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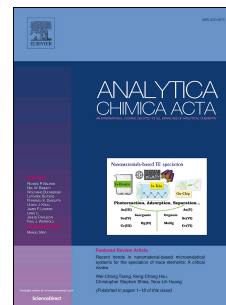
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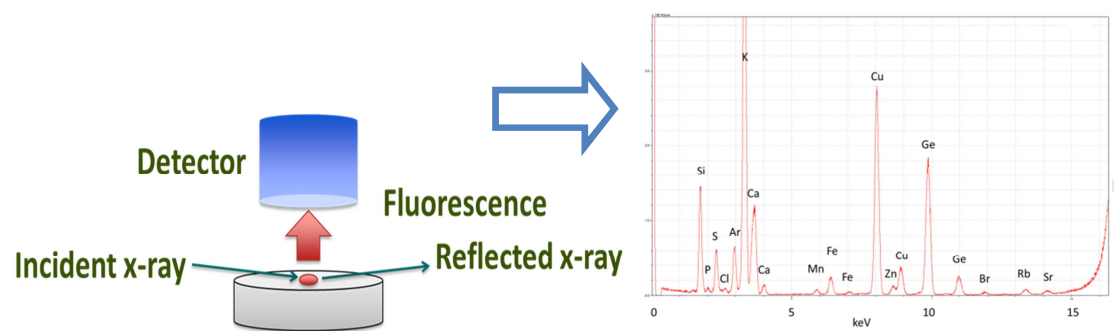
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## Graphical abstract



1 **Multivariate analysis of Scotch whisky by total reflection x-ray fluorescence and**  
2 **chemometric methods: a potential tool in the identification of counterfeits**

3

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6

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11

12 **ABSTRACT:** Most methods used in the identification of counterfeit whisky have  
13 focused on the profiling of volatile organic congeners determined by gas  
14 chromatography. We tested the use of total reflection x-ray fluorescence (TXRF) for  
15 trace element analysis of whisky and application of the data as a potential tool in the  
16 identification of counterfeit samples. Twenty five whiskies that were produced in  
17 different regions of Scotland or were blends, 5 counterfeit whiskies, 1 unmaturred  
18 grain whisky, and 1 matured grain whisky were analysed for 11 elements (P, S, Cl, K,  
19 Ca, Mn, Fe, Cu, Zn, Br and Rb). The effect of cold plasma ashing with oxygen on whisky  
20 residues evaporated on the TXRF reflector on the instrument performance was  
21 investigated. Cold plasma ashing with oxygen reduced beam scatter and improved the  
22 limits of detection but was ultimately deemed unnecessary. The element  
23 concentration data for whisky obtained by TXRF (after log transformation) was  
24 compared with the values obtained by inductively coupled plasma spectroscopy and

25 showed correlation values ( $R^2$ )  $\geq 0.942$  for K, Mn and Cu:  $\geq 0.800$  for Ca, Fe and Rb;  
26 and  $\geq 0.535$  for P, S and Zn. The range of concentration values for individual elements  
27 was variable and principal components analysis of the elemental concentrations  
28 partially differentiated the whiskies by region but showed clear separation of the  
29 counterfeit samples from the other samples. Using the principal component scores of  
30 the elemental concentration data, linear discriminant analysis also distinguished the  
31 counterfeits from the other samples.

32

33 *Keywords:* Counterfeit; plasma ashing; trace elements; whisky; x-ray fluorescence

34

## 35 **1. Introduction**

36

37 Scotch whisky makes up around one quarter of the UK's total food and drinks exports  
38 and in 2015 was valued at £3.95 billion for the UK balance of trade. Scotch whisky is  
39 exported to around 200 markets worldwide and supports over 40,000 jobs across the  
40 UK [1]. Due to its large market and relatively high prices, Scotch whisky counterfeiting  
41 is common, especially with blends. Not only does counterfeiting defraud consumers  
42 and producers, it poses underlying health risks. The methods used to identify whisky  
43 type, composition and authenticity have been reviewed [2]. Most methods have  
44 focused on the profiling of volatile organic congeners (VOCs) determined by gas  
45 chromatography [3, 4]. Other analytical methods include the determination of the  
46 stable isotope ratios of carbon, hydrogen or oxygen [5-7]. These chromatographic and  
47 isotopic methods generally require expensive equipment and highly trained operators.  
48 Testing of the authenticity of Scotch whisky in field situations requires methods that

49 use portable equipment. To this end, MacKenzie and Aylott [8] developed a small  
50 battery powered uv / visible spectrophotometer that could distinguish (some)  
51 counterfeit whiskies. McIntyre *et al.* [9] used attenuated total reflectance (ATR) in the  
52 mid infrared spectral region with a probe directly in the sample to assess ethanol  
53 concentration and diamond ATR with dried residues to distinguish authentic and  
54 counterfeit whiskies. Ashok *et al.* [10] used a small optofluidic device with an IR source  
55 to rapidly determine the alcohol concentration in Scotch whiskies and to classify them  
56 based on age, type and cask. Mignani *et al.* [11] used a combination of optical  
57 absorption and fluorescence spectroscopy and multivariate analysis to differentiate  
58 distinctive single-malt Scotch whiskies from commercial-grade blends, and for  
59 classifying them according to the region of production.

60

61 In addition to VOCs and other organic materials that can be used as markers to aid  
62 identification of origin, alcoholic drinks also contain trace elements derived from the  
63 raw materials, production process equipment, storage vessels and additives [12] but  
64 compared with the determination of organic markers little application of trace  
65 elements to the identification of counterfeit whisky has been tested. Anodic stripping  
66 voltammetric determination of metals in whisky, without prior treatment, has been  
67 used to measure the concentration of Cu, Zn and Pb in unspecified whiskies [13].  
68 Graphite furnace atomic absorption has been used to measure the concentration of  
69 Cu, Zn, Pb, Ni, Fe, Ca, Mg and Na in 35 scotch whiskies and from the data it was  
70 concluded that the 'fingerprint' of the metals could not be used to identify different  
71 regions of whisky production but Cu concentration alone could be used to distinguish  
72 a malt whisky from a blended or grain whisky [14]. Using inductively coupled plasma

73 (ICP) spectroscopy for analysis and canonical discriminant analysis and classification  
74 binary tree statistical methods, Kokkinofta *et al.* [15] found Mg, Zn and Cu  
75 concentrations distinctive parameters that could be used to differentiate zivania, a  
76 traditional alcoholic drink from Cyprus, from other spirits, believed to be related to  
77 the unique geology and climatic conditions. The health risks related to the trace  
78 metals in a range of distilled alcoholic beverages, including several whiskies from  
79 Scotland, have been suggested [16].

80

81 Total reflection x-ray fluorescence (TXRF) with small, portable instruments containing  
82 miniature x-ray tubes and Si drift detectors is a relatively new development of XRF  
83 spectrometry that has been used for elemental analysis of beverages such as tea,  
84 coffee and wine [17] but its application has not been reported within the Scotch  
85 whisky analysis sector. Compared with conventional XRF, TXRF has detection limits  
86 which are 3-4 orders of magnitude improved. Total reflection x-ray fluorescence  
87 spectroscopy is capable of simultaneously quantifying the concentration of many  
88 metals and non-metals with the exception of "light elements" and those forming part  
89 of the x-ray tube. In comparison to the use of ICP, TXRF spectroscopy has less spectral  
90 interference, has micro-analytical capability (typical sample volume of 10  $\mu$ L), can use  
91 a single element for the standardisation of all elements, and is cheaper to buy and  
92 operate. It can also simultaneously analyse anionic (*e.g.* halogens) and cationic  
93 elements (*e.g.* metals). Total reflection x-ray fluorescence spectroscopy has been  
94 applied to the trace metal analysis of some alcoholic and non-alcoholic beverages [18].  
95 For example, TXRF spectroscopy has been used for the analysis of Madeira wine  
96 following pretreatment to remove residual organic matter [19] or table wine directly

97 [20-24]. Total reflection XRF spectroscopy has been applied to the analysis of distilled  
98 drinks. For spirits containing sufficient quantities of sugars that result in a viscous  
99 residue, preliminary evaporation, ashing at 500°C, and leaching the residue with nitric  
100 acid was required before the sample could be applied to the TXRF support and  
101 analysed for Fe, Cu and Zn [25]. In contrast, Capote *et al.* [26] were able to directly  
102 analyse commercial spirits and homemade “firewater” for the same elements.

103

104 When evaporated, whisky leaves a small deposit of non-volatile organic matter, which can  
105 cause undesirable scatter of the x-ray beam into the TXRF detector. The detection limits in  
106 TXRF spectroscopy depends *inter alia* on the signal-to-noise ratio at the detector. A range  
107 of ashing methods have been applied in TXRF spectroscopy to remove the organic sample  
108 matrix [27]. Cold plasma ashing (CPA) with oxygen is attractive because in comparison with  
109 wet ashing, CPA can be expected to minimise the introduction of extraneous contaminating  
110 material, but there is a potential danger of loss of volatile elements. Cold plasma ashing has  
111 been used to remove organic matter from a variety of organic substrates in TXRF  
112 spectroscopy-related studies including zebrafish [28], nematodes [29] and  
113 microcrustaceans [30].

114

115 Chemometric methods for determining the authenticity of wine have been extensively  
116 studied [31]. For the identification of grape variety, analyses of volatile compounds are  
117 often employed, whereas for the classification of geographical region of production,  
118 minerals are often employed [32]. Multivariate methods including principal component  
119 analysis, cluster analysis, discriminant analysis, multiple linear regression, and ANOVA have  
120 all been successfully applied [33, 34]. To our knowledge, TXRF spectroscopy coupled with



121 multivariate statistical methods have not been applied to the analysis of Scotch whisky. Our  
122 study reports on the elemental analysis of a small sample-size of malt, grain and blended  
123 Scotch whiskies by TXRF for Cu, Zn, Fe, Ca, S, Cl, K, Mn, P, Rb and Br and the use of the data  
124 for the determination of authenticity and provenance. These elements were selected  
125 because they were associated with the whisky production process and their concentrations  
126 in most samples were above the limits of detection by TXRF. We also assessed the use of  
127 CPA to remove residual organic matter from dried whisky residues on the quartz TXRF  
128 spectroscopy support, with the aim of reducing x-ray beam scatter and / or inter-element  
129 interferences.

130

## 131 **2. Materials and methods**

132

### 133 *2.1. Whisky*

134

135 The whisky producing regions of Scotland can be divided in a number of ways [35]. We  
136 divided the samples into the four general regions (Highland, Speyside, Islay and Lowland),  
137 see (Fig. S1). Twenty five whiskies from reputable market places that were produced in the  
138 different regions of Scotland, 2 grain whiskies and 5 whiskies known to be counterfeit were  
139 analysed (Table 1). Of the 25 from the market place, 8 were blends of unknown local origin.  
140 The counterfeits and grain whiskies were from sources which remain anonymous. The  
141 samples were analysed without filtration or dilution.

142

### 143 *2.2. TXRF spectrometry*

144

145 A benchtop TXRF spectrometer (Bruker S2 PICOFOX™, Germany) with an air-cooled X-ray  
146 tube and a Mo target was used (tube voltage 50 kV, current 600  $\mu$ A). The instrument has a  
147 25-place auto sampler and we used quartz carrier discs throughout. Each sample was  
148 prepared in triplicate (*i.e.*, on three separate discs) and the live time for analysis was 1000  
149 s. The quartz carriers were cleaned and siliconised according to the manufacturer's  
150 recommendations, as described by Towett *et al.* [36] and checked for any contamination by  
151 analysing the discs for 1000 s, a sufficient period to ensure levels of contamination by  
152 elements such as Fe and Zn are absent at appropriate concentrations expected in whisky.  
153 Siliconised discs showing net counts for  $K\alpha_{1,2}$  lines of P > 25, S > 5, Cl > 110, K > 20, Ca > 80,  
154 Mn > 80, Fe > 260, Cu > 100, Zn > 130, Br > 10 or Rb > 1 were returned for another cleaning  
155 and check cycle. A standard solution of 0.5 mg Ge L<sup>-1</sup> was freshly prepared from a stock  
156 1000  $\pm$  3 mg Ge L<sup>-1</sup> in 2 % nitric acid purchased from CPI International, Amsterdam. As far as  
157 possible, preparations were carried out in a laminar air flow cabinet to minimise airborne  
158 contamination.

159  
160 Using one selected whisky (sample 30, Glenrothes, Table 1), two method of applying the  
161 sample to the quartz carrier discs were investigated. Sample 30 was chosen as it had an  
162 average composition with detectable concentrations for all the selected elements. In the  
163 first method, 10  $\mu$ L of the whisky was applied to the disc, immediately followed by 10  $\mu$ L of  
164 the 0.5 mg Ge L<sup>-1</sup> standard directly on top of the whisky droplet, followed by evaporation  
165 on a hot plate at 60 °C. In the second method, equal volumes of the whisky and Ge  
166 standard solution were mixed before applying two 10- $\mu$ L drops directly together on the  
167 disc, followed by evaporation on a hot plate at 60 °C. The TXRF spectra were analysed using  
168 SpectraMax software and in addition to the signals from Si (from the support and

169 siliconising fluid), Ar (from the air) and Mo (from the tube) were generally assigned to P, S,  
170 Cl, K, Ca, Mn, Fe, Cu, Zn, Br and Rb, although occasionally other trace elements (*e.g.* Ni)  
171 were included but are not reported here. Deconvolution used the method “profile bayes”  
172 with a normal fit. The quality of the fit was assessed visually and using the value of the  
173 standardised square sum of the differences between the measured and the calculated  
174 deconvolution intensities. Preliminary analysis of the whiskies, without the addition of Ge,  
175 showed that the samples did not display any corresponding Ge signals indicating the  
176 suitability of Ge as an internal standard. The amount of Ge standard added to the carrier as  
177 internal standard was selected to provide a peak area approximately corresponding to that  
178 of the signal from Cu. In TXRF studies, Ga is often the preferred standard but we chose Ge  
179 as the signals are further removed from those due to Zn, which we considered an  
180 important element in discrimination in our study.

181

### 182 *2.3. Cold plasma ashing (CPA)*

183

184 An Emitech K1050 X Plasma Asher with high purity oxygen (> 99.95 %) at a pressure of 70  
185 Pa was used to oxidise the whisky residues (left from the evaporation of the 10  $\mu$ L sample)  
186 on the quartz TXRF discs. To minimise physically disturbing the sample and potential  
187 airborne contamination, a Millipore Millex-HN Nylon 0.45  $\mu$ m cassette filter was fitted to  
188 the air inlet tube leading to the chamber. The whisky residues on quartz discs were ashed  
189 at a power of 75 W for 2 h. The capability of the asher to destroy organic matter was tested  
190 on 40 mg sucrose powder held in an aluminium cup at a lesser power of 25 W. The residual  
191 weight was recorded at intervals up to 2 h. The experiment was performed in duplicate.

192

193 To further investigate the effect of CPA on whisky residue we used Fourier transform  
194 infrared (FTIR) with a Bruker Vertex 70 spectrometer equipped with a diamond attenuated  
195 total reflectance (DATR) accessory and one selected peaty whisky (sample 21, Talisker,  
196 Table 1). To provide sufficient sample mass, 10 mL of the whisky was evaporated at room  
197 temperature (approximately 25 °C) in a glass vessel. A portion of the residue obtained was  
198 transferred onto the DATR device with a spatula and the sample scanned between  
199 wavenumbers 400-4000  $\text{cm}^{-1}$ . The remainder was subject to CPA and the FTIR analysis  
200 repeated.

201

#### 202 *2.4. ICP Analysis*

203

204 Eighteen of the 32 samples were analysed by ICP. The range included blends, counterfeits,  
205 grain, island, and lowland samples as listed in Table 1. The other samples were not  
206 analysed because of the restricted volumes available. Twenty-milliliter aliquots of whisky  
207 were evaporated to near dryness in 50-mL beakers on a hot plate set at 100 °C. The  
208 residual solution was left to evaporate at room temperature (approximately 25 °C) and the  
209 solid residue remaining treated with 2.56 mL of trace-analysis grade 15.6 M nitric acid and  
210 warmed to aid dissolution of solids. The mixture was diluted with high purity, deionised  
211 water, warmed to ensure that all the solids were dissolved and made up to 20 mL with  
212 water in a volumetric flask. The solutions were analysed by ICP-mass spectroscopy (Agilent  
213 7700) for Mn and Rb, and ICP-optical emission spectrometry (Optima) for Mg, P, S, K, Ca,  
214 Fe, Cu and Zn using matrix matched standards. A composite sample comprising of equal  
215 volumes of ten whiskies (Sample no. 2 - 7, 18, 20, 22 and 23 listed in Table 1) was  
216 evaporated and the residue dissolved in nitric acid as described previously. The extract of

217 the composite sample was used to determine the statistical errors associated with the ICP  
218 analysis.

219

## 220 2.5. Statistics

221

222 In total we analysed 32 samples of whisky. The set comprised of 25 whiskies purchased  
223 from reputable sources, 2 grain, and 5 counterfeit samples. Because the availability of  
224 counterfeits was beyond our control and we could only obtain a maximum of 5 counterfeit  
225 samples, the experimental design was not ideally balanced with respect to the total  
226 number of samples.

227

228 Statistical analyses were carried out using Minitab™ versions 16 and 17, and Microsoft  
229 Excel 2010. Error terms ( $\pm$ ) were presented refer to one standard deviation. The normality  
230 of data was tested using the Kolmogorov Smirnov test. The limit of detection (LOD) for  
231 elements in whisky measured by TXRF were calculated according to Equation 1, where  $C_i$  is  
232 the concentration of the element,  $N_i$  is the area of the fluorescence peak in counts, and  
233  $N_{BG}$  is the background area subjacent to the fluorescence peak [37].

234

$$235 \mathbf{LOD}_i = (3 \times C_i \times \sqrt{N_{BG}}) / N_i \quad \text{Equation 1}$$

236

237 The LODs for ICP spectroscopy were determined differently using single element standards  
238 in dilute nitric acid but used a similar 3-sigma approach. Principal component analysis used  
239 the correlation matrix, and dendograms showing linkages between variables used Euclidean  
240 distance: in both cases the trace element concentration data was first normalised by

241 logarithmic transformation. Linear discriminant analysis used the scores from the PCA and  
242 cross validation was applied.

243

### 244 **3. Results and discussion**

245

#### 246 *3.1. Application of whisky sample to the quartz support*

247

248 A comparison of the element concentration data obtained by TXRF spectroscopy following  
249 the two different sample application methods (*i.e.*, mixing equal volumes of the whisky  
250 and standard Ge solution directly on the quartz disc, and that obtained by premixing equal  
251 volumes of the whisky (sample 30, Glenrothes, Table 1) with the Ge standard solution  
252 before application to the quartz disc is shown in Table 2. The measured concentration  
253 values for the elements were not statistically different between the sample treatment  
254 methods ( $p = 0.05$ ), and were close to those determined previously (Table 1). The  
255 variation (standard deviation) between replicates was often better for the premixing  
256 procedure and this method was adopted as our standard procedure. An example TXRF  
257 spectrum, labelled with the elements measured, for the Glenrothes whisky is shown in Fig.

258 1.

259

#### 260 *3.2. Cold plasma ashing and TXRF background*

261

262 Cold plasma ashing of powdered sucrose displayed an approximately exponential weight  
263 loss pattern and > 90 % of the initial mass was lost in 2 h. We assumed that 2 h reaction  
264 time at 75 W would be sufficient to remove much smaller but likely more recalcitrant,

265 residual organic matter from whisky residues dried on the disc and improve the signal to  
266 noise ratio and / or help reduce inter-element x-ray interference if such effects were to  
267 exist within the thin film.

268

269 To monitor the effect of CPA on the TXRF background signal we inspected the background  
270 values under the Cu fluorescence signals near 8.03 keV. At this energy position, clean quartz  
271 discs had average background counts before and after siliconisation of  $211 \pm 14$  and  $214 \pm$   
272  $13$ , respectively. After adding the internal Ge standard the background counts increased to  
273  $332 \pm 22$ . The error terms relate to  $\pm$  standard deviation for 15 separate discs. With one  
274 exception, the background under the Cu fluorescence signal for whisky and the internal  
275 standard on the siliconised discs was between 454 and 1716 counts (average 997). After  
276 cold plasma ashing the background count was reduced to values between 365 and 1460  
277 (average 682). The exception was one of the counterfeit whiskies (sample 11, Table 1),  
278 which left an easily visible light-coloured, residual spot with a relatively high background of  
279  $12,829 \pm 232$  counts. The background for this sample was reduced to  $981 \pm 479$  ( $n = 3$ )  
280 counts by CPA.

281

282 The FTIR absorbance spectra of sample 21, Talisker (Table 1) before and after CPA are  
283 compared in Fig. S2. Both spectra have peat-like character [38]. Prior to CPA the whisky  
284 residue was brown and had characteristic absorbance peaks for wax or fatty acids ( $2924$ ,  
285  $2854 \text{ cm}^{-1}$ ), carboxylic acid (broad peak centred on  $2700 \text{ cm}^{-1}$ ), carboxylic acid salts ( $1604$   
286  $\text{cm}^{-1}$ ), lignin ( $1516 \text{ cm}^{-1}$ ), and carbohydrates in the form of polysaccharides ( $1034 \text{ cm}^{-1}$ ). The  
287 organic residue from sample 21 was not totally decomposed by CPA but there was a  
288 reduction in absorption intensities particularly for wax ( $2927$ ,  $2850 \text{ cm}^{-1}$ ) and carbohydrates

289 in the form of polysaccharides ( $1041\text{ cm}^{-1}$ ).

290

291 Cold plasma ashing had little effect on the measured concentration of P, S, K, Ca, Cu, Zn  
292 and Rb determined by TXRF but there were large differences for the measured  
293 concentrations of the halogens Cl and Br, and for Mn and Fe (Fig. 2). There was an apparent  
294 loss of Br but a gain of Cl. The offset and scatter of the data for Mn and Fe indicate  
295 contamination by these elements in the plasma ashing chamber. After logarithmic  
296 transformation, the element concentration data were normally distributed (Kolmogorov  
297 Smirnov test,  $p = 0.05$ ) apart from minor deviation for P and Mn in the ashed samples. The  
298 correlation coefficient ( $R^2$ ) for the transformed data was  $\geq 0.963$  for S, K, Ca, Cu, Zn and Rb,  
299 but was considerably poorer for the other elements (P, 0.894; Cl, 0.690; Mn, 0.181; Fe,  
300 0.293; and Br, 0.589). A paired t-test showed that there were statistically significant  
301 differences ( $p \leq 0.05$ ) between the mean values of the log transformed data for all  
302 elements apart from P. Because of the marginal gain in reducing the background intensity,  
303 and the potential losses or gains we considered CPA an undesirable step in future work.

304

### 305 3.3. TXRF and ICP limits of detection

306

307 The LOD for TXRF measurements varied between elements and between samples but  
308 generally improved as the atomic number increased. Although not strictly comparable  
309 because the TXRF LODs were determined with real whiskies, whereas ICP LODs were  
310 determined with single element standards, the performance of ICP was better than TXRF  
311 for P detection but similar for the other elements measured (Table 3). Using blank discs  
312 Lofthouse *et al.* [39] reported similar LODs ( $\text{mg L}^{-1}$ ) by TXRF for Mn (0.003), Fe (0.002), Cu



313 (0.002) and Zn (0.001). For the TXRF analysis of a freshwater reference sample using Mo –  
314  $K\alpha$  radiation Stosnach [40] reported the following detection limits ( $\text{mg L}^{-1}$ ); K (0.0069), Ca  
315 (0.0049), Mn (0.0013), Fe (0.0008), Cu (0.0006) and Zn (0.0004).

316

#### 317 *3.4. TXRF analysis of whisky without CPA*

318

319 The concentrations of the elements in the whiskies determined without CPA are shown in  
320 Table 1 along with their mean, median, maximum and minimum values. Phosphorus  
321 concentrations were below the limit of detection for 10 samples and Rb concentrations  
322 were below the limit of detection for 3 samples. The concentration ranges for individual  
323 elements were variable, *e.g.*, K concentrations varied over two orders of magnitude being  
324 between 0.336 and 37.7  $\text{mg L}^{-1}$ . The median element concentrations can be grouped: [K > S  
325 > Ca (6.2 to 1.0  $\text{mg L}^{-1}$ )] >> [Cu > Cl > P > Fe (0.25 to 0.08  $\text{mg L}^{-1}$ )] >> [Zn > Mn > Rb > Br  
326 (0.03 to < 0.004  $\text{mg L}^{-1}$ )].

327

#### 328 *3.5. Comparison of TXRF and ICP analysis of whisky*

329

330 The element concentrations in the eighteen whiskies measured by ICP spectroscopy were  
331 below the LOD for P in Samples 9 and 15; Mn in Sample 25; Fe in Samples 8, 10, 11, 12 and  
332 25; Zn in Samples 11 and 12; and Rb in Samples 9, 11, 24 and 25. No data was available for  
333 Cl and Br by ICP spectroscopy because ICP analysis of the halogen elements requires a  
334 different, non-routine set-up. Various substitution approaches for dealing with values  
335 below the LOD exist [41] and to determine the correlation between ICP and TXRF, values  
336 below the LOD were substituted with half of the LOD. Using the 1:1 line as a guide, a

337 comparison of TXRF and ICP derived concentrations for P, S, K, Ca, Mn, Fe, Cu, Zn and Rb in  
338 the whiskies (Fig. 3) indicated good agreement between the TXRF and ICP data. After log  
339 transformation the data were normally distributed (Kolmogorov Smirnov test,  $p = 0.05$ ) and  
340 the correlation coefficient ( $R^2$ ) for the transformed data was  $\geq 0.942$  for K, Mn and Cu:  $\geq$   
341  $0.800$  for Ca, Fe and Rb; and  $\geq 0.535$  for P, S and Zn. The systematic offset and data scatter  
342 for Fe, indicates the possibility of contamination, spectral interference or un-recognised x-  
343 ray interactions between elements. In general the standard deviations of the TXRF analysis  
344 (for 3 separate analyses) were relatively small and less than the size of the symbols used in  
345 the graph. The ICP concentration data were not individually replicated but triplicate analysis  
346 of the composite whisky sample showed that the relative percentage standard deviations  
347 (% RSD) associated with the ICP analysis were  $\leq 2.77\%$  for P, S, K, Ca, Cu, Zn and Rb. The  
348 percentage RSD was greater for Fe (5.24 %) and Mn (3.15 %). With the exception of Fe, the  
349 error terms associated with the ICP analysis are smaller than the size of the symbols used in  
350 Fig. 3. The concentrations of Mn ( $0.020 \text{ mg L}^{-1}$ ) and Fe ( $0.093 \text{ mg L}^{-1}$ ) measured in the  
351 composite sample by ICP were small and may in part explain the relatively large errors  
352 associated with their measurement. Although precautions were taken to avoid  
353 contamination and the siliconised discs were checked before loading the samples,  
354 contamination remains a possibility. Stosnach *et al.* [40] reported overestimation of Fe in  
355 reference water samples analysed by TXRF, most probably caused by contamination. We  
356 checked blank discs left in the instrument and in the laminar air flow cabinet, and the  
357 composition of the internal standard, but could not pinpoint a source of contamination.  
358 Spectral interferences from escape or pile-up peaks were considered [42]. The TXRF  
359 software was programmed to automatically correct for escape peaks. With Si-based  
360 detectors, escape peaks affecting Fe (6.392 and 6.405 keV) could arise from Cu (8.027 and

361 8.046 keV) resulting in peaks at 6.287 and 6.307 keV, respectively, but there was no  
362 indication of additional shoulders or peaks at the predicted positions.

363

### 364 *3.6. Statistical analysis*

365

366 In the statistical analysis we make use of multivariate methods including principal  
367 component analysis (PCA), cluster observations visualized in the form of dendograms, and  
368 linear discriminant analysis. The PCA method is a commonly used multivariate technique  
369 and aims to reduce the dimensionality of the data set consisting of a large number of  
370 interrelated variables (in this case elemental concentration data for 11 elements) while  
371 retaining as much as possible of the variation present in the original set. The reduction in  
372 dimensionality is achieved by transforming to a new set of variables, the principal  
373 components, which are uncorrelated, and ordered so that the first few components retain  
374 most of the variation present in the original variables [43]. The PCA method has found wide  
375 application in grape and wine analysis [44]. For linear discriminant analysis (LDA), we used  
376 the scores for the first six principal components of the elemental data to reduce the  
377 number of variables and applied cross validation to classify the whiskies into type (blend,  
378 counterfeit, grain,) or regional (Highland, Island, Lowland, Speyside) categories. Although  
379 similar to PCA in so far that they both derive linear combinations of variables, LDA  
380 maximizes the component axes for class-separation and explicitly attempts to model the  
381 difference between them [45].

382

383 Principal component analysis used the normally-distributed, log-transformed data. The  
384 eigenvalues for the first three components were PC1, 5.24 (47.6 % of variance); PC2, 2.33

385 (21.2 % of variance); and PC3 1.19 (10.8 % of variance). The Mahalanobis distance plot  
386 showed there were no outliers. The first two components (Fig. 4) accounted for 68.8 % of  
387 the variance and indicated that the counterfeit samples could be distinguished from the  
388 others on the basis of the profile of their trace element composition. The second  
389 component was particular important in separating the counterfeit samples from the  
390 whiskies of Scottish origin, with all five counterfeit samples separating out (Fig. 4). The  
391 three dimensional plot of the scores for the first three principal components shows the  
392 effect of the third component on the separation of classes. The loading plot for the first two  
393 components (Fig. 5) showed the counterfeit whiskies to have higher overall concentrations  
394 of S, Ca or Br and lower overall concentrations of Cu, Mn, K or Rb, as shown in Table 4. The  
395 scores for the third principal component showed positive values for Zn (0.552), Fe (0.485), S  
396 (0.228), Cu (0.132) and Rb (0.083), and negative values for Cl (-0.407), Ca (-0.300), Br (-  
397 0.245), P (-0.216), K (-0.110) and Mn (-0.097). For the log transformed data there were  
398 statistically significant positive correlations ( $p < 0.05$ ) between the concentrations of many  
399 elements, but the correlation was especially strong between Rb and K ( $R = 0.933$ ), between  
400 Mn and K ( $R = 0.899$ ) and correspondingly between Mn and Rb ( $R = 0.892$ ). The dendrogram  
401 related to the correlation coefficient distance of the elements is given Fig. 6. Although there  
402 is chemical similarity between the Group 1 elements Rb and K, there is no obvious chemical  
403 or geochemical connection between Mn and K or Rb. The dendrogram (Fig. 7) shows the  
404 grouping of the five counterfeit samples numbered 9 to 11. In this cluster observation  
405 method, the counterfeit samples are not completely distinguished and have similarity to  
406 some of the blends (samples 4, 6, 7, 8) and to the unmaturred grain whisky (sample 15) and  
407 is likely related to the inclusion of all the data, whereas analysis by principal components is  
408 selective. The variation in trace elements between individual bottles of the same brand of

409 whisky was not tested. Since PCA indicated some regional distinction between whiskies it  
410 can be inferred that the variation between bottles of the same brand are relatively smaller  
411 than that associated with the same brand.

412  
413 Linear discriminant analysis using the scores from first three components of the PCA and  
414 cross validation, correctly classified all five counterfeit samples, seven of the eight blends,  
415 five of the nine Speyside, two of the four Island, but failed to correctly identify the grain,  
416 Highland or Lowland whisky samples. The thirteen samples not correctly classified were 5,  
417 14-18, 21-23, 27, 28, 30 and 32 (see Table 1). Increasing the number of PCs scores included  
418 in the LDA analysis from three to six gave similar results with counterfeits correctly  
419 classified, although the number of correct classifications fell from nineteen to sixteen of the  
420 thirty two whisky samples.

421  
422 The number of whisky groups ( $n = 7$ ) compared with the total number of samples ( $n = 32$ )  
423 was quite large (see descriptors in Table 1). The three dimensional PCA plot (Fig. 4) shows  
424 that the positions of Highland, Island, Lowland and Speyside samples on the graph are not  
425 well separated. After combining these categories into one larger group, LDA correctly  
426 classified all five counterfeit samples, seven of the eight blends, fourteen of the seventeen  
427 in the new combined group but failed to correctly classify the two grain samples. The six  
428 samples not correctly classified were 5, 14, 15, 21, 27 and 31 (see Table 1). Increasing the  
429 number of PCs scores included in the LDA analysis from three to six gave similar results but  
430 in this case only four out of the five counterfeits were correctly classified. Counterfeit 5  
431 (sample 13 in Table 1) was classified as grain and the overall number of correct  
432 classifications fell from nineteen to sixteen of the thirty two whisky samples.

433

434 **4. Conclusions**

435

436 Although there are notable examples of the use of TXRF for the determination of trace  
437 elements in spirituous beverages [25, 26] there are no examples of the use of TXRF for the  
438 analysis and provenance of Scotch whisky. The concentration of a range of trace elements  
439 in microliter volumes of Scotch whisky can be determined directly by TXRF without  
440 pretreatment. The residual material left after evaporation is physically stable and evenly  
441 distributed on the quartz support. Although cold plasma ashing reduces the background  
442 signal by around one third, the extra step may result in contamination from material in the  
443 ashing chamber, and was thus deemed unnecessary. The results of the limited range of  
444 samples we analysed showed that the element concentrations vary considerably between  
445 whisky samples. The TXRF instrument is small and portable between laboratories, samples  
446 require little preparation apart from the addition of an internal standard, samples of around  
447 10  $\mu\text{L}$  volume can be analysed, and the method provides an alternative to the use of  
448 laboratory based inductively coupled plasma spectroscopy or other multi-element  
449 techniques. However, the analysis of so called "light" elements by the current TXRF  
450 methodology is restrictive and some elements may be lost by volatilisation during the  
451 evaporation stage of sample preparation. Statistical procedures using PCA and LDA were  
452 able to correctly classify counterfeit counterfeit whisky samples although some caution is  
453 expressed because of the unbalanced design of the experiment in relation to the relatively  
454 small number of counterfeit whisky samples analysed ( $n = 5$ ) compared with the total ( $n =$   
455 32).

456

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458

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463

464 **References**

465

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586 Table 1  
 587 Whisky sample description, and trace element concentrations determined by TXRF. Units  
 588 are mg L<sup>-1</sup> and “<” values refer to the limit of detection.  
 589

Sample no.	Descriptor	Distillery	P	S	Cl	K	Ca	Mn	Fe	Cu	Zn	Br	Rb
1	Blend	Ballie Nicol											
		Jarvis	0.152	1.10	0.173	7.86	1.45	0.032	0.027	0.186	0.015	0.002	0.006
2 <sup>a</sup>	Blend	Bells	0.653	1.58	0.238	4.93	1.40	0.019	0.110	0.242	0.021	0.005	0.003
3 <sup>a</sup>	Blend	Chivas	0.375	0.809	0.193	4.31	1.22	0.019	0.044	0.196	0.007	0.003	0.002
4 <sup>a</sup>	Blend	Dewars	0.121	1.16	0.157	3.20	1.14	0.011	0.050	0.189	0.018	0.003	0.003
5 <sup>a</sup>	Blend	Johnnie Walker	<0.326	1.09	0.180	5.48	0.526	0.018	0.103	0.286	0.020	0.002	0.002
		The Famous											
6 <sup>a</sup>	Blend	Grouse	<0.145	0.615	0.097	2.74	0.416	0.009	0.050	0.208	0.007	0.002	0.001
		White and											
7 <sup>a</sup>	Blend	Mackay	0.067	0.576	0.151	2.36	0.745	0.012	0.047	0.159	0.019	0.003	0.002
8 <sup>a</sup>	Blend	William Grant	0.239	0.748	0.147	2.84	0.976	0.010	0.021	0.137	0.020	0.003	0.002
9 <sup>a</sup>	Counterfeit	Unknown 1	<0.089	4.06	0.066	0.336	1.24	0.007	0.154	0.085	0.038	0.005	<0.001
10 <sup>a</sup>	Counterfeit	Unknown 2	<0.088	14.7	0.072	1.23	1.40	0.006	0.025	0.052	0.018	0.004	0.001
11 <sup>a</sup>	Counterfeit	Unknown 3	<0.279	15.9	<0.083	0.811	1.36	0.006	0.057	0.038	0.016	<0.002	<0.002
12 <sup>a</sup>	Counterfeit	Unknown 4	0.320	22.1	0.596	2.32	1.78	0.008	0.019	0.038	0.015	0.068	<0.001
13 <sup>a</sup>	Counterfeit	Unknown 5	<0.120	26.1	0.071	2.37	1.63	0.010	0.082	0.187	0.194	0.012	0.005
14 <sup>a</sup>	Grain	Grain matured	0.034	2.23	0.252	6.44	1.04	0.013	0.115	0.174	0.019	0.004	0.006
		Grain											
15 <sup>a</sup>	Grain	unmatured	<0.084	5.53	0.113	3.25	1.35	0.012	0.076	0.164	0.046	0.010	0.003
16	Highland	Glengoyne	1.04	5.57	0.343	24.2	0.857	0.023	0.197	1.251	0.041	0.004	0.016
17	Highland	Glenmorangie	<0.126	0.796	0.245	6.95	0.859	0.035	0.025	0.523	0.011	0.003	0.006
18 <sup>a</sup>	Island	Bowmore	0.914	6.67	0.316	21.1	0.868	0.037	0.148	0.548	0.032	0.007	0.018
19	Island	Bruichladdich	1.63	5.48	0.697	36.5	4.13	0.038	0.288	0.587	0.066	0.034	0.039
20 <sup>a</sup>	Island	Bunnahabain	2.24	7.54	1.35	36.2	2.12	0.051	0.184	0.580	0.057	0.014	0.037
21	Island	Talisker	0.034	4.85	0.362	5.67	0.607	0.018	0.070	0.277	0.033	0.003	0.006
22 <sup>a</sup>	Lowland	Auchentoshen	0.169	1.46	0.417	11.7	0.681	0.042	0.128	1.32	0.037	0.006	0.012
23 <sup>a</sup>	Lowland	Glenkinchie	<0.108	2.45	0.176	7.76	0.738	0.031	0.106	0.434	0.022	0.002	0.007
24	Speyside	Balvenie	0.695	3.85	0.120	20.3	0.765	0.031	0.121	0.380	0.035	0.005	0.024
25	Speyside	Craigellachie	0.096	0.819	0.177	6.11	0.633	0.024	0.094	0.239	0.025	0.005	0.006
26	Speyside	Dufftown	0.883	4.64	0.130	14.0	1.05	0.030	0.078	0.533	0.024	0.002	0.014
27	Speyside	Glen Elgin	<0.115	1.35	0.404	9.27	1.40	0.031	0.046	0.195	0.029	0.006	0.009
28	Speyside	Glenburgie	2.00	7.91	0.185	37.7	1.65	0.053	0.134	0.198	0.043	0.008	0.026
29	Speyside	Glennfiddich	0.317	2.72	0.344	12.4	0.660	0.029	0.132	0.519	0.193	0.004	0.013
30	Speyside	Glenrothes	0.953	4.11	0.399	16.7	1.83	0.041	0.137	1.030	0.029	0.007	0.014
31	Speyside	Knockando	0.051	1.03	0.191	5.14	0.605	0.017	0.094	0.432	0.020	0.008	0.005
32	Speyside	Linkwood	0.276	1.05	0.207	6.22	1.01	0.020	0.064	0.769	0.019	0.004	0.006
		Mean	0.437	5.01	0.269	10.3	1.19	0.023	0.095	0.380	0.037	0.008	0.009
		Median	0.158	2.59	0.188	6.16	1.05	0.020	0.088	0.241	0.023	0.004	0.006
		Maximum	2.24	26.1	1.35	37.7	4.13	0.053	0.288	1.32	0.194	0.068	0.039
		Minimum	0.034	0.576	0.041	0.336	0.416	0.006	0.019	0.038	0.007	0.001	0.001

590 <sup>a</sup> Whisky samples additionally analysed by ICP spectroscopy.

591 Table 2  
 592 Comparison of TXRF sample preparation methods <sup>a</sup>.  
 593

Element	Mean element concentration $\pm$ standard deviation (n = 10), mg L <sup>-1</sup>	
	Pre-mixed	Mixed on disc
P	0.97 $\pm$ 0.11	0.96 $\pm$ 0.15
S	4.39 $\pm$ 0.24	4.21 $\pm$ 0.53
Cl	0.32 $\pm$ 0.10	0.25 $\pm$ 0.08
K	16.2 $\pm$ 0.59	16.2 $\pm$ 1.54
Ca	1.91 $\pm$ 0.08	1.91 $\pm$ 0.15
Mn	0.040 $\pm$ 0.003	0.041 $\pm$ 0.004
Fe	0.143 $\pm$ 0.025	0.139 $\pm$ 0.017
Cu	1.015 $\pm$ 0.029	0.999 $\pm$ 0.065
Zn	0.034 $\pm$ 0.004	0.031 $\pm$ 0.002
Br	0.007 $\pm$ 0.002	0.006 $\pm$ 0.002
Rb	0.013 $\pm$ 0.001	0.013 $\pm$ 0.002

594 <sup>a</sup> The tests above used whisky sample number 30, see Table 1.

595 Table 3

596 Limits of detection (LOD) for TXRF analysis results derived from the analysis of 32 whisky  
 597 samples before and after cold plasma ashing (CPA). The limits of detection for ICP analysis  
 598 are derived from the analysis of single element standards in 2 M nitric acid.  
 599

Element	Range		Mean		Median		ICP
	Original	CPA	Original	CPA	Original	CPA	
	mg L <sup>-1</sup>						
P	0.084 - 0.367	0.073 - 0.338	0.142	0.134	0.120	0.116	0.020 <sup>a</sup>
S	0.035 - 0.151	0.030 - 0.141	0.058	0.055	0.048	0.048	0.050 <sup>a</sup>
Cl	0.026 - 0.112	0.022 - 0.105	0.043	0.041	0.036	0.036	NA
K	0.009 - 0.042	0.008 - 0.039	0.016	0.015	0.013	0.013	0.040 <sup>a</sup>
Ca	0.006 - 0.026	0.005 - 0.025	0.010	0.010	0.009	0.008	0.004a
Mn	0.002 - 0.007	0.001 - 0.007	0.003	0.003	0.003	0.002	0.001 <sup>b</sup>
Fe	0.001 - 0.006	0.001 - 0.006	0.002	0.002	0.002	0.002	0.002 <sup>a</sup>
Cu	0.001 - 0.004	0.001 - 0.003	0.001	0.001	0.001	0.001	0.004 <sup>a</sup>
Zn	0.001 - 0.003	0.001 - 0.003	0.001	0.001	0.001	0.001	0.003 <sup>a</sup>
Br	0.000 - 0.002	0.000 - 0.002	0.001	0.001	0.001	0.001	NA
Rb	0.000 - 0.003	0.000 - 0.002	0.001	0.001	0.001	0.001	0.001 <sup>b</sup>

600 <sup>a</sup> ICP-OES; <sup>b</sup> ICP-MS. NA = Not available.

601

602 Table 4

603 Comparison of geometric means of element concentrations in whisky samples.

604

Whisky	Element (mg L <sup>-1</sup> )										
	P	S	Cl	K	Ca	Mn	Fe	Cu	Zn	Br	Rb
Non counterfeit	0.222	2.04	0.235	8.46	0.997	0.023	0.083	0.347	0.026	0.004	0.007
SD <sup>a</sup> (n = 27)	0.622	2.34	0.249	10.7	0.729	0.012	0.060	0.326	0.035	0.006	0.010
Counterfeit	0.088	14.0	0.096	1.13	1.466	0.007	0.051	0.066	0.032	0.007	0.001
SD <sup>a</sup> (n = 5)	0.118	8.39	0.239	0.908	0.219	0.002	0.055	0.063	0.077	0.028	0.002

605 <sup>a</sup> Standard deviation.

606



607 **List of Figures**

608

609 **Fig. 1.** Example TXRF spectrum of whisky (sample number 30, Glenrothes, see Table 1).

610

611 **Fig. 2.** Comparison of element concentrations in thirty two whisky samples measured by  
612 TXRF before and after CPA.

613

614 **Fig. 3.** Comparison of element concentrations ( $\text{mg L}^{-1}$ ) in eighteen sample of whisky  
615 measured by TXRF and ICP spectroscopy. The dotted line represents the 1:1 relationship.

616

617 **Fig. 4.** Principal components analysis score plots prepared from log transformed trace  
618 element concentration data for thirty two whisky samples

619

620 **Fig. 5.** Principal components analysis loadings plots prepared from log transformed trace  
621 element concentration data for thirty two whisky samples. For sample numbering and  
622 description of region or type, see Table 1.

623

624 **Fig. 6.** Dendrogram showing correlation coefficient distances for the trace elements using  
625 standardised log transformed data. The numbers preceding the x-axis labels refer to the  
626 whisky sample numbers given in Table 1.

627

628 **Fig. 7.** Dendrogram using Euclidean distance and standardised log transformed data showing  
629 linkages between trace element variables.

630

631

632

633 **Supplementary information**

634

635 **Fig. S1.** Whisky producing regions of Scotland.

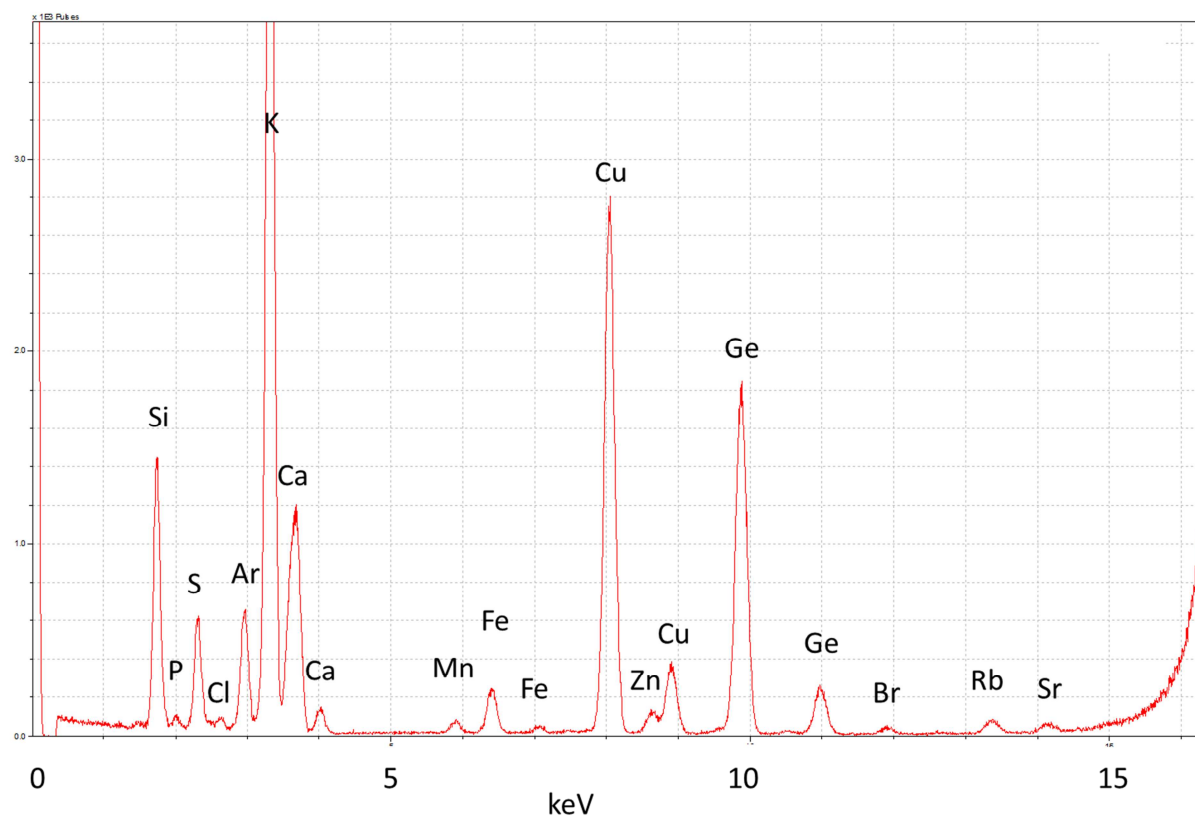
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637 **Fig. S2.** FTIR spectra of whisky (sample number 21, Talisker, see Table 1) before and after  
638 oxygen plasma ashing.

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640 Fig. 1

641

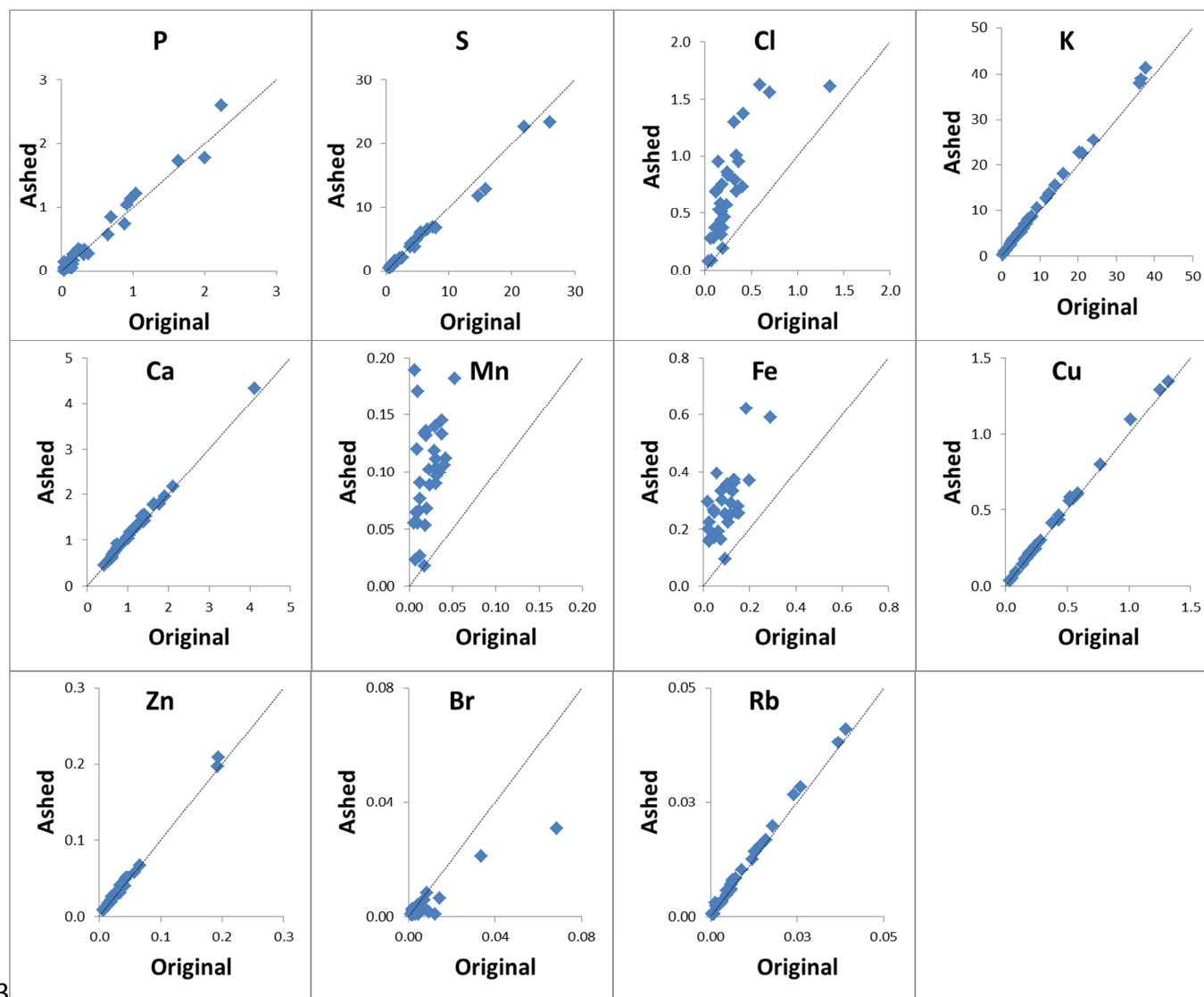


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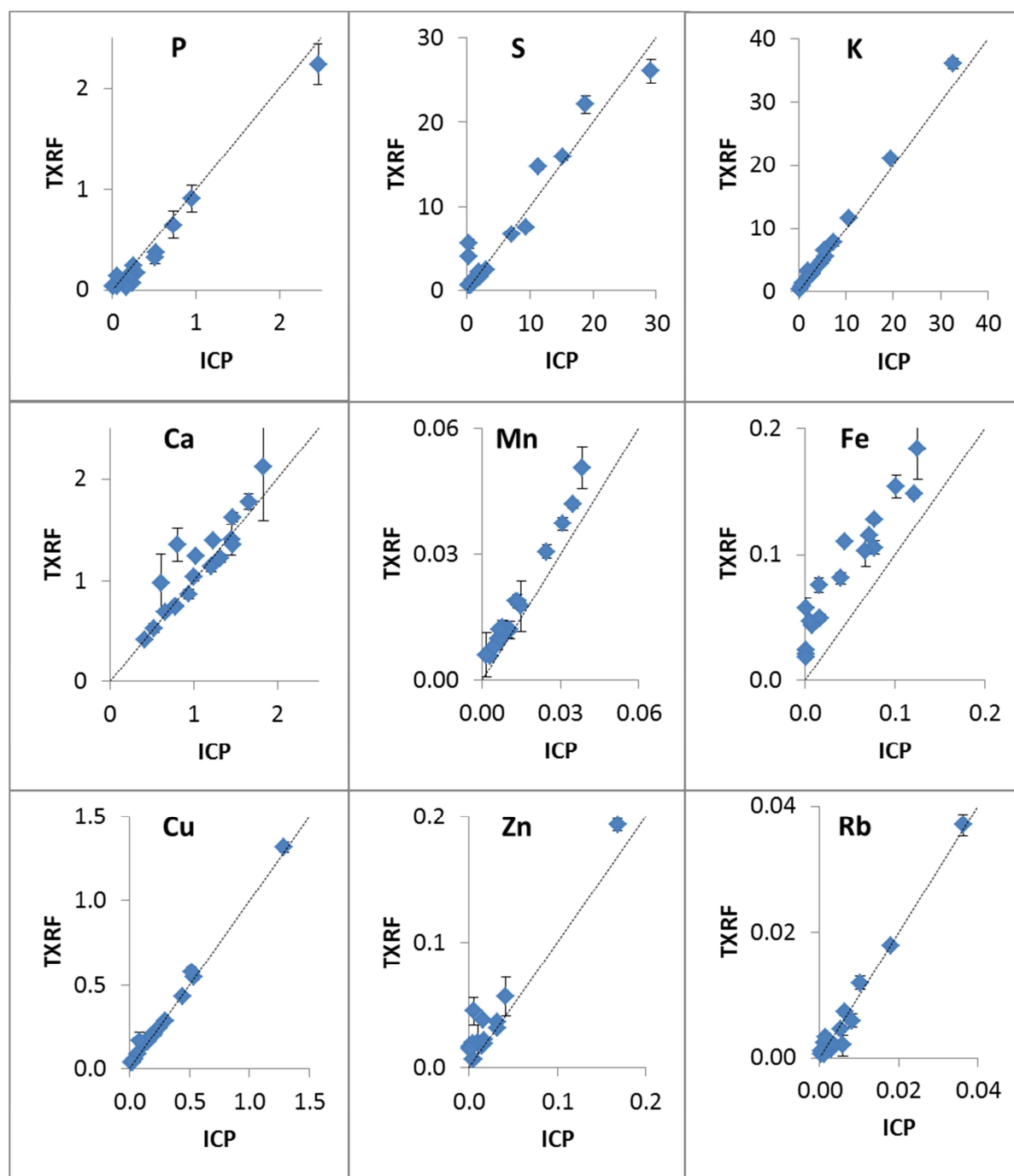
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644 Fig. 2

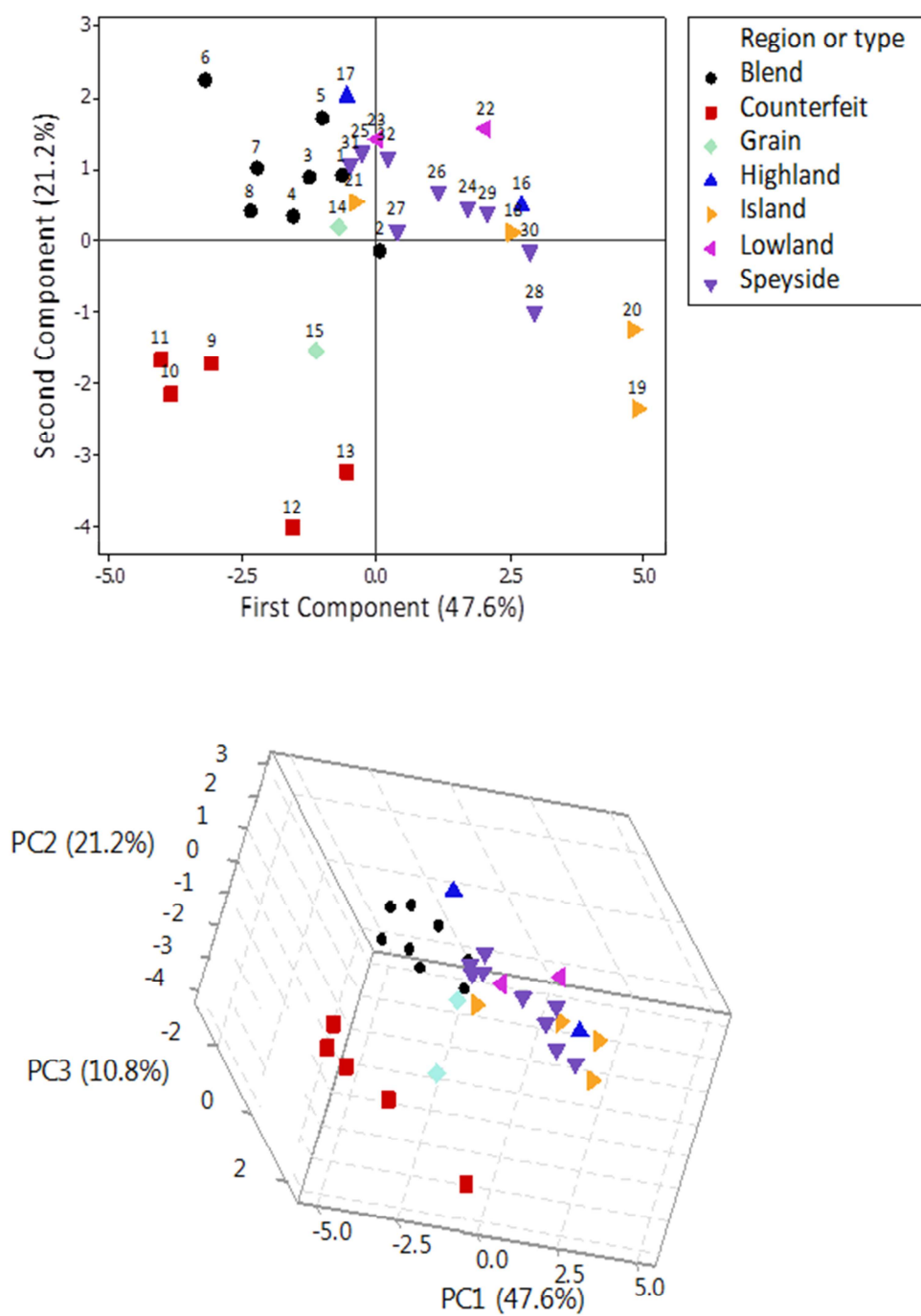
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646 Fig. 3

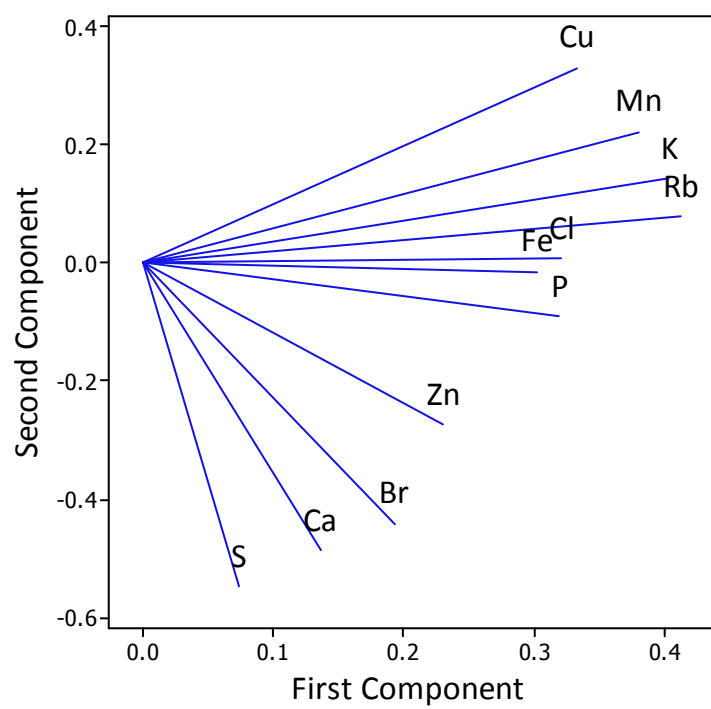
647 Fig. 3  
648649  
650

651 Fig. 4

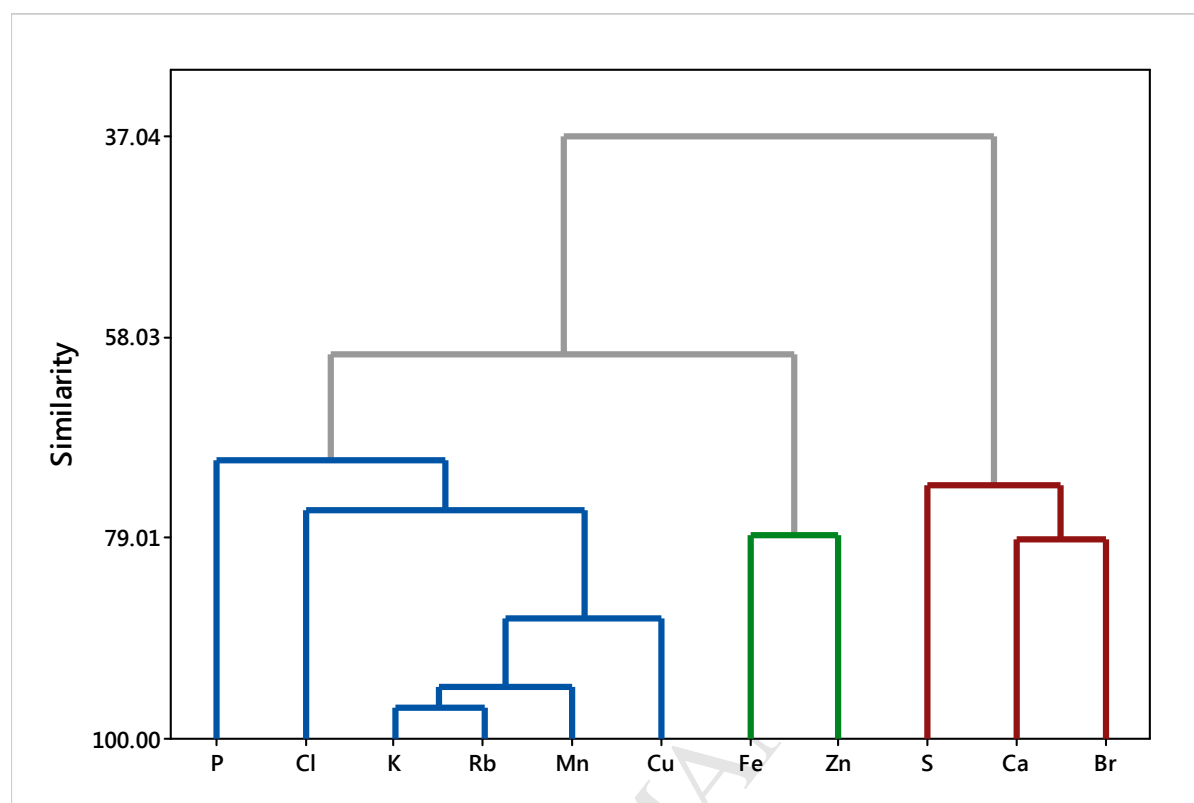
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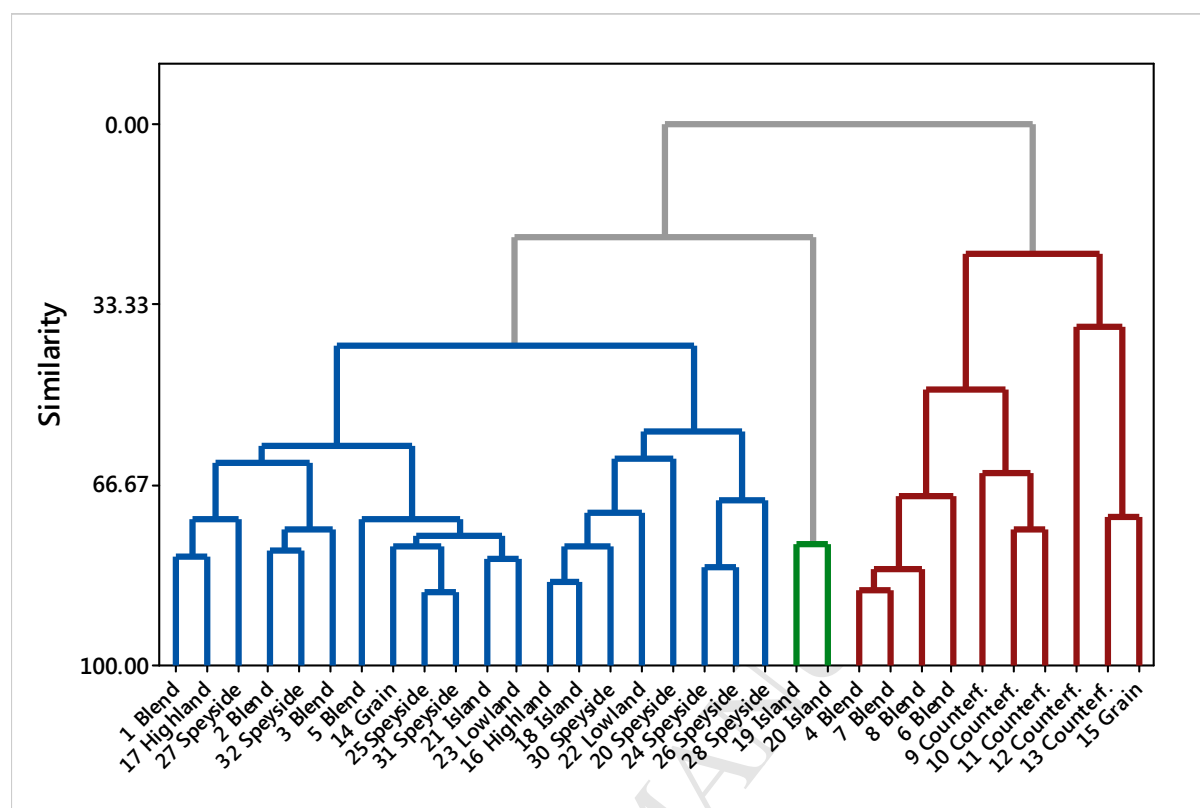
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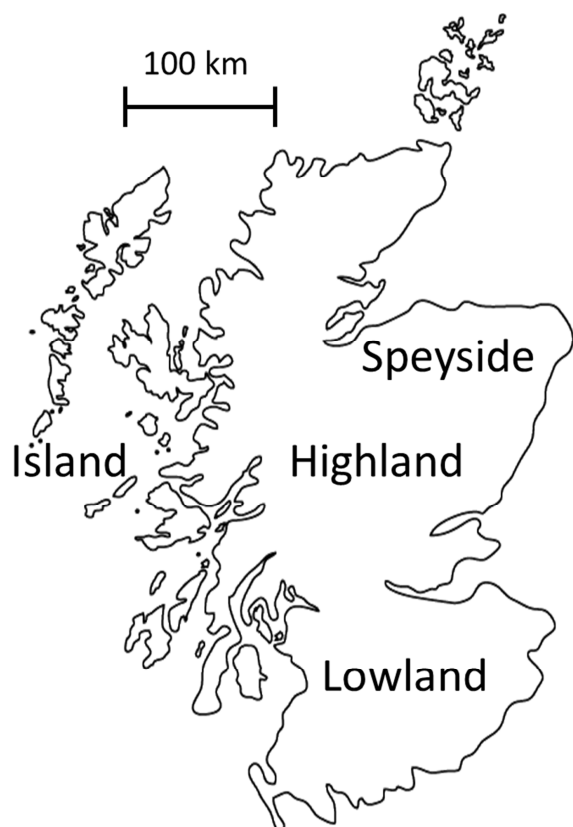
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658659  
660



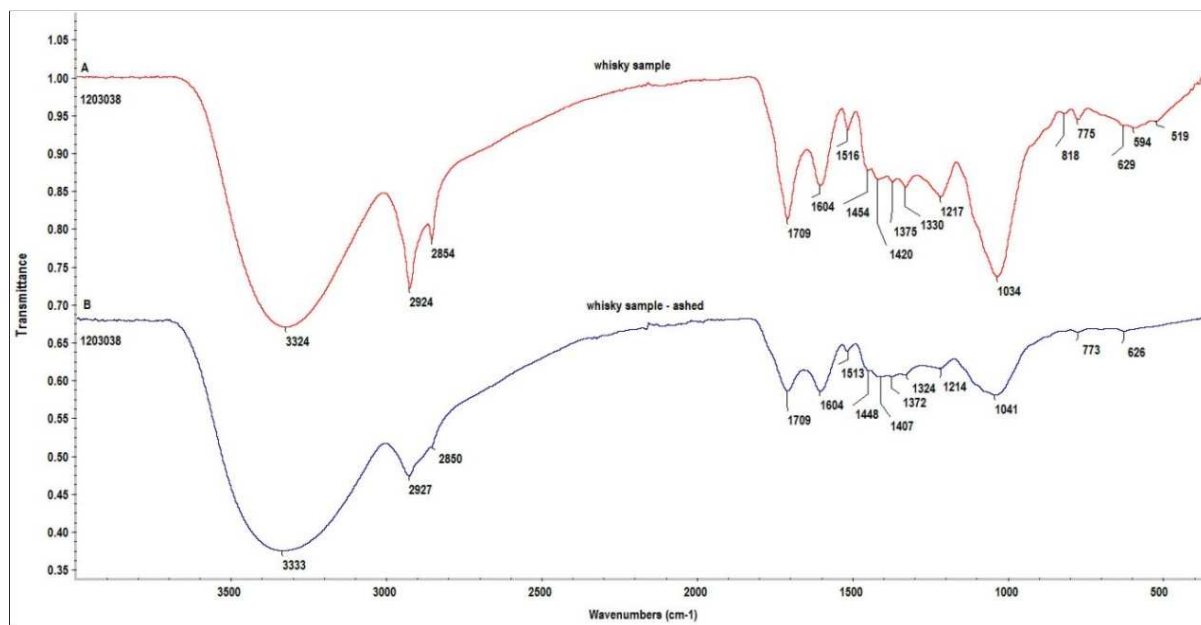
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662663  
664

665 Fig. S1

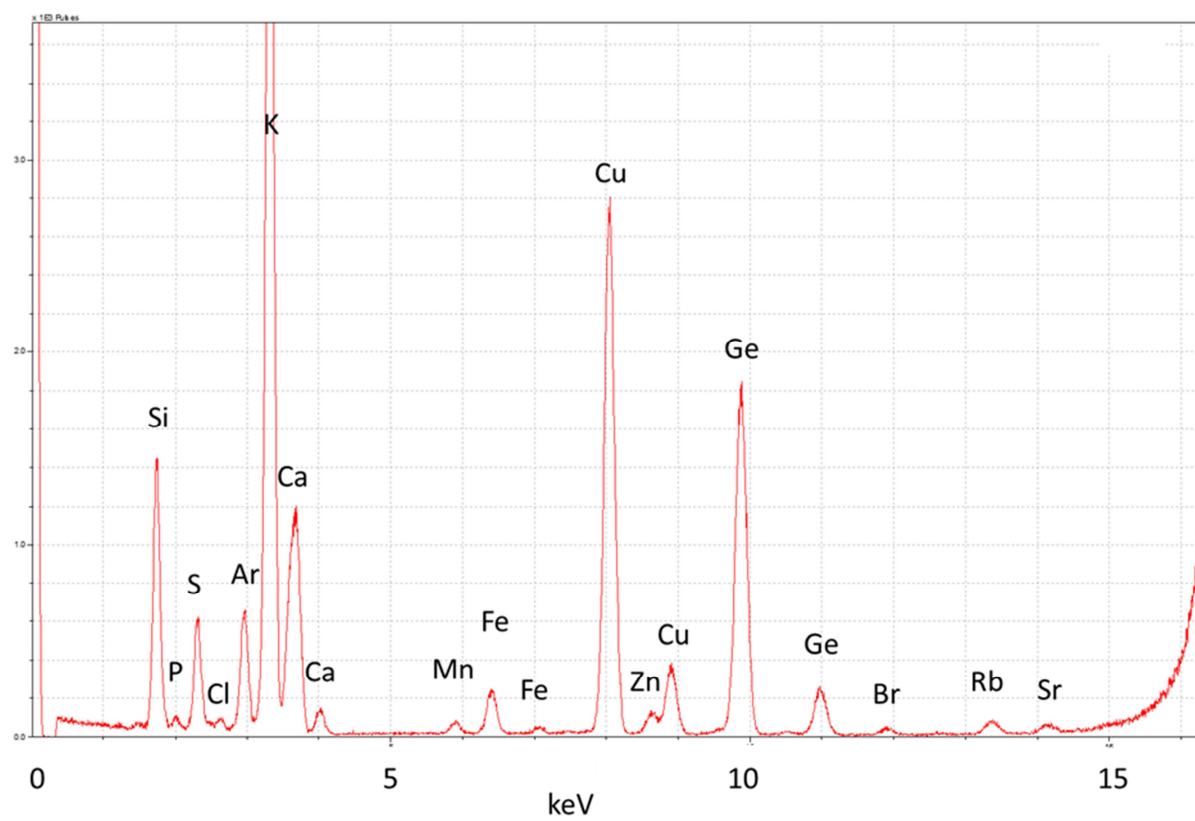
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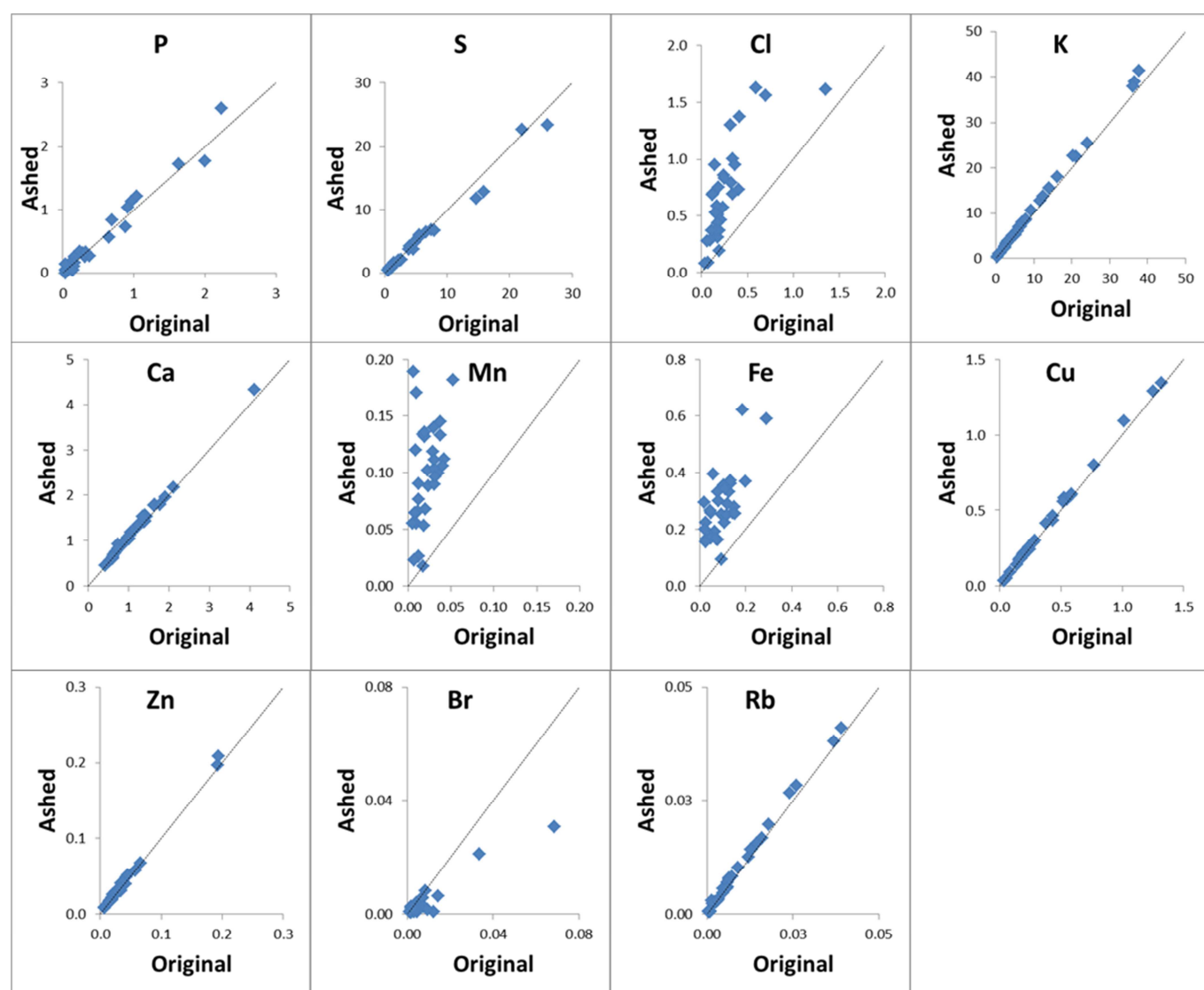


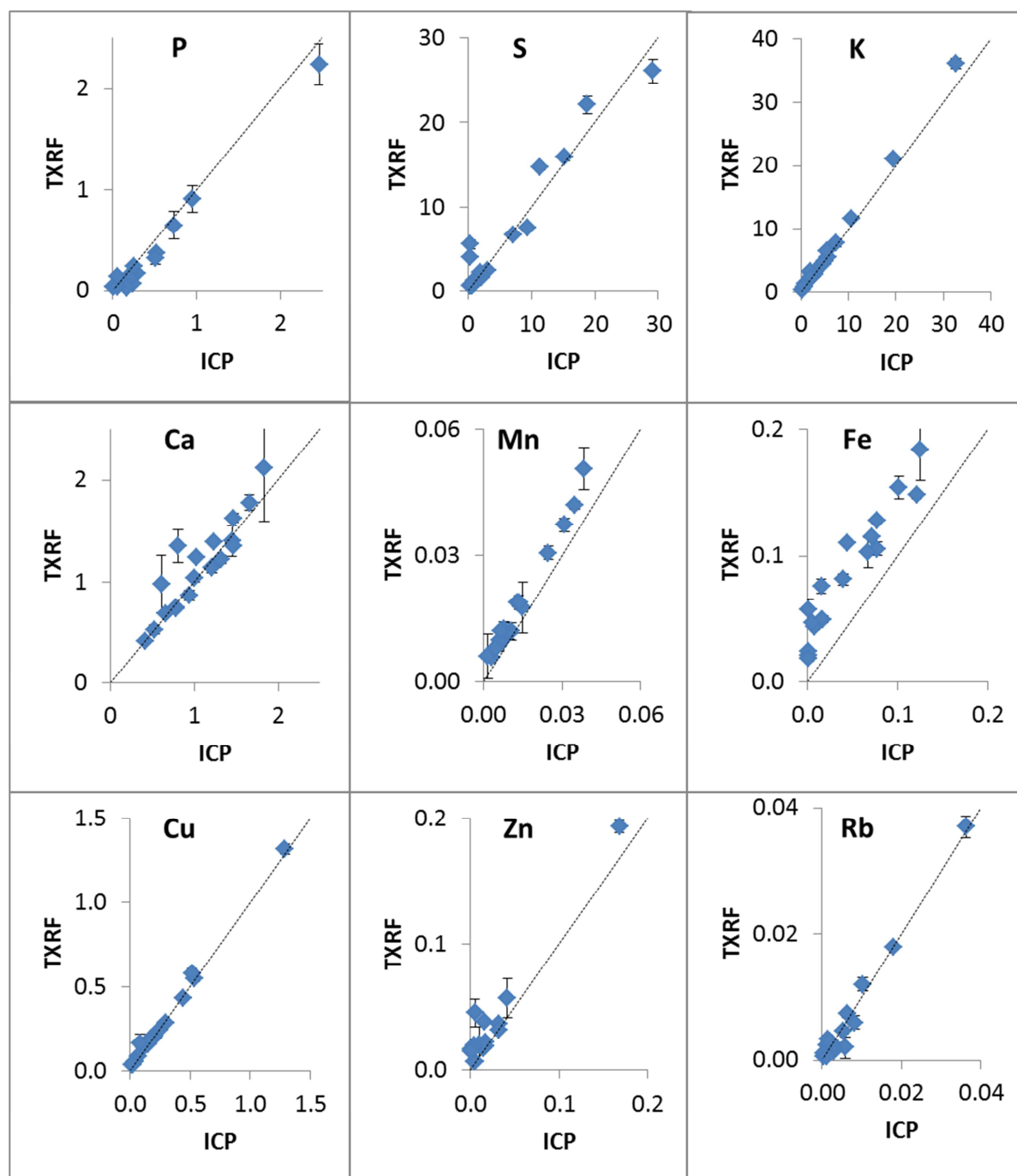
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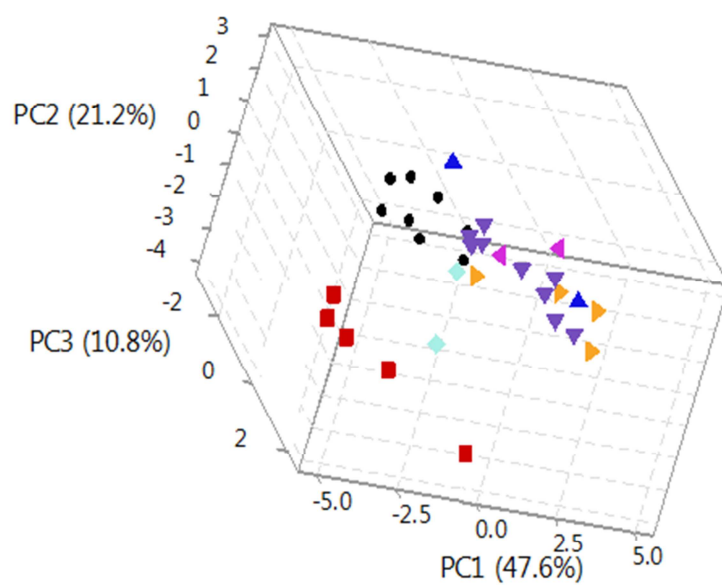
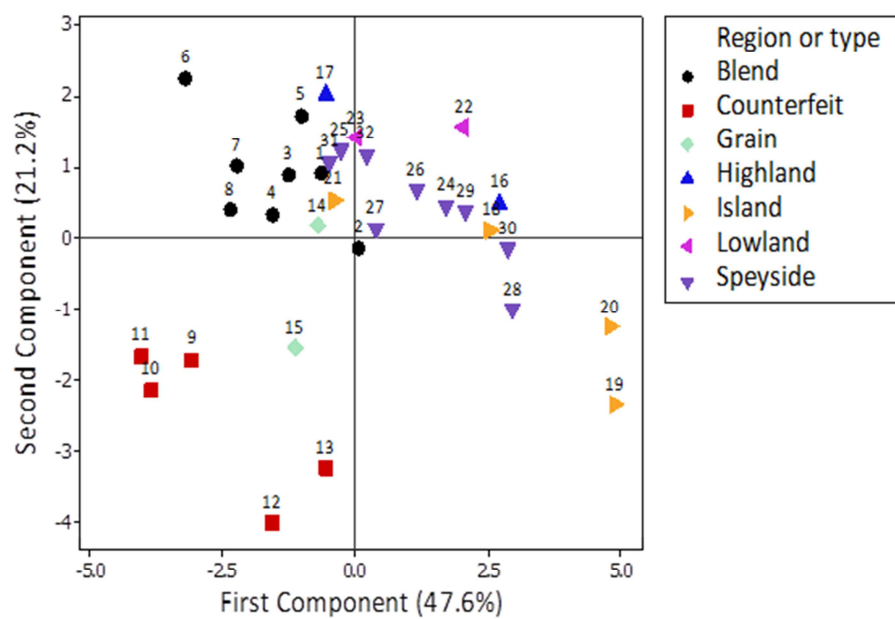
668 Fig. S2  
669

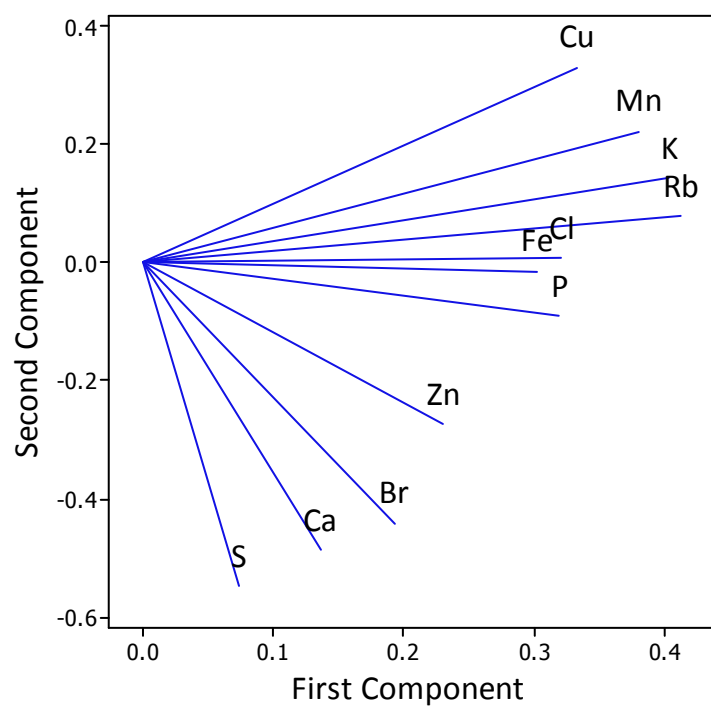
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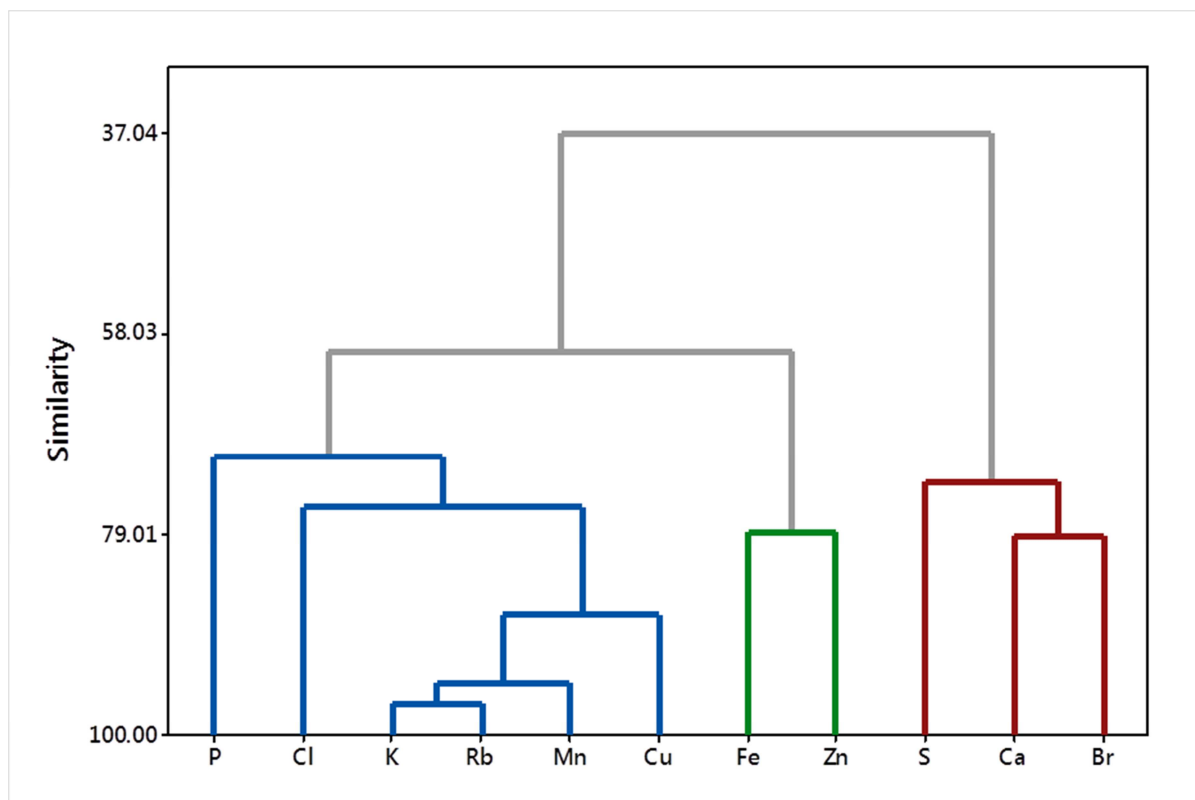


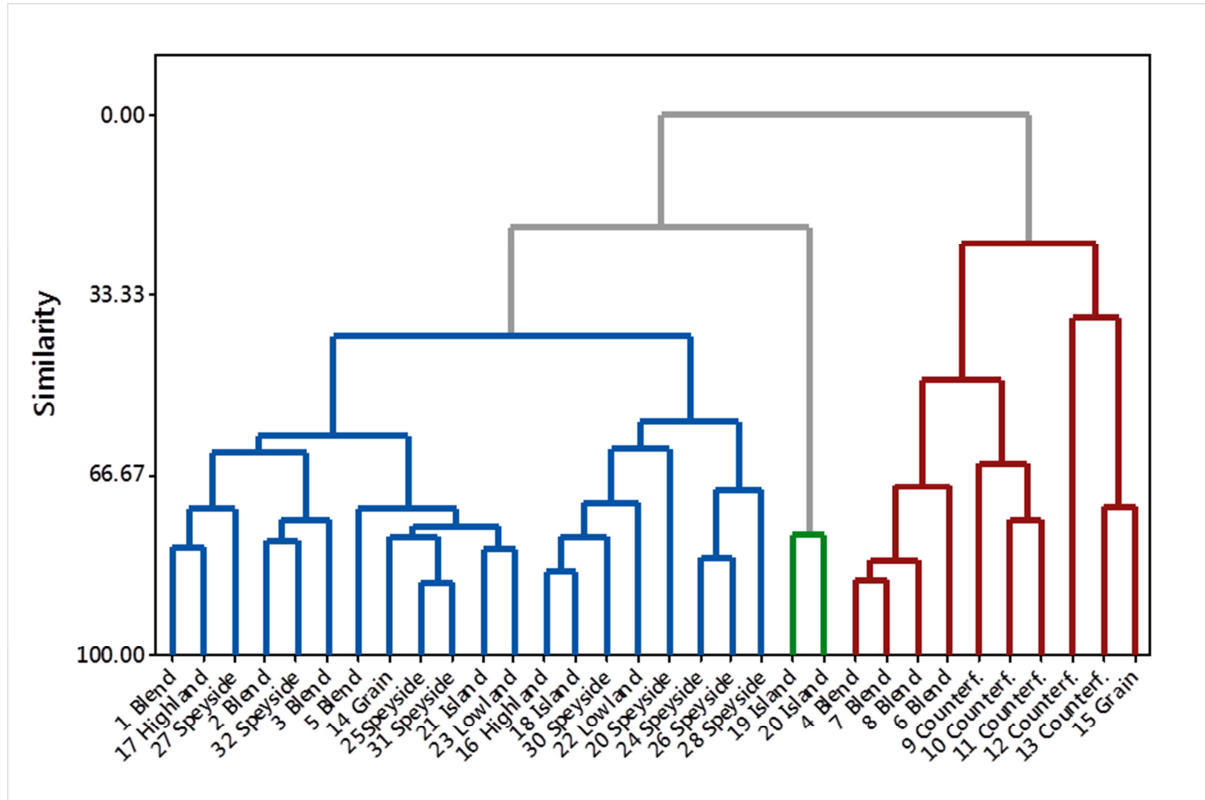




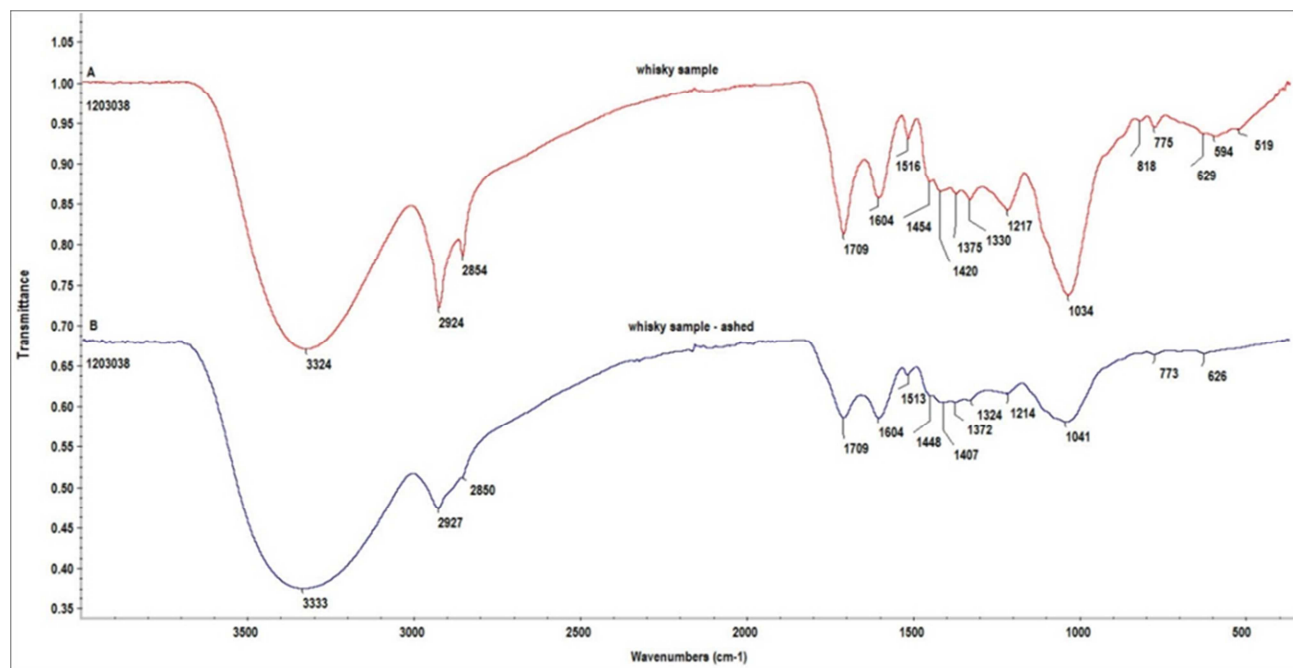












**Highlights**

- TXRF was applied to the elemental analysis of Scotch whisky and counterfeits for 11 elements
- No sample pre-treatment was required apart from adding Ge as an internal standard
- TXRF limits of detection ranged from 0.1 to 0.001 mg L<sup>-1</sup> and compared well with ICP
- Only a small volume of whisky sample was required (10 µL) for the TXRF analysis
- Statistical analysis of the data by PCA and LDA correctly classified the counterfeit whiskies

Dear Joel

The details (already supplied) are as follows. Is this not sufficient? I am at a loss to see what's missing!

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Thank you

Charlie