to the natural environment, using recycled materials for pavement design and construction,
108 reducing pollution due to construction, and exploring opportunities to identify best practices and
109 innovative ideas. The much-utilised environmental practice during highway design in Nigeria is
110 through the use and implementation of the Environmental Impact Assessment (EIA) Act 86 of 1992—
111 to assess development impact across the concept of sustainability (Nigeria Highway Manual Part 1
112 Design, 2013). EIA has been criticised that it is unable to provide a feedback loop in the context of
113 protecting biodiversity—such as habitat fragmentation, loss of wild fauna, groundwater impacts (Loro
114 et al., 2014). Bassi et al., (2012) reiterated another drawback of EIA, is the inability to follow up
115 procedures, for instance, every EIA in a project is an end to its cycle— there are no identified best
116 practices worth emulating for future implementations in other projects.

117 What are the appropriate highway sustainability indicators in assessing highway design protocols in
118 Nigeria? What are the quantifiable credit award points suitable for the certification of highway design
119 in Nigeria? Based on the research questions, this study critically evaluates the approach used in
120 sustainable highway design, and emphasis is developing a practical sustainability assessment indicator
121 and a framework for highway design assessment in Nigeria.

122 2.2.1 Relationship of development and challenges of climate change in Nigeria

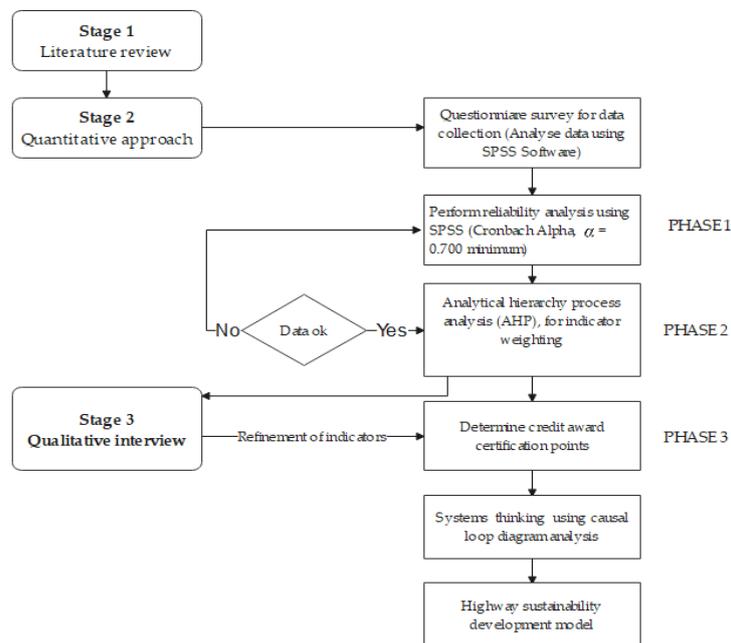
123 According to the Climate Change Vulnerability Index survey of 2017, when compared with other
124 countries, Nigeria is classified as one of the ten most vulnerable exposed to extreme weather events,
125 and 6% of the landmass is estimated to be severely degraded (The World Bank, 2019),— and that
126 equally affects the ecology and desertification. In the coming decades, documented evidence suggests
127 a significant increase in temperature rise in Nigeria (Haider, 2019). The evidence cited by Haider (2019:
128 8), suggest that climate projection in Nigeria is taking a serious toll across the Nigerian environment,
129 “it predicts temperature increase of 0.4 to 1°C over the period 2020 – 2050, and a further increase up to
130 3.2°C by 2050, and a further regional increase of 4.5°C between 2081-2100”. The occurrence of climate
131 change in Nigeria is a result of industry pollutions and the impact as a result of the construction
132 industry (Okedere et al., 2021). Statistics evidence have shown that Nigeria is second among the biggest
133 emitters of greenhouse gases in Africa (Carbon brief, 2020; Hamilton and Kelly, 2017; Okedere et al.,
134 2021). Nigeria’s government pledged to reduce greenhouse gas emissions by 20% by 2030 (Carbon brief,
135 2020). Currently, Nigeria’s annual carbon emission is estimated at a minimum of 100 million tons per
136 annum in the past few years, and the manufacturing and the construction industry amount to 6.7

137 million tons of released carbon annually (Ritchie and Roser, 2021). These emissions are a result of a
 138 knowledge gap in measurable the environmental impact of development (Abdulkadir et al., 2017).

139 **3.0 Research methodology**

140 **3.1 Stage 1 literature review**

141 Figure 1, displays the research design framework. Stage 1 is a need to collect information, to analyse
 142 sustainability assessment trends, a literature review was conducted from— existing highway design
 143 manual, journals, current sustainability assessment rating system, Environmental Impact Assessment
 144 (EIA) report. Besides, literature review resolves dialogues, it reviews to create an overview and allows
 145 a critical evaluation for a researcher to identify and fill in knowledge gaps (Creswell 2014)— also it
 146 provides a core foundation during data mining (Zhang 2018). Table 1 displays preliminary highway
 147 design assessment indicators identified within the literature review—these indicators are thematically
 148 classified into four categories, namely— (*technical, environmental, economic and social*).



149

150

Fig 1. Conceptual research framework

151

Table 1. Primary category design assessment indicators

SN°	Category	Subcategory
A	Technical	A1: Basic design control
		A2: Horizontal curves
		A3: Vertical alignment
		A4: Cross-section
		A5: Drainage and erosion control
		A6: Pavement design
B	Environmental	B1: Impact of fragmented alignment
		B2: Wildlife accommodation
		B3: Environmental pollution
C	Economic	C1: Cost-benefit analysis
D	Social	D1: Context-sensitive analysis
		D2: Intermodal facility and rest areas

152

153

154 **3.2 Stage 2 quantitative approach (survey)**

155 The use of an online questionnaire survey data collection practice is an opportunity to reach out to a
156 wider population of— (*experts and practitioners in the Nigerian highway design*) to provide information
157 with a narrow scope of inquiries. Figure 1, stage 2, is the “quantitative approach,” which involves using
158 a questionnaire survey to collect data from Nigeria. The sampling technique considered is to select an
159 absolute sample size that represents the entire population (Taherdoost, 2017). A good advantage of the
160 quantitative research approach is using smaller sample groups to make inferences about the larger
161 population (Bartlett et al., 2001). The research instrument targeted Highway Engineers working with
162 the government sector, Academia, Private Practitioners and the Engineering Community of Practice
163 society across Nigeria. The primary target of the questionnaire was for the participants in highway
164 design to contribute to knowledge through data collection for analysis, and to identify results in
165 answering the research questions. The targeted median years of the respondents ranged from 5 years
166 to 20 years in the highway design sector. This approach was taken to accommodate a wide range of
167 early career, medium and top-level career respondents. These respondents were contacted using
168 purposive sample techniques—this is the concept of using cognitive judgement to select participants
169 through a non-probability collection from the Engineering Community of Practice (CoP), government
170 transport departments and private practitioners.

171 Please refer to Table A:1 in Appendix ‘A’ for the Likert scale questionnaire prototype used to gain
172 knowledge insight from the respondents. The format used is the Likert scale which has the highest
173 value as (5) and represents very high significance and (0) which is not significant.

174 **3.2.1 Stage 2 Phase 1 (Figure 1)— Reliability of collected data**

175 Respondents were presented with the concepts associated with sustainability assessment indicators for
176 highway design to assign a Likert scale in form of feedback. The feedback rate from the respondents
177 provided 83% —(33 respondents completed the questionnaire out of 40 issued out). Eighty-five per cent
178 (85%) of respondents are Civil Engineers, and the rest of the respondents account for fifteen per cent
179 (15%). For the collected data, the reliability analysis of a questionnaire survey scale indicates a stability
180 check against the occurrence of random error, as that affirms the quality of data collected (Strang, 2015).
181 Cronbach’s Alpha is a measure of the internal consistency of collected data sets. A minimum of .7
182 Cronbach alpha (α =alpha) is an acceptable criterion for measuring data sets internal consistency
183 (Pallant, 2016). The data collected from the online questionnaire for this research were analysed using
184 Statistical Package for Social Science (SPSS) software to determine reliability tests. The achieved
185 Cronbach alpha for the analysed collected online data is α = .857.

186 **3.2.2 Stage 2 Phase 2 (Figure 1) – Analytical hierarchy process (AHP)**

187 The collected data from Figure 1 stage 2 (quantitative approach) is analysed in stage 2 phase 1, which
188 act as an input into the analytical hierarchy process—see Figure 2 for the AHP framework analysis. The
189 AHP is used to determine the weight rating for the sustainability assessment indicator for highway
190 design— and to provide inputs into the causal loop diagram. The causal loop is utilised to establish
191 distinct subsets of archetypes—this is an approach utilised to explore the pattern in identifying cause-
192 and-effect, and the potential to identify other indicators missed during the literature review.
193 Furthermore, to enhance the consistency of the causal loop diagram, a validation process was
194 implemented, through two (2) expert opinion inputs. Further discussion on this is in section 5.

195 The analytical hierarchy process (AHP) enables decision-makers to operate objectively by choosing
196 various alternatives from a set of criteria (Brunelli, 2015; Omotayo et al., 2020; Saaty and Vargas, 2012).
197 AHP is designed to cope with logical and insightful thinking, and has been utilised across a wide range

198 of industries and in different research contexts, such as;—Handfield et al. (2002) used AHP to determine
 199 criteria in selecting suppliers' procurement strategies; AHP has been utilised to select competency
 200 among contractors (Fong and Choi, 2000). Omotayo et al. (2020) utilised AHP and other techniques to
 201 determine criticality factors influencing the effective implementation of kaizen costing. Uchegara et al.,
 202 (2020) applied AHP to propose reducing carbon emission using a process management approach.

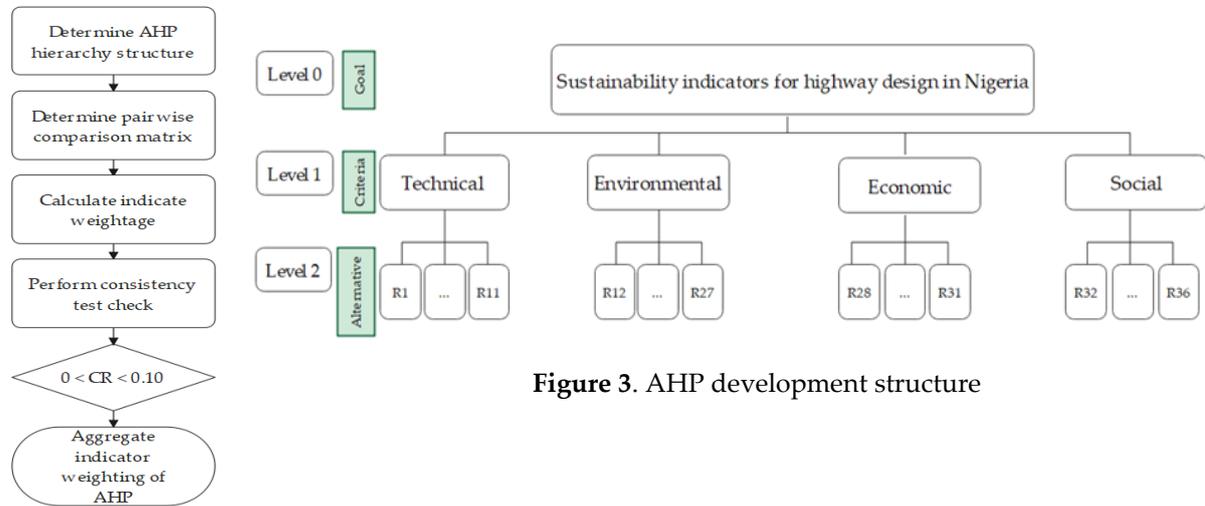


Figure 3. AHP development structure

203
 204 **Figure 2.** Framework for analytical hierarchy process

205 AHP development structure for this research is displayed in Figure 3. Level 0 is the goal to be achieved.
 206 Level 1 is the primary category of the sustainability assessment criteria. Level 2 is the alternative
 207 indicators analysed using the AHP pairwise comparison method. To analyse pairwise comparison (see
 208 equation 1), a set of matrix rules applies for pairwise matrix 'A', which represents $n \times n$ matrix, where n
 209 is the number factor $a_1, a_2, a_3, \dots, a_n$. Each entry a_{ij} of matrix 'A,' (where i , is the row, and j is an element
 210 of column).

$$211 \quad A = (a_{ij}) = n \times n = \begin{bmatrix} 1 & a_{12} & a_{1n} \\ 1/a_{21} & 1 & a_{2n} \\ 1/a_{n1} & 1/a_{n2} & 1 \end{bmatrix} \quad \text{Equation (1)}$$

212 The value a_{ij} is statistical data for decision-makers opinions and expert judgement. All components in
 213 the pairwise matrix are positive $a_{ij} > 0$, and specific requirements must be met, such that a_{ji} (diagonal)=1,
 214 and $a_{ji} = \frac{1}{a_{ij}}$ (reciprocal), where i , and j represents real numbers = 1, 2, 3,..... n .

215 **4. Data analysis and discussion**

216 The data analysis was emerged—from a range of Likert scale scoring from the respondents. The average
 217 mean for each assigned score across the thirty-six (36) indicators is tabulated in an Excel sheet. This
 218 tabulated average mean for each sustainability indicator value is input into AHP for pairwise analysis.
 219 Tables 2, 3 on page 7, and Table A2, A3 in appendix 'A' display weighing for each sustainability
 220 assessment indicator across social, environmental, technical and economic concepts. Below are
 221 equations 2, 3 and 4 on page 8 for steps to calculate the internal consistency ratio of the data analysed
 222 within the AHP, using Thomas Saaty's concept. Saaty's consistency ratio for all the sustainability
 223 categories is satisfactory, see values on the top of Table 2, 3 on page 7, and Table A2, A3 in appendix
 224 'A'.

225
226

Table 2. Technical sustainability judgement matrix
Consistency ratio = 0.043 < 0.10; Weighing = 0.091; $\lambda = 11.640$; $n = 11$

	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10	R11	WEIGHT %
R1	0.111	0.190	0.160	0.158	0.154	0.026	0.143	0.133	0.133	0.133	0.133	0.134
R2	0.056	0.095	0.080	0.079	0.077	0.156	0.071	0.133	0.133	0.133	0.133	0.104
R3	0.056	0.095	0.080	0.079	0.077	0.156	0.071	0.067	0.067	0.067	0.067	0.080
R4	0.111	0.190	0.160	0.158	0.154	0.234	0.143	0.133	0.133	0.133	0.133	0.153
R5	0.056	0.095	0.080	0.079	0.077	0.156	0.071	0.067	0.067	0.067	0.067	0.080
R6	0.333	0.048	0.040	0.053	0.077	0.078	0.143	0.133	0.133	0.133	0.133	0.119
R7	0.056	0.095	0.080	0.079	0.077	0.039	0.071	0.067	0.067	0.067	0.067	0.069
R8	0.056	0.048	0.080	0.079	0.077	0.039	0.071	0.067	0.067	0.067	0.067	0.065
R9	0.056	0.048	0.080	0.079	0.077	0.039	0.071	0.067	0.067	0.067	0.067	0.065
R10	0.056	0.048	0.080	0.079	0.077	0.039	0.071	0.067	0.067	0.067	0.067	0.065
R11	0.056	0.048	0.080	0.079	0.077	0.039	0.071	0.067	0.067	0.067	0.067	0.065
Total	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00

227
228
229

Table 3. Environmental sustainability judgement matrix
Consistency ratio = 0.0017 < 0.10; Weighing = 0.063; $\lambda = 15.960$; $n = 16$

	R12	R13	R14	R15	R16	R17	R18	R19	R20	R21	R22	R23	R24	R25	R26	R27	WEIGHT %
R12	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R13	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R14	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R15	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.038	0.036	0.036	0.036	0.036	0.036	0.036
R16	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.038	0.036	0.036	0.036	0.036	0.036	0.036
R17	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R18	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R19	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R20	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R21	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.038	0.036	0.036	0.036	0.036	0.036	0.036
R22	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.038	0.036	0.036	0.036	0.036	0.036	0.036
R23	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R24	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.019	0.071	0.071	0.071	0.071	0.071	0.068
R25	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R26	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
R27	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.071	0.075	0.071	0.071	0.071	0.071	0.071	0.072
Total	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.000	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00

230

231 **4.1 Saaty’s Consistency Ratio**

232 The conventional eigenvector method for estimating weighing in AHP shows a way of measuring the
233 consistency of the pairwise comparison matrix (Alonso and Lamata, 2006; Saaty and Vargas, 2012;
234 Brunelli, 2015; Omotayo et al., 2020). However, when the pairwise comparison in the matrix is not
235 consistent, then the matrix is contradictory. Saaty defined the consistency index (CI) of a pairwise
236 comparison matrix as follows:—

237
$$CI = \frac{\lambda_{max} - n}{n - 1};$$
 Equation (2)

238 where λ_{max} is maximum eigenvalue;— where n is the total number of criteria evaluated.

239 The consistency ratio: (C.R.) = $\frac{CI}{RI}$ **Equation (3)**

240 Where R.I—is Saaty’s Random Ratio, and C.R < 0.10 for acceptance criteria **Equation (4)**

241

242 **4.2 Stage 3 – (qualitative interview) to refine sustainability assessment indicators**

243 Figure 1, stage 3, phase 3 illustrates the research framework to conduct qualitative interviews.
244 The process involves;—refining the initial weighing scores of the sustainability rating system for
245 highway design using expert opinion. It is noteworthy to explain the significance of using expert
246 opinion to validate and refine sustainability indicators. Validation of collected data helps build
247 credibility, accountability and it throws more insight into problem-solving (Strang, 2015). Using
248 validation is necessary to demonstrate the accuracy of information (Creswell, 2014). In stage 3 phase 3
249 Figure 1, “qualitative validity” involves a researcher checking the accuracy of data by employing
250 specific procedures” (Creswell, 2014). In his analysis, Creswell identified strategies to validate data
251 under the qualitative approach. In this research, validation achieved using “expert member checking”,
252 it involves using industry participants in Nigeria to refine the accuracy of data collected.

253 To select participants for the qualitative interview, snowball sampling techniques were utilised.
254 Snowball sample techniques involve when a researcher relies on CoP networks to identify initial related
255 sample participants (*selection is based on years of experience and relevance to highway design career*).
256 Furthermore, the participant recommends and identifies other relevant colleagues to participate in the
257 study. Thus, this sampling technique enables the building and collecting of data. A total of eight
258 invitations were sent to respondents with six agreeing to participate. Below is the evaluation steps
259 followed to implement data collected from expert opinion refinements, for the sustainability indicators.

260 **4.2.1 Sustainability assessment weighings for indicators**

261 For this analysis, the strategy proposed by Zhang (2018) is adopted—using arithmetic average
262 mean to integrate expert opinion from the interview. The below-tabulated weighing arithmetic means
263 equations 5 and 6, were used to refine the sustainability indicators weight score, which was initially
264 summarised in Tables 2, 3 and Table A1 and A2 in appendix A. The arithmetic mean under this research
265 measured central tendency known as the average, which is tabulated as follows:-

266 \bar{S} is the symbol of arithmetic mean, n is the number of observations denoted, $S_1 + S_2 + \dots + S_n$ is given
267 by: $\bar{S} = (S_1 + S_2 + \dots + S_n) / n$ **Equation (5)**

268 Therefore, A_i = weighing of indicators i , \bar{S} = arithmetic average value for indicators i ,
269 Summation is $\sum_{i=0}^n A_i * \bar{S} = 1; 0 < A_i < 1$ **Equation (6)**

270 The entire mathematical calculation process is plainly described as multiplying the value of each stand-
271 alone weighing score for the indicators across Tables 2 and 3, Table A1 and A2, with the average
272 arithmetic, mean value \bar{S} —:(which is obtained from expert opinion mean value using second Likert

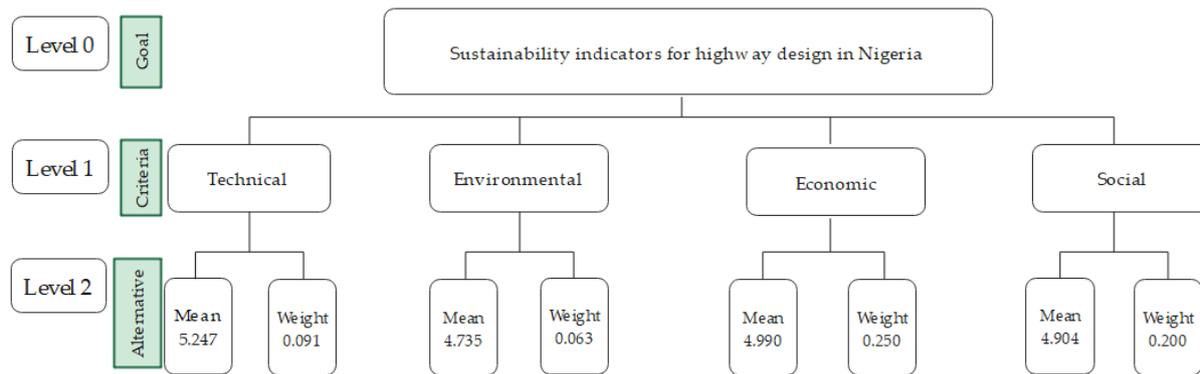
273 scale divided by the total number of participant ('n') The obtained values present the final sustainability
 274 assessment weighing score, see Table 4, under column 'score'.

275 **Table 4 assessment result update for sustainability indicator rating—highway design**

Category	Indicators	Code	Mean ^a	Weight	Score	Rank
Technical indicators	Traffic volume count	R1	5.800	0.134	0.558	10 th
	Speed limit	R2	5.320	0.104	0.451	13 th
	Terrain analysis	R3	5.440	0.080	0.320	16 th
	Stopping sight distance	R4	5.560	0.153	0.689	9 th
	Safe radius of the curve	R5	5.320	0.080	0.387	14 th
	Safe superelevation	R6	4.440	0.119	0.476	12 th
	Catchment basin for stormwater	R7	5.320	0.069	0.253	27 th
	Profile and vertical curves	R8	4.760	0.065	0.293	21 st
	Safe cross-section and geometric elements	R9	5.240	0.065	0.260	26 th
	Sustainable, flexible pavement	R10	5.160	0.065	0.228	30 th
	Culvert and gully pots and stormwater	R11	5.360	0.065	0.206	32 nd
	Mean average		5.247	0.091	0.374	
Environmental Indicators	Reduce habitat fragmentation alignment	R12	4.680	0.072	0.312	17 th -18 th
	Impact on farmland and habitat	R13	4.560	0.072	0.312	17 th -18 th
	Ecological connectivity	R14	4.720	0.072	0.324	15 th
	Enhance air quality	R15	4.360	0.036	0.132	35 th
	Watershed restoration	R16	4.280	0.036	0.156	33 rd
	Climate preparedness and resilience	R17	4.960	0.072	0.312	17 th -18 th
	Renewable energy use	R18	4.640	0.072	0.252	29 th -28 th
	Avoid groundwater pollution	R19	4.840	0.072	0.264	22 nd -24 th
	Reduce greenhouse gas emission	R20	5.160	0.072	0.264	22 nd -24 th
	Material design reuse	R21	4.280	0.036	0.144	34 th
	Highway sound barrier wall	R22	3.920	0.036	0.126	36 th
	Eliminate environmental pollution	R23	4.880	0.072	0.252	29 th -28 th
	Long-life design	R24	5.320	0.068	0.227	31 st
	Runoff flow control	R25	5.440	0.072	0.264	22 nd -24 th
Smart infrastructure	R26	4.680	0.072	0.300	20 th	
Measurement and verification	R27	5.040	0.072	0.264	25 th	
	Mean average		4.735	0.063	0.244	
Economic Indicators	Lifecycle cost analysis	R28	5.360	0.217	0.868	6 th
	Cost-benefit ratio	R29	4.960	0.284	1.136	2 nd
	Return on Investment	R30	4.880	0.216	0.936	5 th
	Innovative ideas	R31	4.760	0.284	1.278	1 st
	Mean average		4.990	0.250	1.055	
Social indicators	Community engagement	R32	4.800	0.218	0.799	7 th
	Intermodal connectivity	R33	4.400	0.129	0.495	11 th
	Travel time reduction	R34	5.080	0.218	0.763	8 th
	Protect cultural and natural heritage	R35	5.120	0.218	0.945	4 th
	Serviceability	R36	5.121	0.218	1.017	3 rd
	Mean average		4.904	0.200	0.804	
Total average (Technical + Environment +Economic + social)			5.005	0.150	0.619	

The average mean value tabulated from the Likert scale

276 See Table 4 for the ranking of the indicators across the four primary categories. Findings from
 277 the analytical hierarchy process evaluation revealed sustainability assessment indicators related to
 278 “economic and social” are mostly preferred in sustainable highway design development in Nigeria –
 279 these identified foremost desired sustainability indicators ranked between 1st to 10th. A possible
 280 explanation for this might be a preference of the experts to align sustainable development with the
 281 conventional development approach in the use of triple constraint of time, cost and scope. The next
 282 most desired sustainability rating system is the ‘technical indicators’ and ‘economic indicators are least,
 283 desired. The inconsistency sustainability ranking across the primary categories could be a result of the
 284 literacy noted knowledge gap in Nigeria towards the implementation of sustainability concepts and
 285 awareness (Akeel et al., 2019). The overall aggregating of the analytical hierarchy process and mean
 286 averaged score from the Excel sheet is presented in Figure 4.



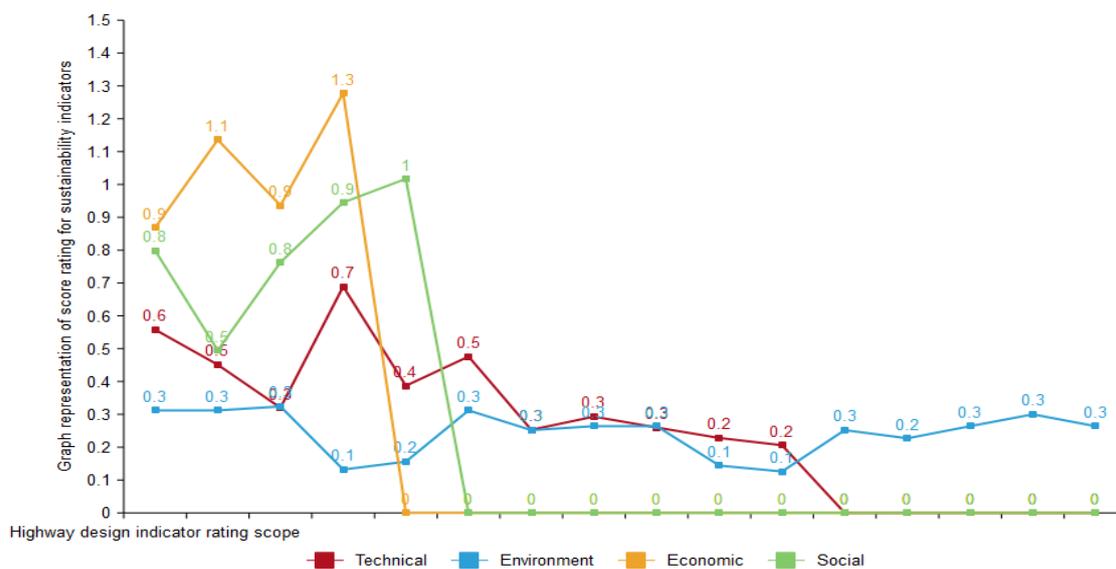
287

288 **Figure 4.** Aggregated mean and weighing across the primary category of indicators

289 **5. Systems thinking**

290 In this study, systems thinking is employed as a tool of feasibility approach to comprehend the
 291 relationships of an archetype within a system boundary. Archetypes are subsets of a causal loop
 292 diagram utilised to reveal rational relationships among variables (Omotayo et al., 2020). System
 293 thinking is a familiar concept utilised to determine how causal relationships and feedbacks perform in
 294 everyday challenges (Haraldsson, 2004). Systems thinking deals with the organisation of logic and
 295 integration of disciplines to understand patterns and relationships of a complex boundary. Primarily,
 296 it is about taking a problem apart, and reassembling it to understand its components and ‘internal’
 297 feedback relationships. Other primary benefits of using the causal loop diagram approach are that it
 298 provides support when representing the cause-and-effect relationships between two or more variables.
 299 Another primary aim of systems thinking (causal loop diagram) is the tendency to reveal attributes,
 300 and phenomena outside the use of traditional qualitative and quantitative approaches (Omotayo et al.,
 301 2020; Miki et al., 2015).

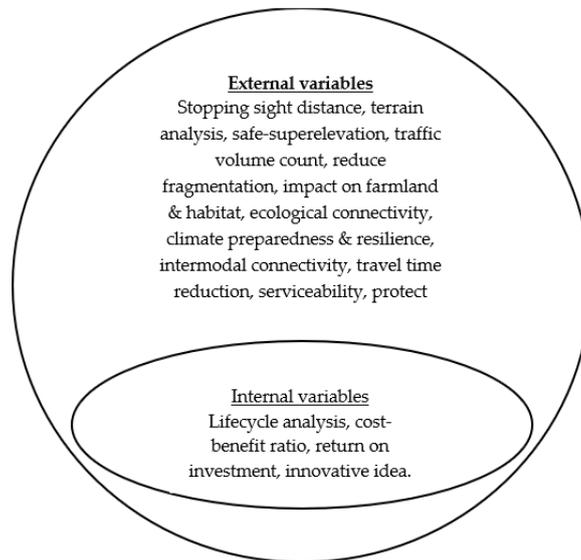
302 In systems thinking, external and internal variables usually interact to reveal the most likely
 303 outcome when a positive change occurs, either increasing or decreasing a variable in a system—*(these*
 304 *variables are the sustainability indicators)*. These external and internal variables are obtained from Table
 305 4—and below Figure 5 is a graph illustrating selection criteria, for both external and internal variables.
 306 Employed is the upper and lower limits of the indicators using range (1.4 max – 0.3 min).



307
308

Figure 5. Selection range of external and internal variables for system thinking analysis

309 Figure 6 displays internal variables—these are variables the highway designers and decision-makers
 310 are in control of, such as lifecycle cost analysis, cost benefits ratio, return on investments and innovative
 311 ideas. The external variables are constraints to the designers and decision-makers. The below-listed
 312 variables will be expanded and analysed using the context of the causal loop diagram.

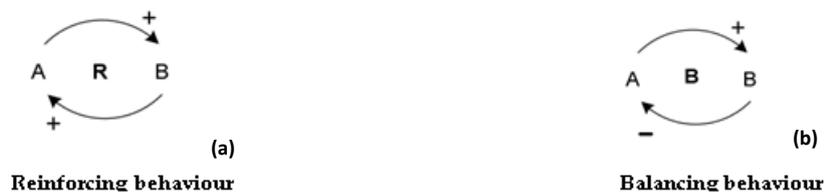


313

314 **Figure 6.** System boundaries for external and internal variables.

315 Notable conventions within the casual-loop diagram (CLD) consists of when variables
 316 connected with arrows, having a polarity of (+) or (-), indicating an influence on another variable due
 317 to the feedback effect. The arrow in Figure 7a indicates a causality pattern, having ‘Reinforcing’
 318 behaviour variable— ‘A’ at the tail causes a change to the variable ‘B’, which is at the head of the arrow.
 319 The letter ‘R’ at the midpoint of the loop depicts a reinforcing behaviour following the same direction.

320 Figure 7b, ‘Balancing behaviour’ (denoted as a ‘B’),’ the minus sign at the edge of the arrowhead
 321 indicates that variable ‘A’ at the tail and the variable ‘B’ at the head changes in the opposite direction.
 322 So, if there is an increase at the tail, then the head decreases, and when the tail decreases, the head
 323 increases.



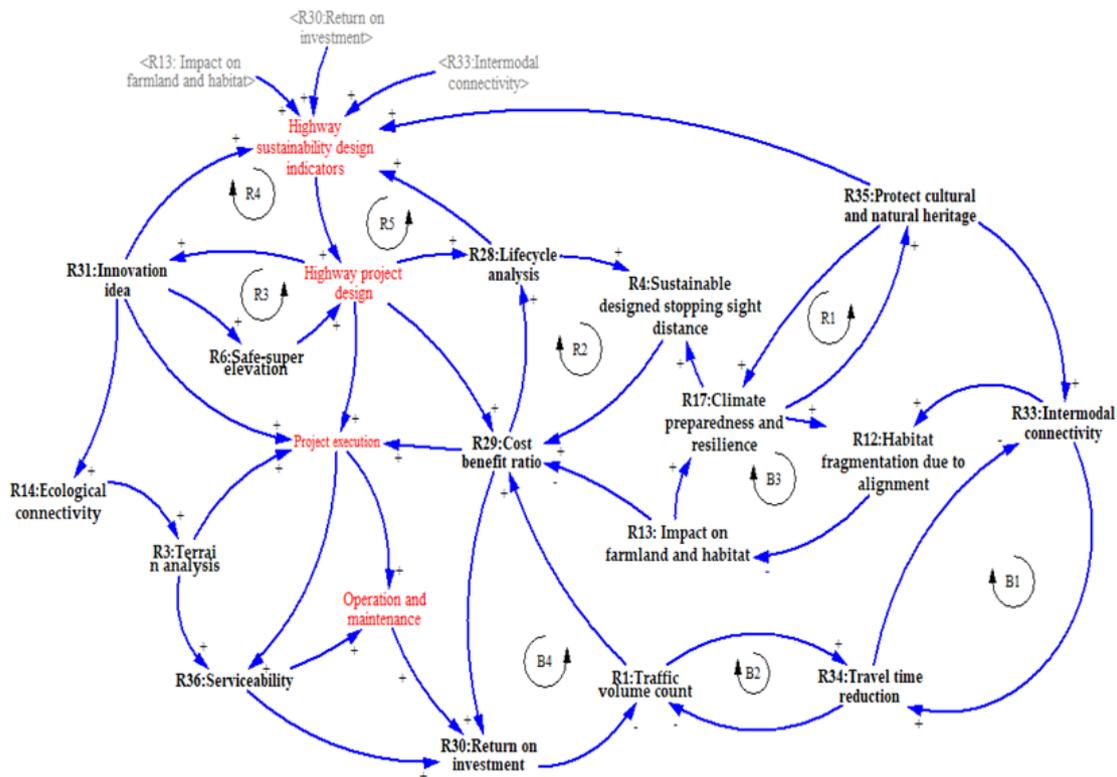
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325 **Figure 7.** Reinforcing and Balancing pattern in Causal loop diagram

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327 The external and internal variables in Figure 6 is utilised to generate the initial causal loop
 328 diagram in Figure 8— this further provided the concept to develop archetypes, which is a subset of the
 329 causal loop diagram for the sustainability assessment indicators.

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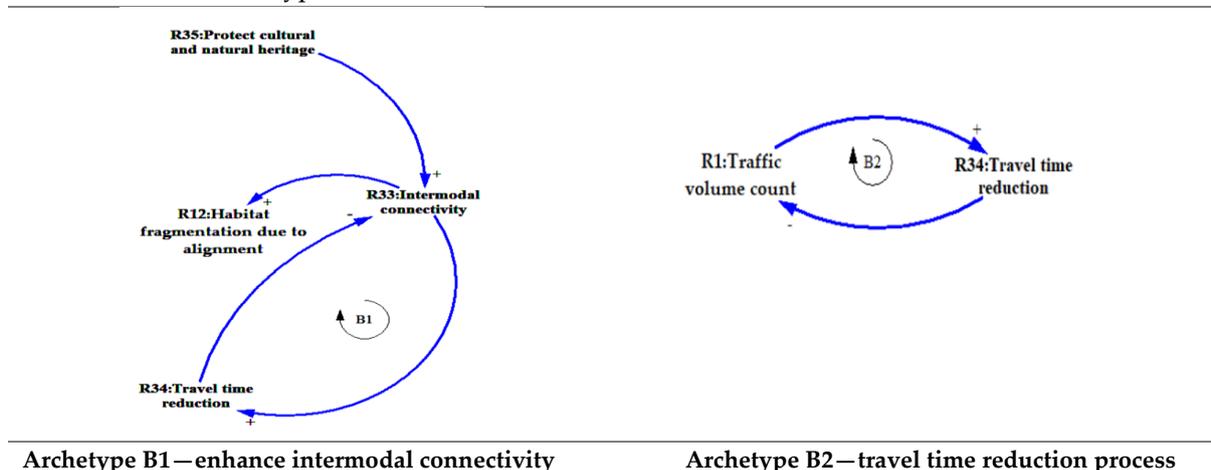


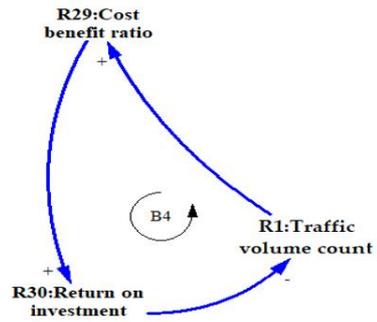
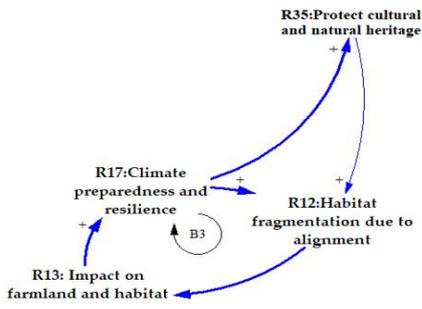
331
332 **Figure 8.** Initial Causal loop diagram
333

334 In figure 8, the primary aim of generating the causal loop diagram is to reveal other
335 unidentified variables (*which are sustainability assessment indicators*). The red fonts variables in above
336 Figure 8 are inputs made through validation by an academic expert and a highway designer.
337 Furthermore, the initial causal loop diagram is identified using archetype, and that revealed challenges
338 and clusters of sustainability assessment disparities. The various archetypes displayed in Table 5,
339 represent distinctly reinforcing and balancing loop effect because of the polarity difference of the arrow
340 and their variables.

341 Findings of analysis from the subset archetypes identified more indicators, which are omitted
342 during the literature review, such as—(*agency cost, maintenance cost, and user cost*) which are essentials
343 within the economic sustainability concept. However, these indicators are re-introduced in Figure 10—
344 which is a model to aid sustainability assessment protocol for highway design in Nigeria.
345

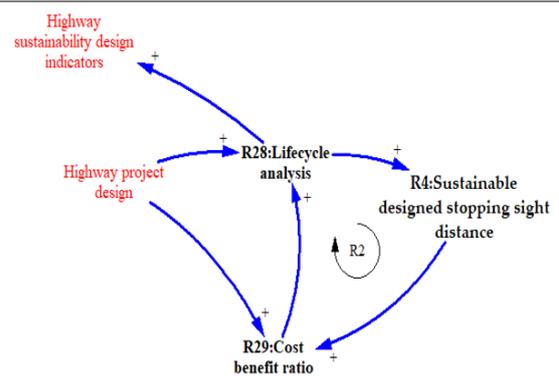
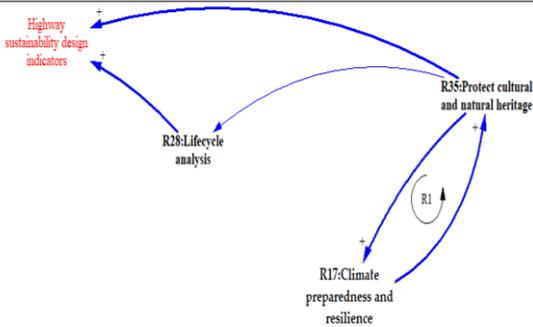
346 **Table 5.** Distinct archetype





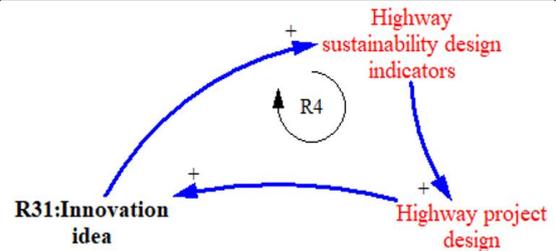
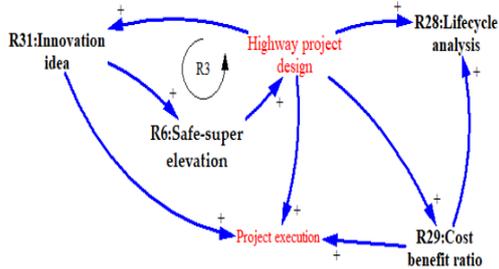
Archetype B3—environmental preparedness

Archetype B4—economical process



Archetype R1—lifecycle analysis process

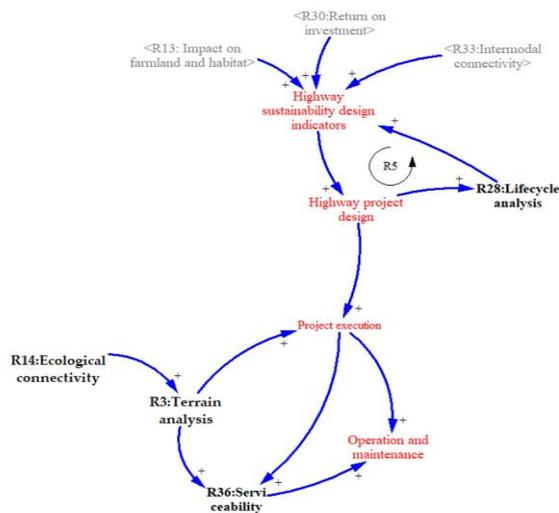
Archetype R2—safe stopping distance



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Archetype R3—innovative idea

Archetype R4—design process



Archetype R5—ecological process

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353 **6. Limitation of current design practice in Nigeria and the way forward**

354 The use of conventional highway design methods in Nigeria has focused primarily on the triple
 355 constraint of a triangle, project management and the environmental impact assessment concept (Dania
 356 et al., 2007). These conventional design methods are essential but signify short-term development
 357 schemes, and that creates a gap between theory and practice in achieving sustainability. Tsai and
 358 Chang, (2012) stated that it is difficult for engineering designers to incorporate sustainability concepts
 359 into their designs because of knowledge gaps. Moreover, the design stage should be a pivotal point to
 360 add quantified sustainability concepts. However, in Nigeria, the focus has been on the use of
 361 conventional design approaches, such as—, EIA regulation, safety audit checklist, to determine the
 362 preliminary, concept and detailed design (Nigeria Ministry of Works Highway Manual Code of
 363 Procedure 2013).

364 There are opportunities missed to include sustainability in highway design development
 365 phases which create learning and knowledge gaps. These gaps in knowledge result in dissatisfaction
 366 towards infrastructure development strategies, for example, these are the fragmentation of natural
 367 habitats, lack of ecological connectivity, the release of carbon and waste pollution, no energy
 368 conservation plan, inadequate quality management plan for infrastructure development, no innovative
 369 sustainable plan, nor the proposal to design asphalt pavement using recycled materials.

370 The current study aimed to determine an appropriate sustainability rating system and credit
 371 award certification level in assessing and managing the highway design cycle in Nigeria. A total of
 372 thirty-six sustainability indicators, with four categories, are developed. The sustainability indicators
 373 facilitate a wide range of gains in reducing the use of excessive energy, environmental protection, the
 374 ability to initiate and implement green design innovation, reduce pollution, use recycled materials in
 375 asphalt pavement mix design, resources management, in reducing global warming and in building
 376 sustainable cities and society.

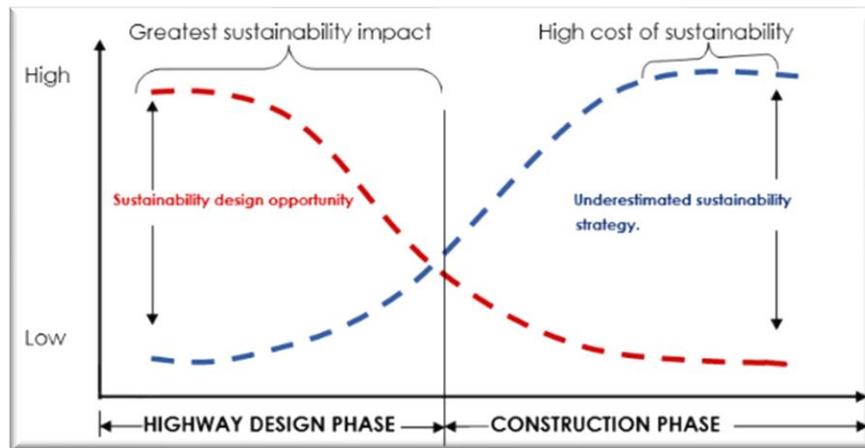
377 To enhance benefits associated with the above-analysed archetypes and inputs from expert
 378 opinion towards refinement of thirty-sixty (36) sustainability indicators. Table 6 displays recommended
 379 credit certification criteria for highway design, which should be considered for implementation
 380 alongside Table 4, and Figure 10, which is the proposed sustainability application framework.

381 **Table 6. Smart Green Certification level for highway design in Nigeria**

 <p>Smart green highway rating system™</p>	<p>*Recognised: type of certification involves design that incorporated least minimal sustainable practice, with the aim of beneficial impacts and the potential to advance towards incredible innovation.</p>
 <p>Smart green highway rating system™</p>	<p>*Silver: type of certification involves good design that incorporated minimal sustainable practice, with the aim of beneficial impacts and the potential to advance towards incredible innovation.</p>
 <p>Smart green highway rating system™</p>	<p>*Gold: type of certification involves commendable design that incorporated considerable sustainable practice, aiming for beneficial impacts and potentials to advance towards incredible innovation.</p>
 <p>Smart green highway rating system™</p>	<p>*Evergreen: type of certification involves excellent design that incorporated the highest sustainable practice, with the aim of continuous innovation worthy of practice across the industry</p>

382 *Evergreen level: 39 – 33 ; *Gold level: 33 – 30 ; *Silver level: 30 – 27, *Recognised level 27 – 25.

383 According to Greenroad manual v1.5(2011), assessing a highway project using sustainability
 384 indicators and credit points helps challenge the teams beyond the minimum environmental, social, and
 385 economic practice. The sustainability rating system awards credits points in a project, enhance best
 386 practices and reduces global warming potential. That enable projects to earn credit points for the award
 387 of either evergreen, gold, silver or simply a recognised designed project that satisfied regulations. The
 388 rating system should be implemented in a project from the onset during the “preparation phase” to
 389 develop a strategy for sustainability implementation (see Figure 9). Further, each highway design
 390 protocol is required to develop a sustainable development plan to implement Technical,
 391 Environmental, Economic and Social attributes.



393
 394 **Figure 9.** Influence of early decisions for highway design sustainability.
 395

396 6.1 Acknowledgement of limitations

397 The reliability of the developed highway design sustainability assessment model should be
 398 validated through implementation in highway design projects in Nigeria using a case study. Case study
 399 or onsite validation helps to identify limitations, strengths, and areas for improvement.

400 The proposed sustainability rating system is not an avenue to use a checklist tick box to award
 401 credit points and certification levels, thereby undermining the benefits. There is a need to develop a
 402 sustainability design cycle framework using a documentary plan, processes, techniques across
 403 sustainable management for the preliminary, concept, and detailed design phase. Only through that
 404 approach will the proposed sustainability assessment indicators play a meaningful role and innovative
 405 benefits (see Figure 10 for a proposed application framework).

406 Furthermore, a written sustainability design plan should be based on extensive cumulative and
 407 innovative documentary research over a period in Nigeria highway design projects. There should be a
 408 strong preference in considering the use of local materials(recycled), innovative sustainability for
 409 practical implementation. The proposed sustainability indicators in this research are applicable only
 410 for a new highway and road project. For highway maintenance, separate research should collect data
 411 to identify relevant sustainability indicators and frameworks.

412 413 6.2 Weighing logic and framework limitation:

414 Some direct action of sustainability indicators implementation may be complex to measure. However,
 415 the application and documentation of good practice across a similar range of projects will provide
 416 invaluable data and evidence in making a future decision for improvement and assessments. In this
 417 research, a minimum value of one point is assigned to each indicator (see Table 7 in the appendix area).
 418 These values may change (due to best practice, and innovation in sustainability assessment in a project).

419 **7. Conclusion**

420

421 Building smartly, preserving the global environment has been the primary focus of the United
422 Nations and the international communities, now that the planet is at the verge of a tipping point to
423 reduce the further rise of 1.5°C, against climate change catastrophe. The use of a sustainability
424 assessment rating system to develop green highways has been existing in a few developed countries of
425 the world. But highway development in Nigeria is still lacking the literacy and practical knowledge to
426 implement sustainability assessment. The research developed thirty-six dedicated sustainability
427 assessment indicators and a framework model to aid highway design implementation in Nigeria. Each
428 of these indicators has an assigned credit point through expert opinion, and a proposed Smart Green
429 Certification level to aid in systematic endorsement of highway design protocols. However, the below
430 findings are worth noting—

- 431 • This study has identified that unsustainable city infrastructure development contributes to
432 environmental degradation, such as rapid resources depletion, pollution —leaving behind an
433 ecological footprint. Nearly 19.5 million hectares are destroyed due to urban growth and road
434 construction, which amount to 400 – 2000 hectares per kilometre.
- 435 • Nigeria is considered one of the few nations anticipated to have rapid urban and population
436 growth, which will put pressure to provide a backlog of infrastructure development in raising
437 the standard of living—however, that will strain the available resources and in raising carbon
438 footprint. Nigeria highway sector lacks the knowledge and skills to implement sustainability
439 assessment strategy due to the literacy gap in sustainability, social context barrier and low
440 stakeholders experience.

441 Therefore, the implication of this research in the field of knowledge is to strengthen the idea by
442 drawing insight into the challenges and a need for the adoption of design sustainability
443 implementation in the Nigerian highway context. Besides, this research provides the first
444 comprehensive assessment to adopt a sustainability design assessment strategy for Nigeria.

445 Whilst this study did not confirm either with a pilot study of the assessment outcome in projects
446 in Nigeria— it did partially substantiate to identify the benefits. The identified limitation can be
447 enhanced through case studies and pilot surveys—A key strength of the current study is to develop
448 initial sustainability assessment indicators, award credit points, certification framework and
449 model. More research is now needed to broadly examine benefits, strategy and concepts towards
450 adopting sustainability assessment for the Nigeria highway design. The findings of this study have
451 a number of important implications, such as for the future practice within the West Africa context,
452 industry practitioners and Transport governmental agencies to emulate strategy, benefits and
453 impacts associated with the discussed subject.

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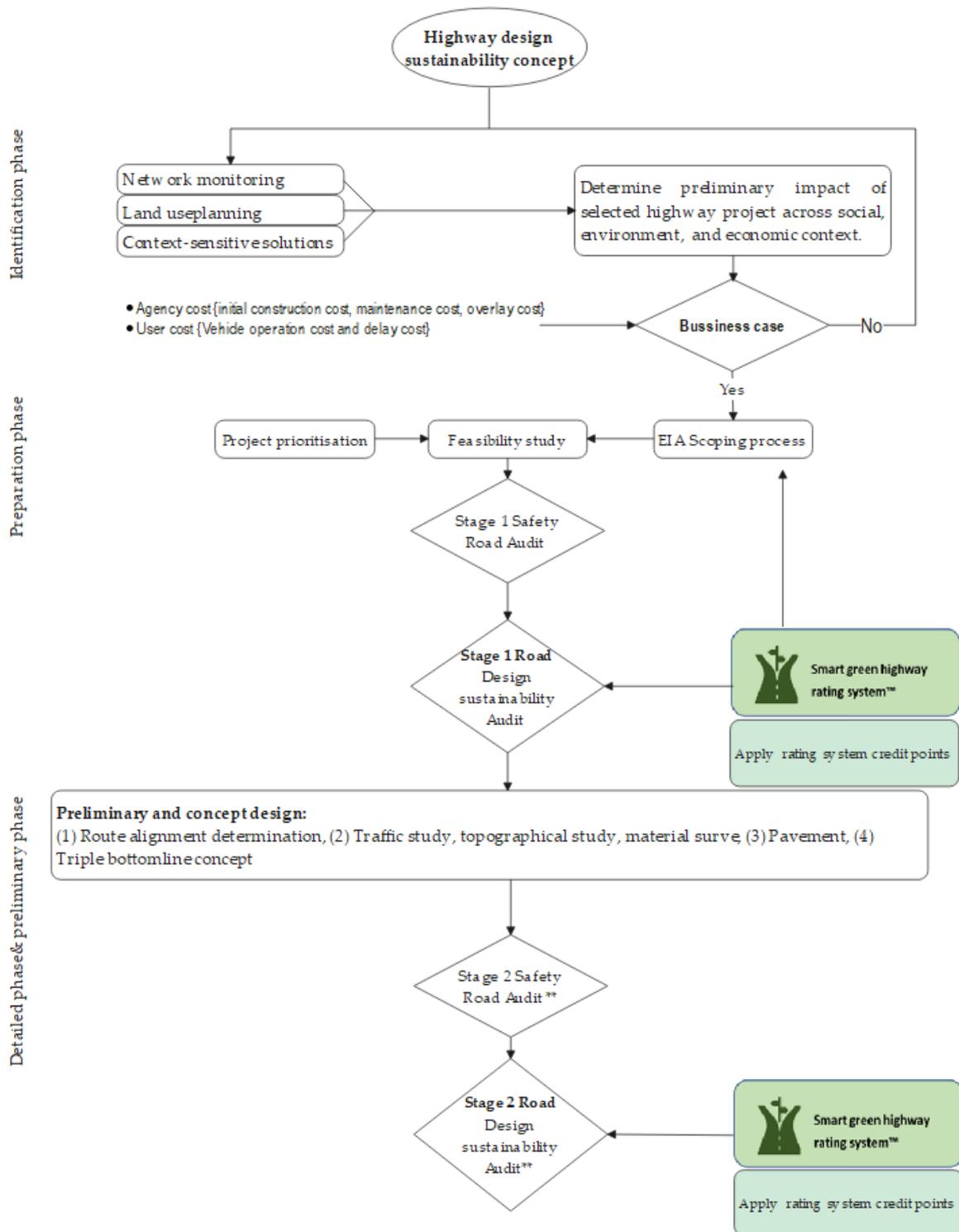
455 **Funding:** This research did not receive any funding and was self-funded.

456 **Conflicts of Interest:** The authors declare no conflict of interest

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*** Implementation is in conjunction with developed sustainability implementation plan*

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Figure 10. Proposed sustainability application framework.

468 **Table 7: —Pilot survey for credit point assigned to sustainability assessment design indicators**

Category	Indicators	Indicator description	Point
Environmental	Reduce habitat fragmentation alignment	Protect existing greenspace, restore wetland	1
	Impact on farmland and habitat	Avoid degradation and destruction	1
	Ecological connectivity	Improve wildlife access and mobility across roads	1
	Enhance air quality	Roadside vegetation improves air quality	1
	Watershed restoration	Restore natural aquatic ecosystem in design	0
	Climate preparedness and resilience	Avoid flooding risks & GHG across an ecosystem	1
	Renewable energy use	Design to use solar, wind and hydroelectric energy	0
	Avoid groundwater pollution	Avoid the use of harmful dangerous substances	1
	Reduce greenhouse gas emission	Regulate equipment and material design pollution	1
	Material design reuse	Re-use and recycle waste and demolished facility	1
	Highway sound barrier wall	Design to limit sound pollution	0
	Eliminate environmental pollution	Design to limit pollution as stipulated by W.H.O	1
	Long-life design	Use new pavement technology for design	1
	Runoff flow control	Design runoff control measures to limit pollution	1
	Smart infrastructure	Design smart sustainable highway project	1
Measurement and verification	Measure sustainability and compare best practices	1	
Technical	Traffic volume count	Document pattern of traffic behaviour and impact	1
	Speed limit	Integrate smart highway with the design speed limit	1
	Terrain analysis	Model terrain to limit cut and fill surface	1
	Stopping sight distance	Consider factors:-driver, vehicle and roadway	1
	Safe radius of the curve	Use minimum curvature, use broken back curves.	1
	Safe superelevation	Design superelevation for safety and optimal speed	1
	Catchment basin for stormwater	Design surface runoff collection basins	0
	Profile and vertical curves	Design profile and curves to balance cut and fill, etc	1
	Safe cross-section and geometric	Analyse functional classification and benefits	1
	Sustainable, flexible pavement	Design pavement with 40% recycled materials	1
	Culvert and gully pots and stormwater	Improve Best Management Practice	0
Economic	Lifecycle cost analysis	Calculate agency cost, user cost, delay cost etc	1
	Cost-benefit ratio	Evaluate the cost of sustainability across project	1
	Return on Investment	Determine benefits across sustainability model	1
	Innovative ideas	Share sustainability best practices in design	1
Social	Community engagement	Use Context sensitive solution for design	1
	Intermodal connectivity	Integrate design across other forms of transport	0
	Travel time reduction	Determine optimal alignment and obstructions	1
	Protect cultural and natural heritage	Enhance social and cultural context in community	1
	Serviceability	Design roughness, surface distress, skid resistance and structural capacity	1

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APPENDIX A

482 TABLE: A1 – Likert Scale questionnaire prototype

Developing sustainability rating system for the Nigerian highway design: 0, 1, 2, 3, 4, 5 Likert

Part A:

- Q.1: Awareness of the concept of sustainable highway design?
- Q.2: Have you made use of the existing sustainable design protocol?
- Q.3: Identify the sustainable highway design protocol used?
- Q.4: Rank the usefulness of the sustainability tools and design protocol used?
- Q.5: Have you been involved in decision-making in highway design?

Assign Likert scale to a range of indicators (0 = not relevant to 5= very high significance)

Part B:

- Q.6: Technical sustainability indicators (R1 – R11)?
- Q.7: Environmental sustainability indicators (R12 – R27)?
- Q.8: Economic sustainability indicators (R28 – R31)?
- Q.9: Social sustainability indicators (R32 – R36)?

483

484 **Table A2. Economic sustainability judgement matrix**

485 Consistency ratio = 0.076 < 0.10; Weight = ;0.250; λ = 4.252 ; n = 4

	R28	R29	R30	R31	WEIGHT %
R28	0.182	0.143	0.400	0.143	0.217
R29	0.364	0.286	0.200	0.286	0.284
R30	0.091	0.286	0.200	0.286	0.216
R31	0.364	0.286	0.200	0.286	0.284
Total	1.00	1.00	1.00	1.00	1.00

486

487 **Table A3. Social sustainability judgement matrix**

488 Consistency ratio = 0.043 < 0.10; Weight = 0.200; λ = 5.192 ; n = 5

	R32	R33	R34	R35	R36	WEIGHT %
R32	0.200	0.222	0.222	0.222	0.222	0.218
R33	0.200	0.111	0.111	0.111	0.111	0.129
R34	0.200	0.222	0.222	0.222	0.222	0.218
R35	0.200	0.222	0.222	0.222	0.222	0.218
R36	0.200	0.222	0.222	0.222	0.222	0.218
Total	1.00	1.00	1.00	1.00	1.00	1.00

489

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